

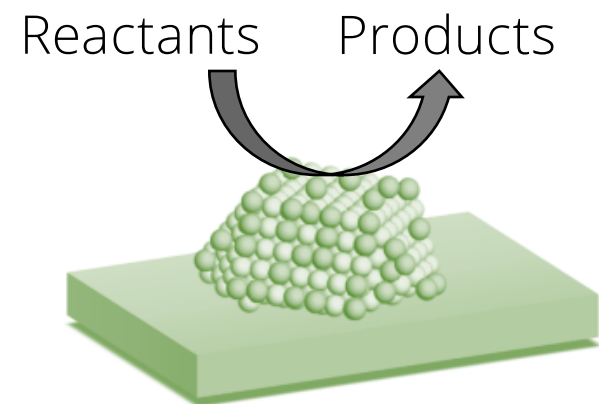
Big-Data Analytics and Machine Learning for Materials Science

Lucas Foppa

The NOMAD Laboratory at the Fritz Haber Institute of the Max Planck Society



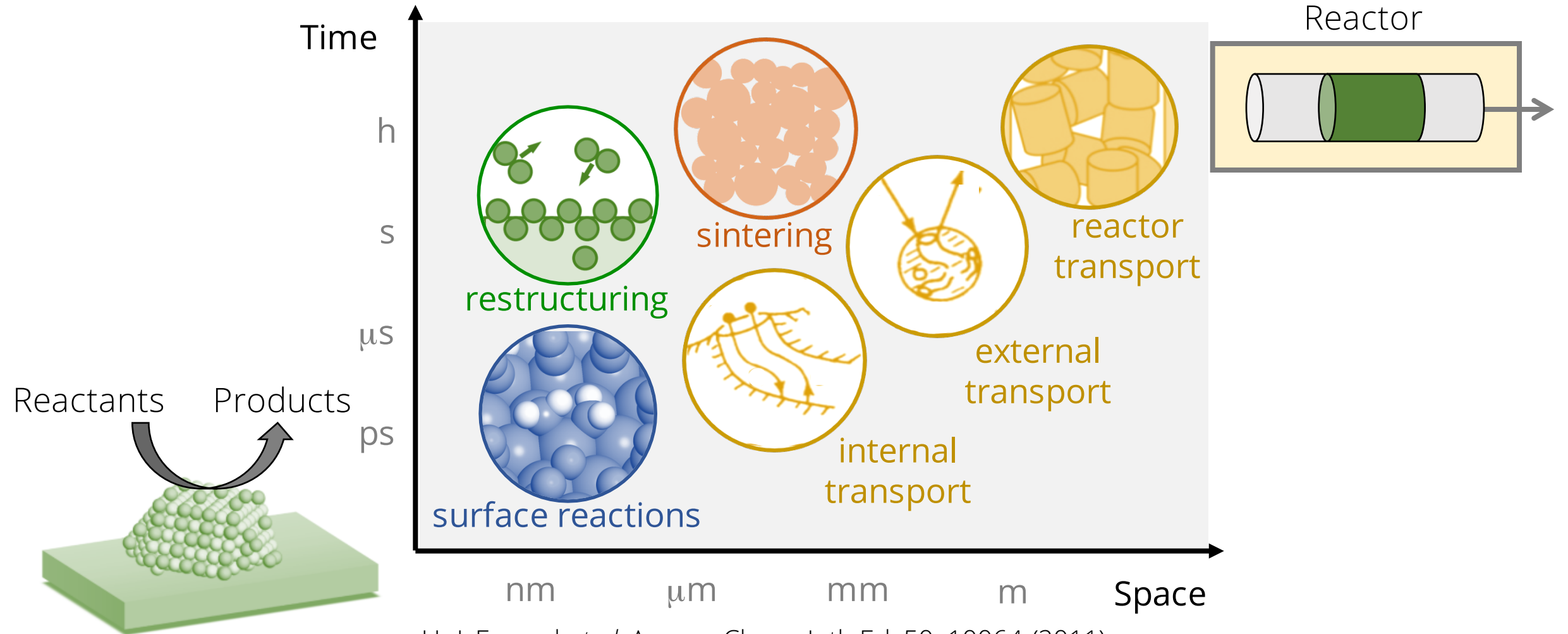
Heterogeneous Catalysis: A Materials' Function Governed by an Intricate Interplay of Many Processes



H.-J. Freund *et al.* Angew. Chem. Intl. Ed. 50, 10064 (2011)
R. Schlögl Angew. Chem. Intl. Ed. 54, 3465 (2015)



Heterogeneous Catalysis: A Materials' Function Governed by an Intricate Interplay of Many Processes

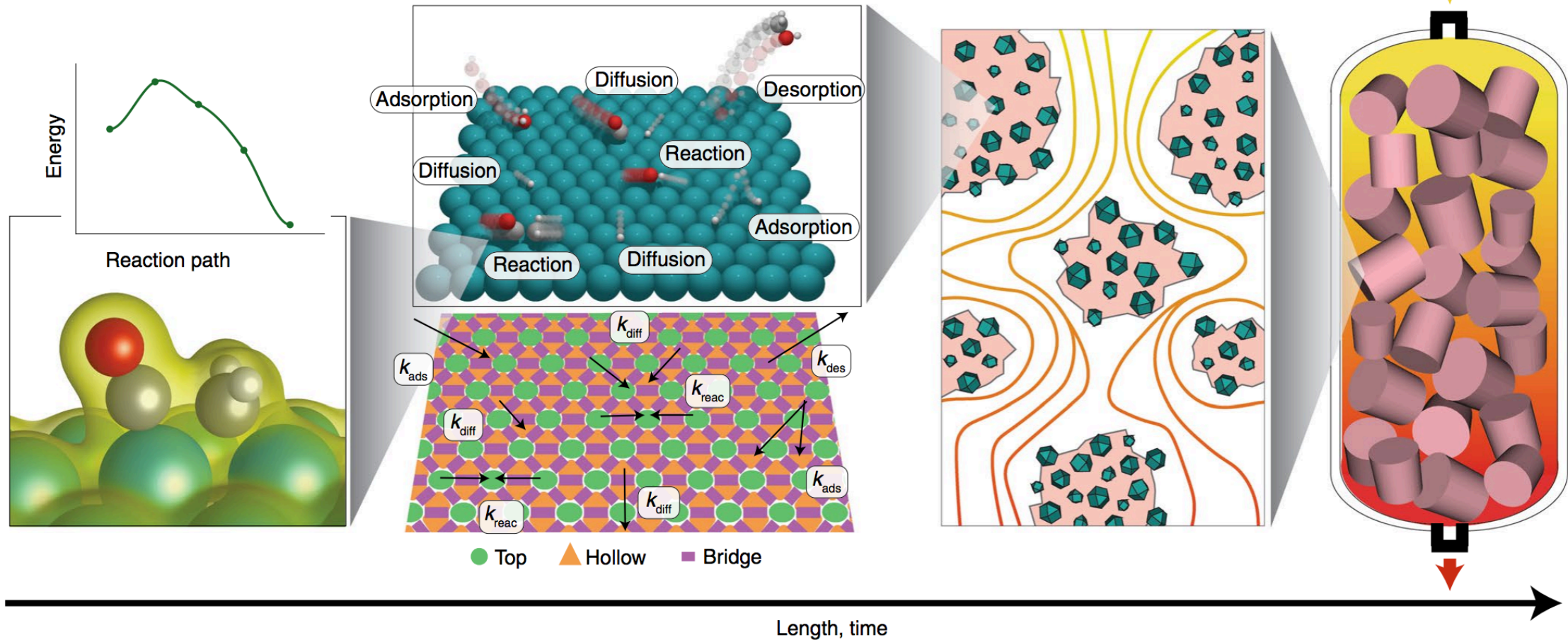


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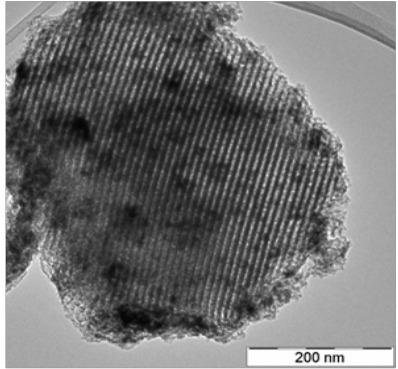
Heterogeneous Catalysis: A Materials' Function Governed by an Intricate Interplay of Many Processes

Explicit Atomistic Models of the Full Catalytic Progression Unfeasible



A. Bruix et al. Nat. Catal. 2, 659 (2019)

Identifying Correlations Describing Materials in Consistent Data with Artificial Intelligence

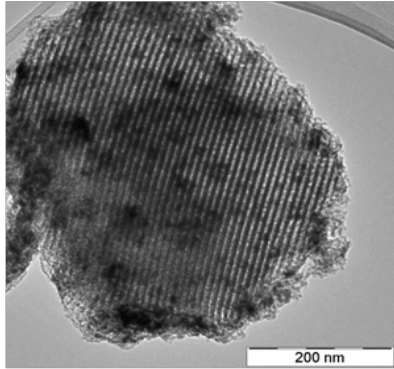


Systematic Experiments

- Synthesis
- Characterization
- Performance Testing

A. Trunschke *et al.* Top. Catal. 63, 1683 (2020), L. Foppa *et al.* MRS Bull. 46, 1016 (2021),
R. Miyazaki *et al.* J. Am. Chem. Soc. 146, 5433 (2024)

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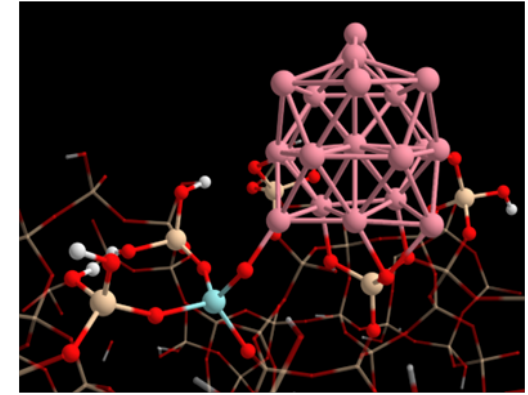
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Atomistic Simulations

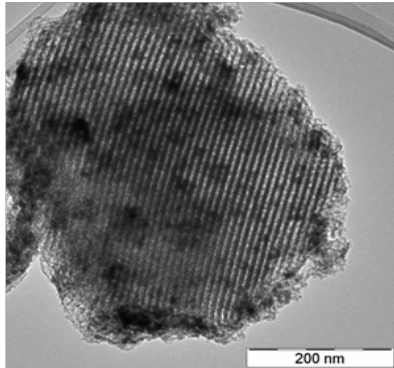
- Materials Properties
- Environment Effect



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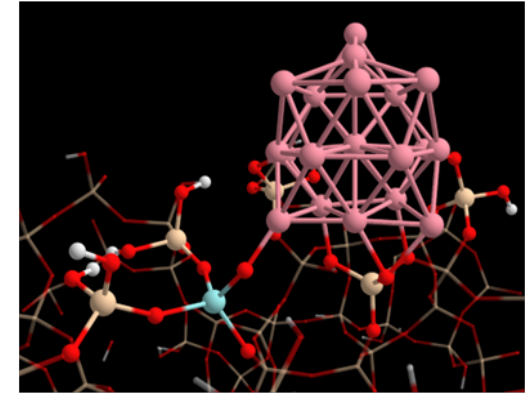


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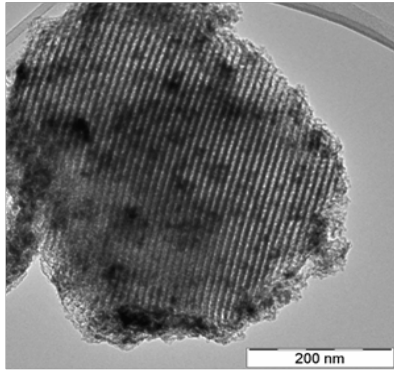
Consistent Dataset

- Target
 - Measured Performance
- Candidate Descriptive Parameters (Primary Features)
 - Measured or Calculated
 - Reflecting Possible Underlying Processes

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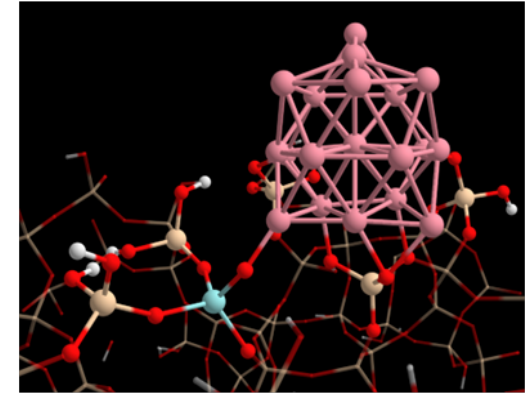


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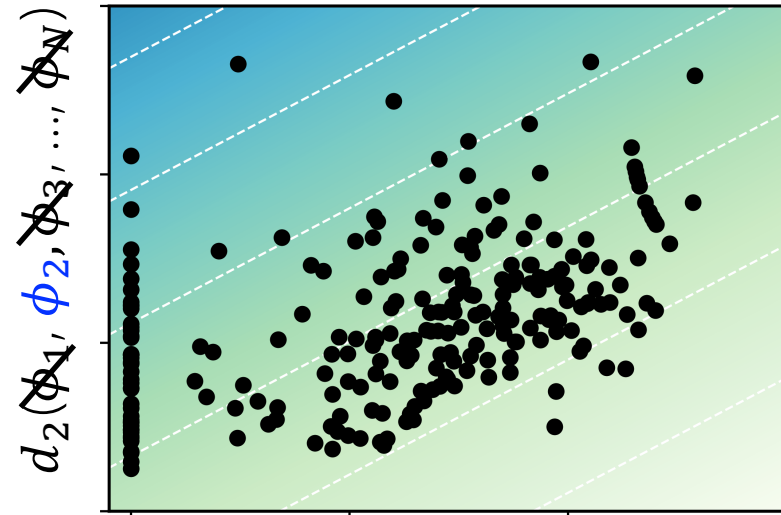
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Performance
 $f(\text{Key Parameters})$

"Materials Genes"

AI

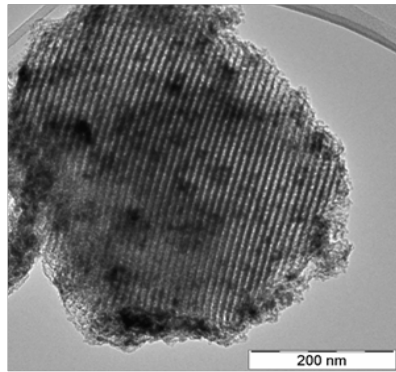


$d_1(\phi_1, \phi_2, \phi_3, \dots, \phi_N)$
 d Descriptor

A. Trunschke *et al.* Top. Catal. 63, 1683 (2020), L. Foppa *et al.* MRS Bull. 46, 1016 (2021),
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Identifying Correlations Describing Materials in Consistent Data with Artificial Intelligence

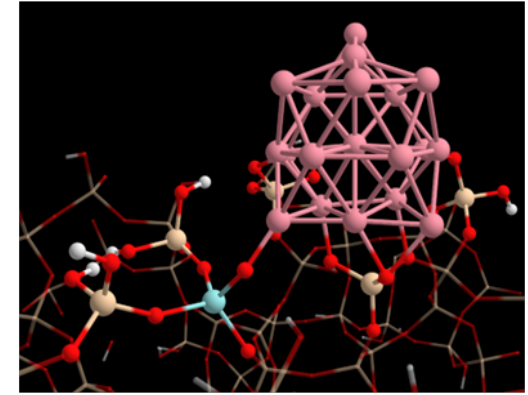


Systematic Experiments

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Insights
Predictions

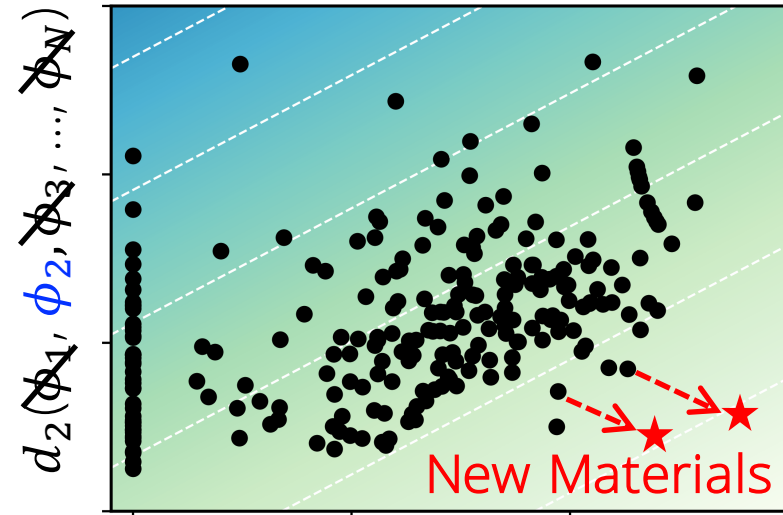
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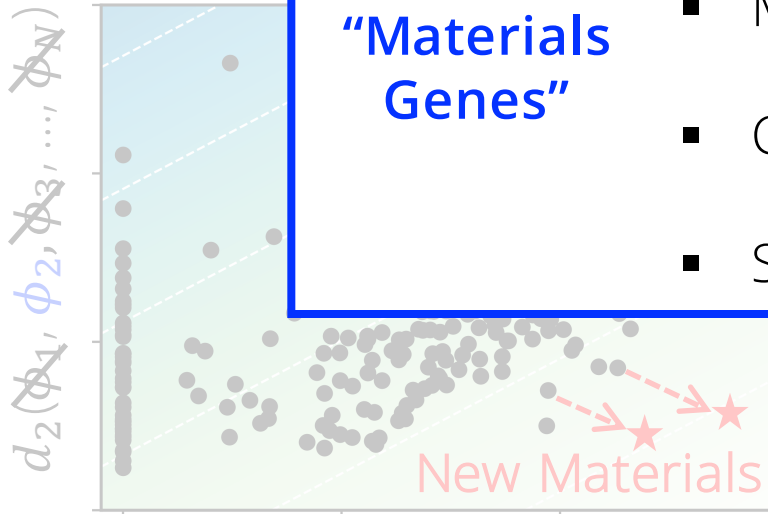
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Atomistic Simulations

- Materials Properties
- Environment Effect

"Materials Genes"

- Capture Complex Patterns But Do Not (Necessarily) Provide Full Understanding of Underlying Processes
- Multiple Parameters
- Correlations(= Rules) Different from Laws
- Statistical Description (Uncertainty, Domain of Applicability)



"Materials Genes"

AI

- Measured or Calculated
- Reflecting Possible Underlying Processes

$d_1(\phi_1, \phi_2, \phi_3, \dots, \phi_N)$
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Requirements for AI Methods

- Identification of Key Parameters to Describe Target, From Many Offered Parameters
- Efficient with “Small” Data (e.g., 10^2)

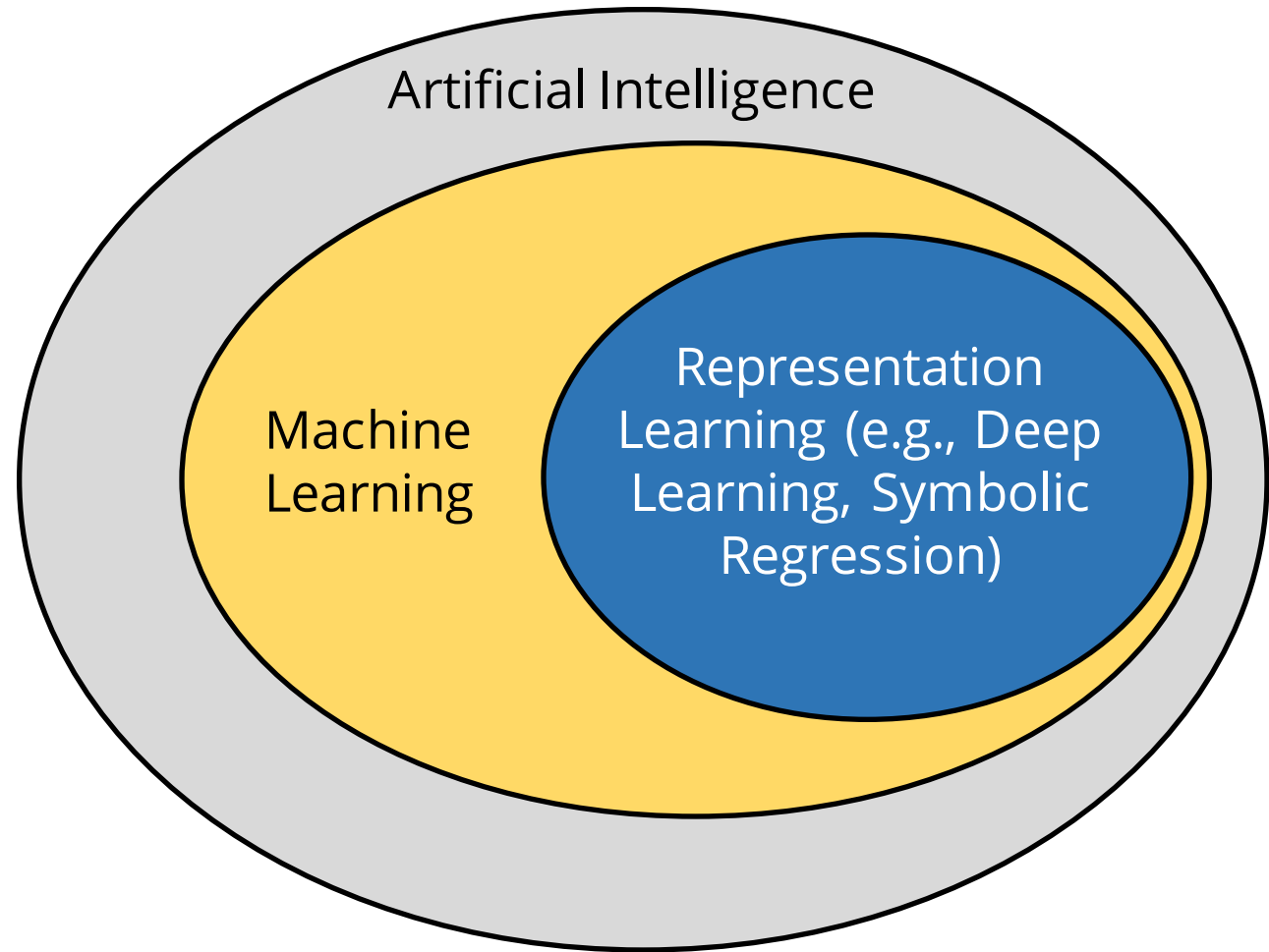


Figure from L. Ghiringhelli



Requirements for AI Methods

- Identification of Key Parameters to Describe Target, From Many Offered Parameters
- Efficient with “Small” Data (e.g., 10^2)
- Methods of Choice:
 - SISSO
 - Subgroup Discovery

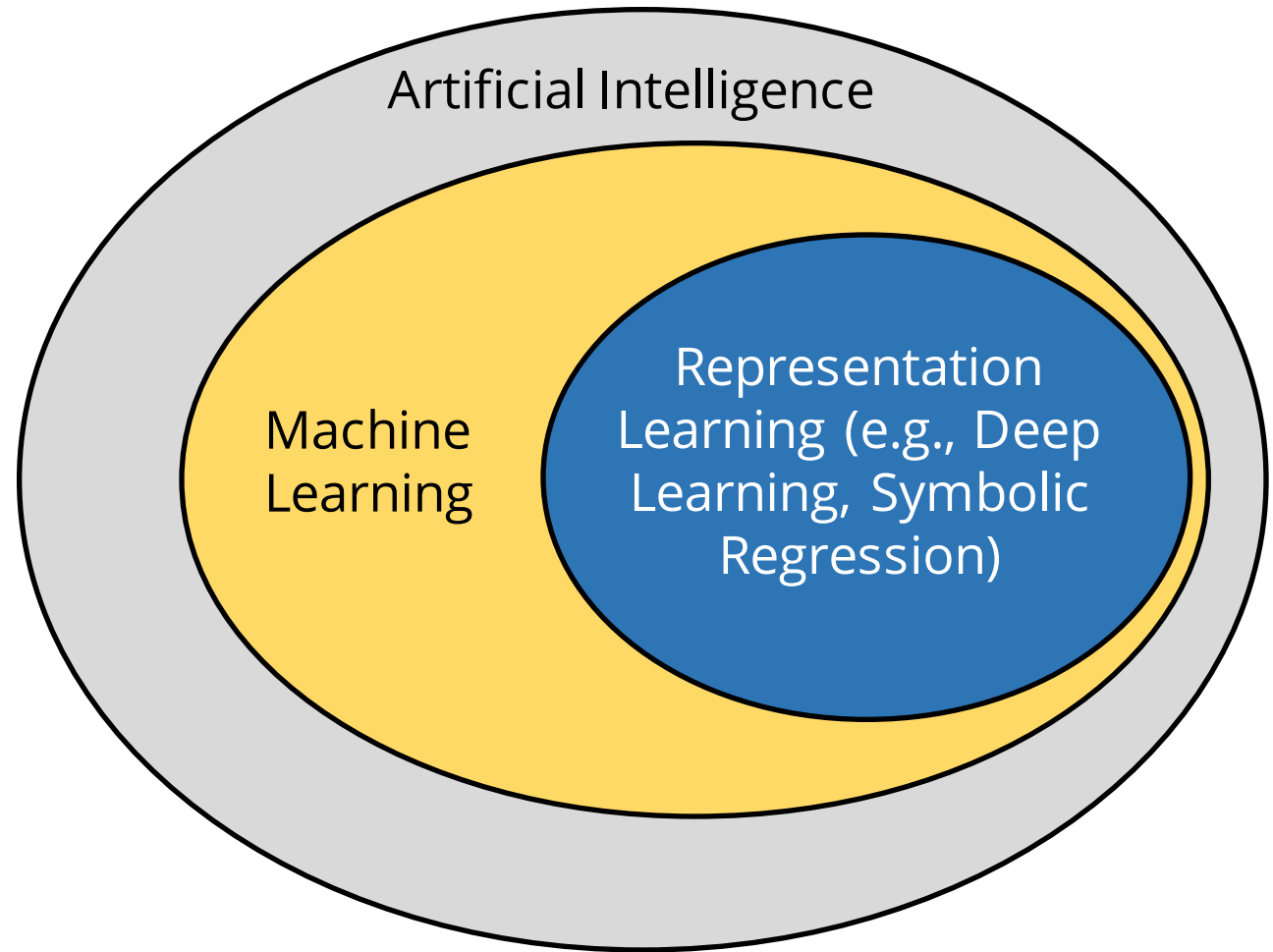


Figure from L. Ghiringhelli



Sure Independence Screening and Sparsifying Operator (SISSO)

1. Choice of Input Parameters (Primary Features, φ_i)

R. Ouyang *et al.* Phys. Rev. Mater. 2, 083802 (2018)
T. A. R. Purcell *et al.* J. Chem. Phys. 159, 114110 (2023)



Sure Independence Screening and Sparsifying Operator (SISSO)

1. Choice of Input Parameters (Primary Features, φ_i)

2. Feature Construction

Primary
Feature
Space, Φ_0



Candidate
Feature
Space, Φ_N

Unary and Binary Mathematical
Operators Iteratively Applied

e.g.

$$\varphi_1, \varphi_2 \xrightarrow{q=1} \frac{(\varphi_1)^2}{|\varphi_1 - \varphi_2|} \xrightarrow{q=2} \frac{|\varphi_1 - \varphi_2|}{(\varphi_1)^2}$$

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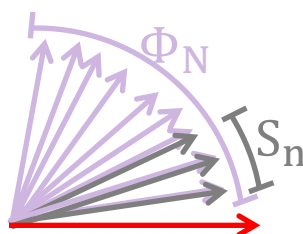
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3. Compressed Sensing

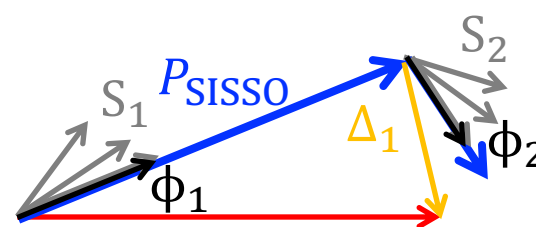
Selection
SIS

Candidate
Feature
Subspaces, S_n



P or

$\Delta_1, \Delta_2, \Delta_3, \dots$



P : property

Δ_n : residuals

R. Ouyang *et al.* Phys. Rev. Mater. 2, 083802 (2018)

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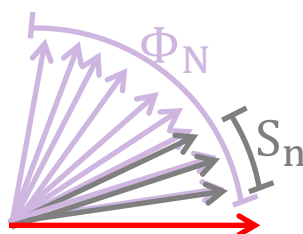
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SIS

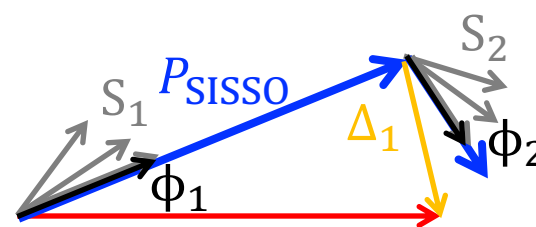
Candidate
Feature
Subspaces, S_n

Regularization
SO ℓ_0



P or

$\Delta_1, \Delta_2, \Delta_3, \dots$



P : property

Δ_n : residuals

Descriptor and Model

Fitted Coefficients

$$p(\text{SISSO}) = c_0 + \sum_{i=1}^D c_i d_i$$

Analytical Expressions

R. Ouyang *et al.* Phys. Rev. Mater. 2, 083802 (2018)
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Sure Independence Screening and Sparsifying Operator (SISSO)

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Unary and Binary Mathematical Operators Iteratively Applied

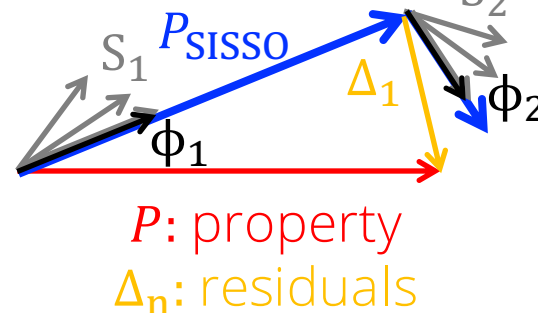
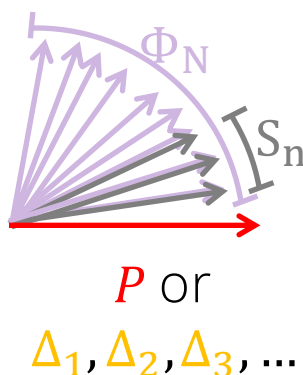
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3. Compressed Sensing



Regularization $\xrightarrow{\ell_0, \text{SO}}$



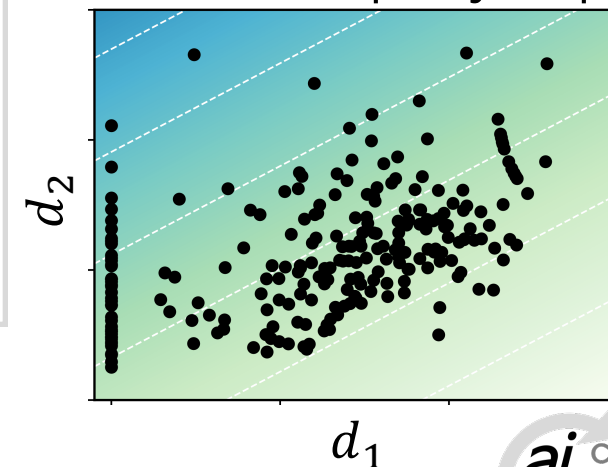
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Analytical Expressions

“Materials-Property Maps”



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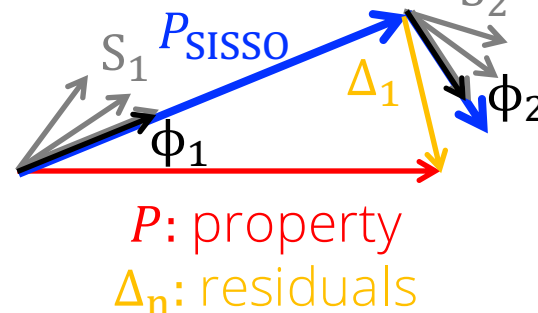
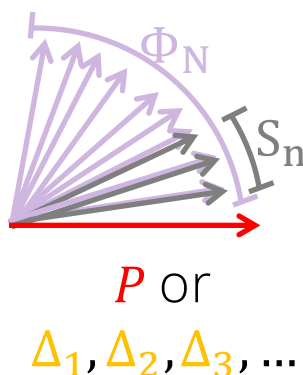
Immense Number
(e.g., billions)

3. Compressed Sensing

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SIS

Candidate
Feature
Subspaces, S_n

Regularization
SO ℓ_0



Low D (e.g., 2 or 3)

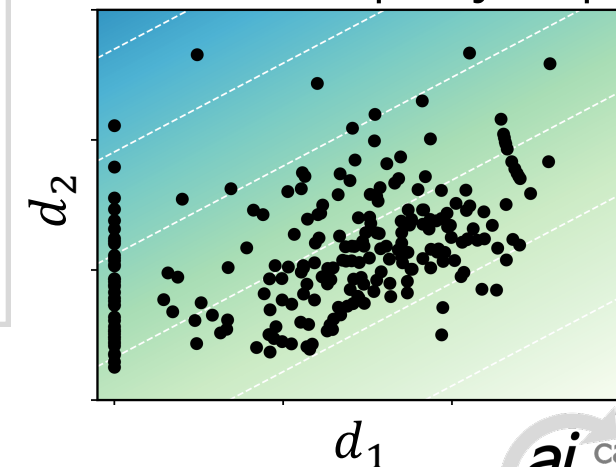
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Low D (e.g., 2 or 3)

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Space, Φ_0

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SISSO Handles Larger
(Correlated) Feature Spaces
than the **LASSO Method**
(ℓ_1 Regularization)

$$\text{Loss}^{\ell_1} = \text{RMSE} + \lambda \|\mathbf{c}\|_1$$

Regularization Strength

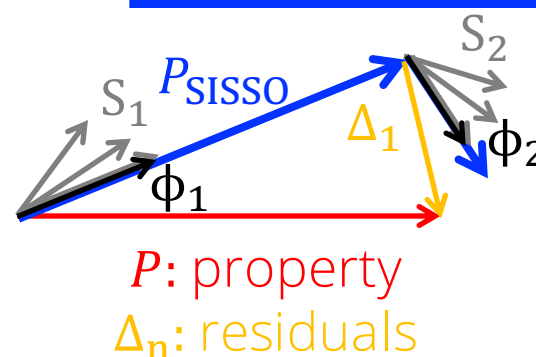
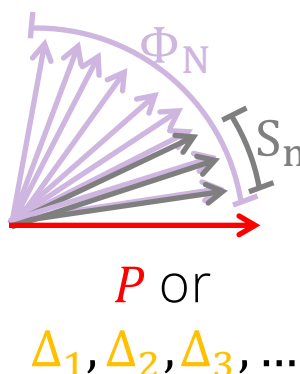
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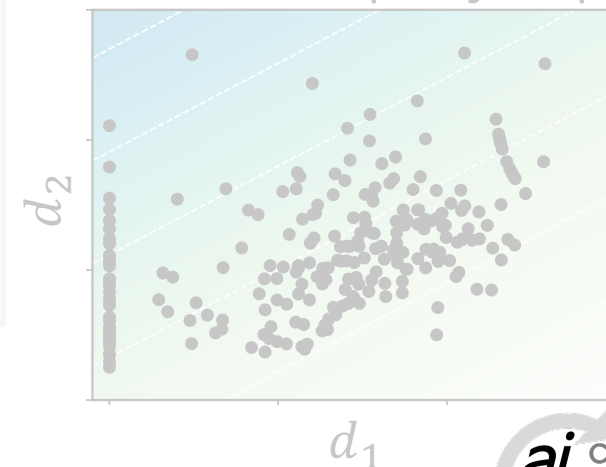
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Physical Expressions

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Immense Number
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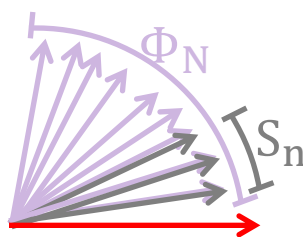
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Analytical Expressions

SISSO

Hyperparameters:
 D (Dimensionality) and
 q (Rung)

R. Ouyang *et al.* Phys. Rev. Mater. 2, 083802 (2018)

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Cross Validation Schemes

- **Goals**

- Determine Hyperparameters
- Estimate Model Performance on Unseen Data

- **How?**

- Splitting Dataset into Multiple Folds
- Training On Some Folds
- Testing Model Predictions On Other Folds

- Different Schemes Appropriate for Different Problems, e.g., *K*-fold, Nested, and Leave-One-Out

E.g., *k*-Fold Cross Validation

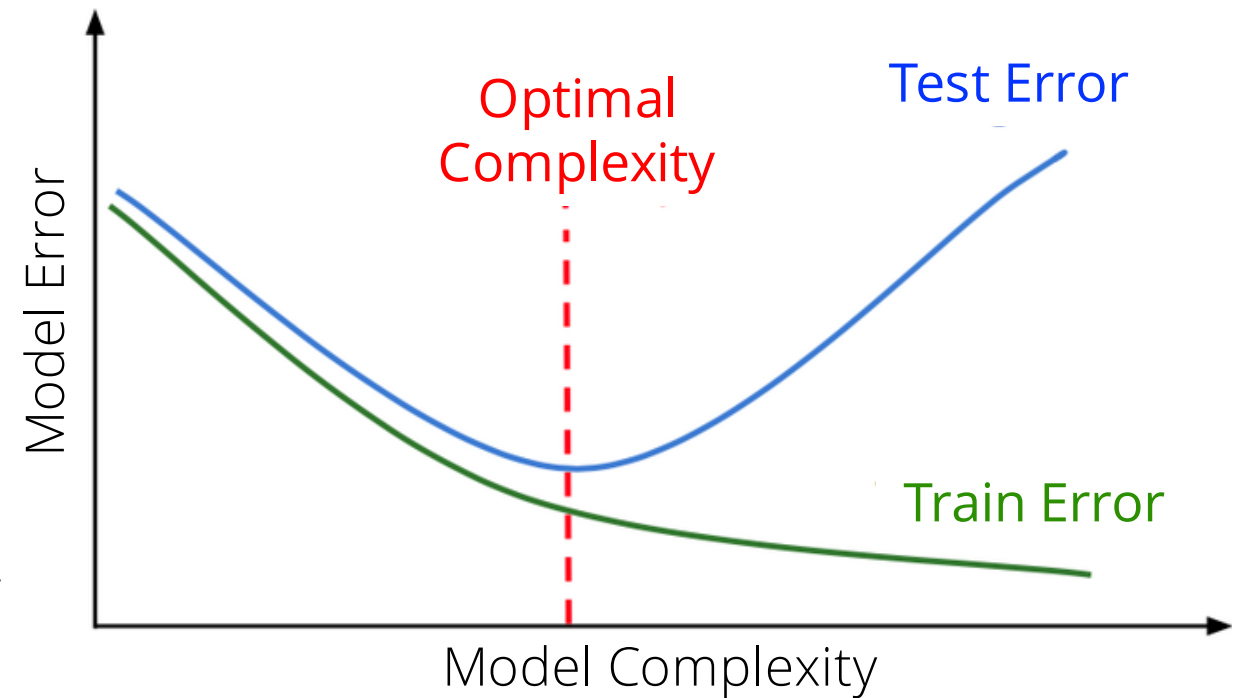
Fold 1	Fold 2	Fold 3	Fold 4	Fold 5
Test	Train	Train	Train	Train
Train	Test	Train	Train	Train
Train	Train	Test	Train	Train
Train	Train	Train	Test	Train
Train	Train	Train	Train	Test



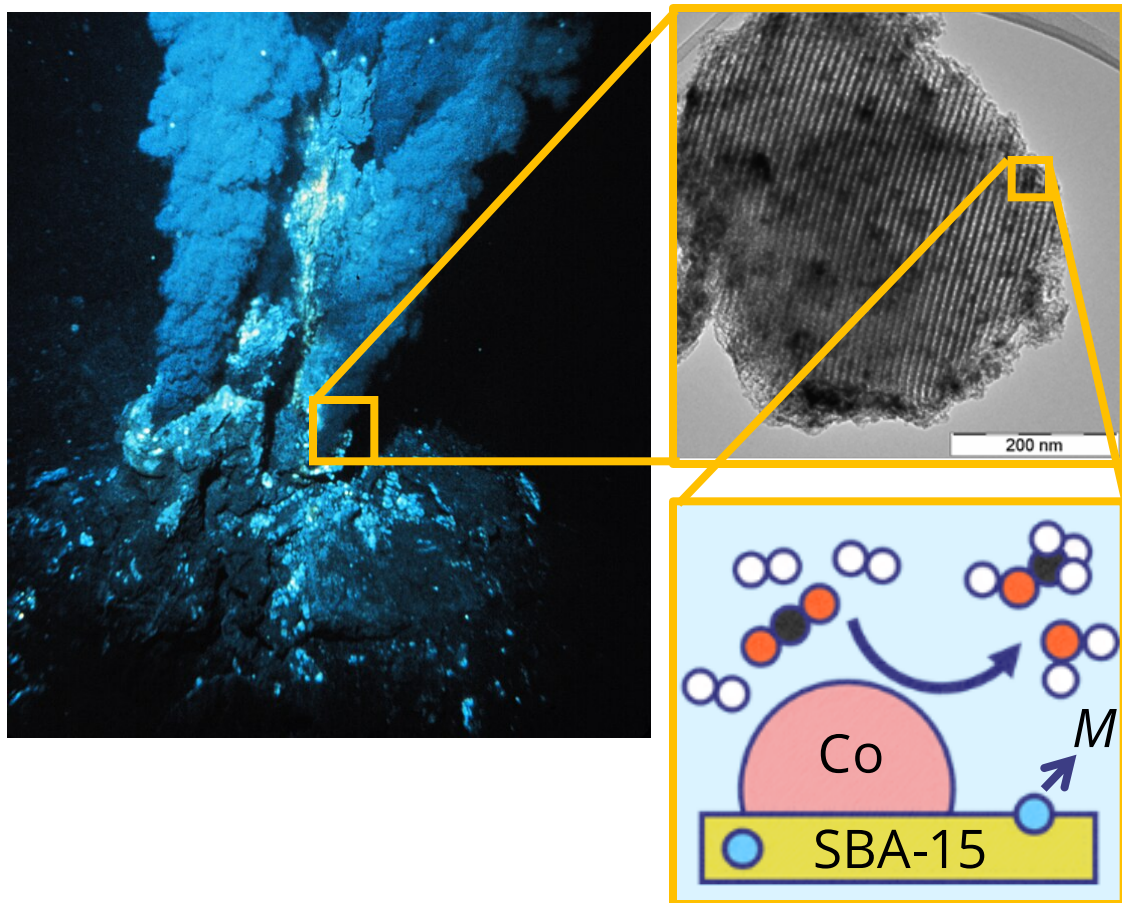
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E.g., for Hyperparameters Controlling SISSO Model Complexity D (Dimensionality) and q (Rung)



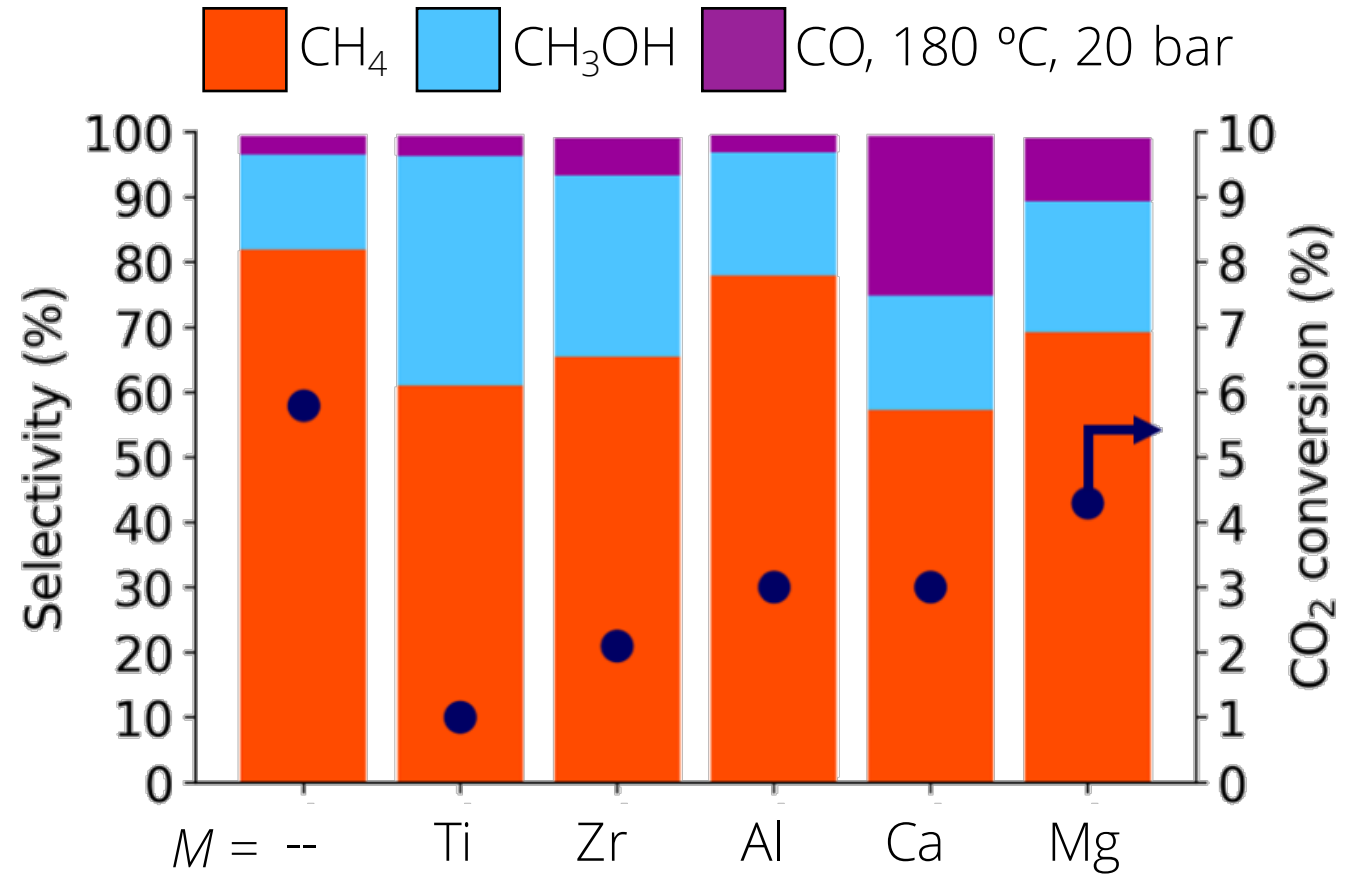
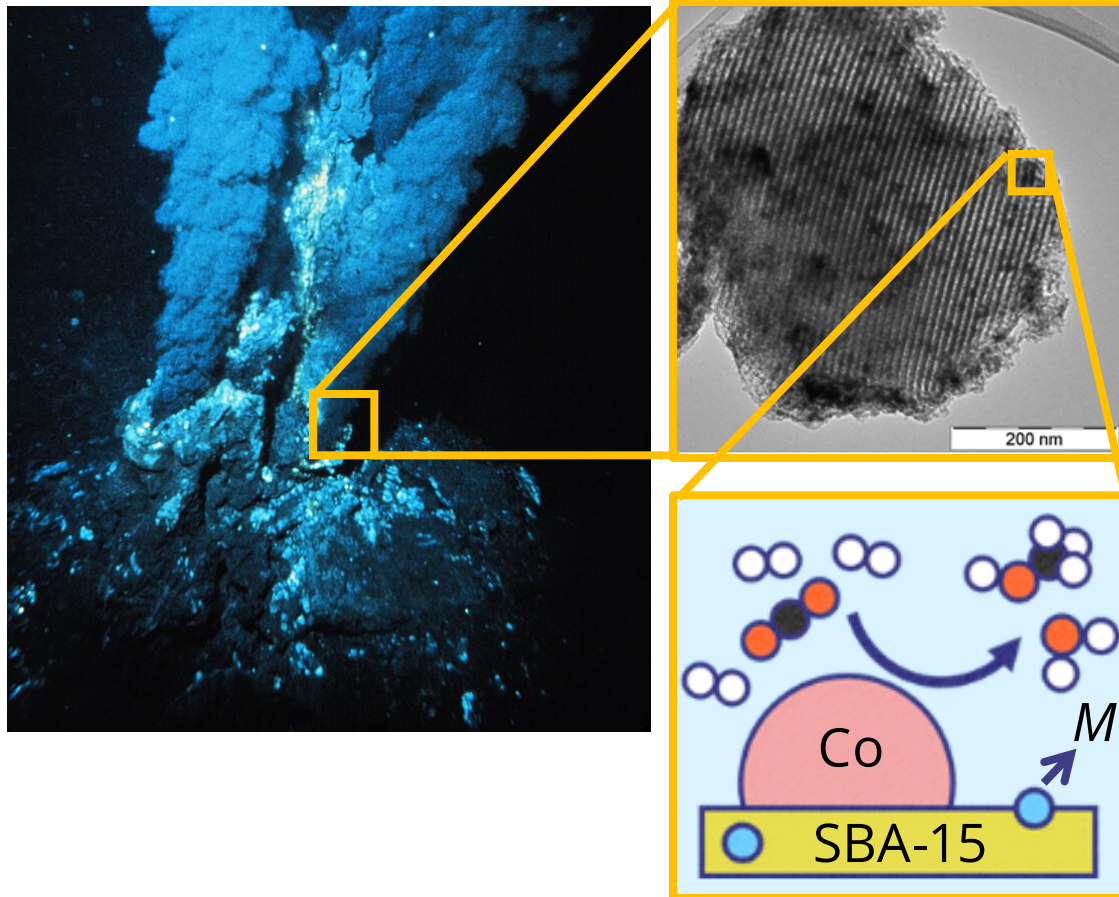
Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation



K. Belthle *et al.* J. Am. Chem. Soc. 144, 21232 (2022)

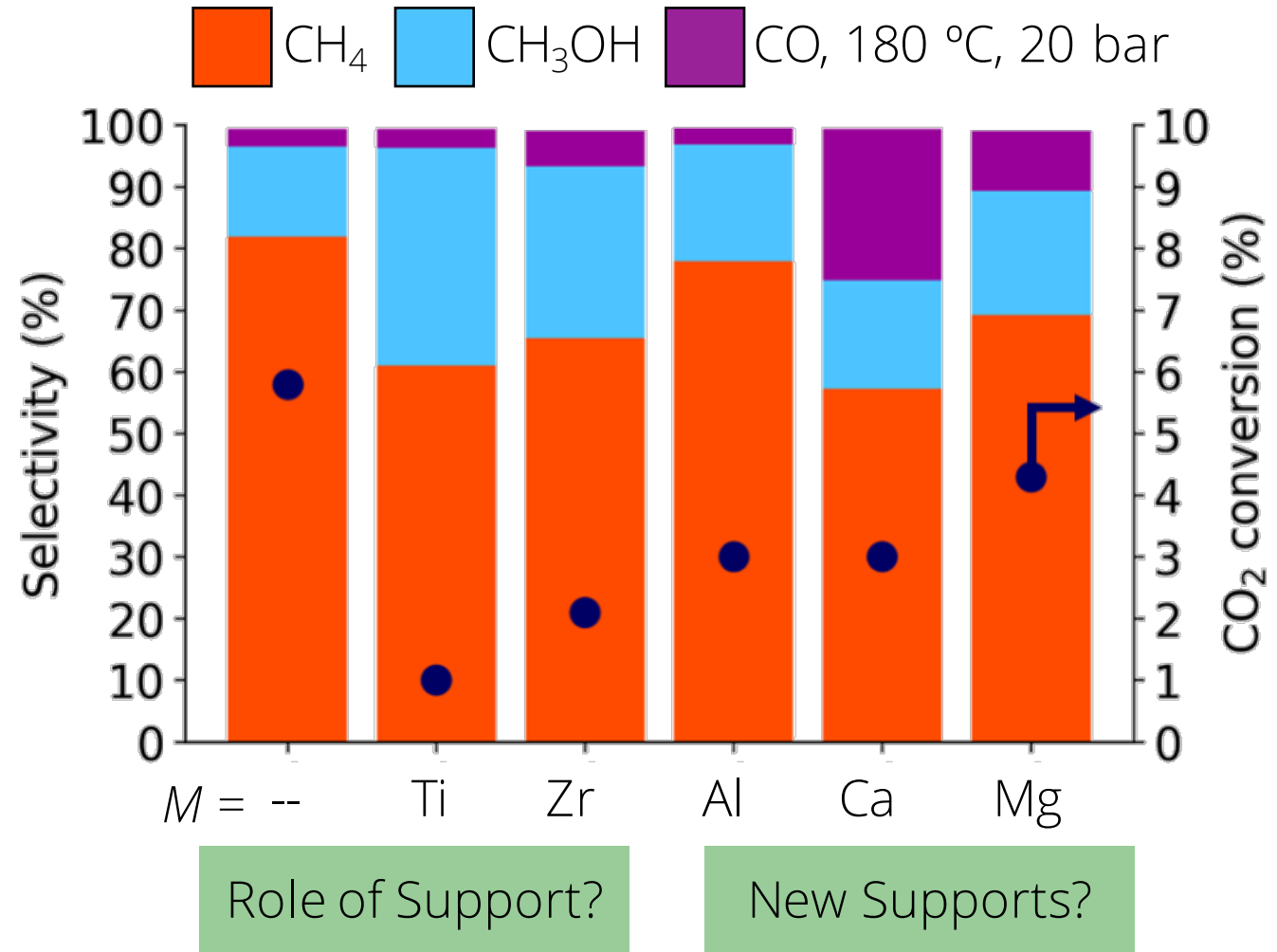
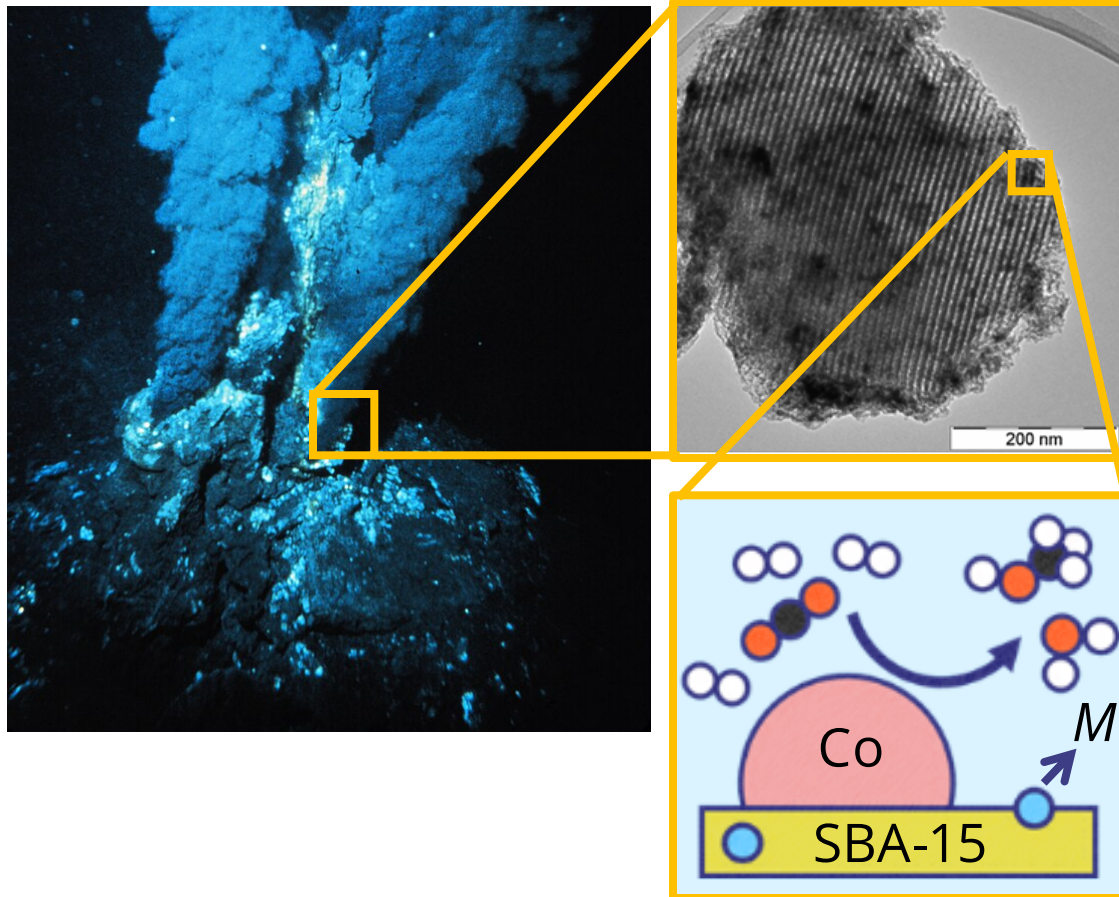


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Creating an Experimental-Theoretical Dataset

Measured
Performance

Methanol Selectivity

Measured at
4 Reaction Conditions

(6*4=24 data points)

R. Miyazaki *et al.* JACS 146, 5433 (2024)



Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation

Creating an Experimental-Theoretical Dataset

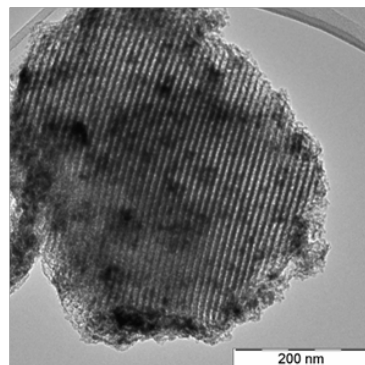
Measured Performance

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4 Reaction Conditions

(6*4=24 data points)

Experimental



- H₂-TPR
- SEM-EDX
- N₂ adsorption
- CO₂/NH₃-TPD, ...

33 Candidate Descriptive Parameters

R. Miyazaki *et al.* JACS 146, 5433 (2024)



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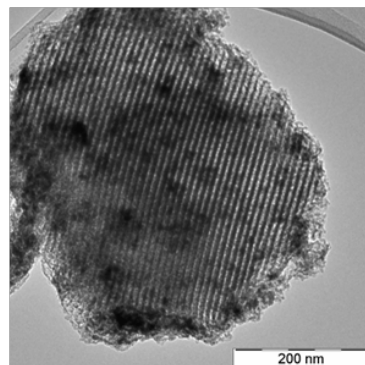
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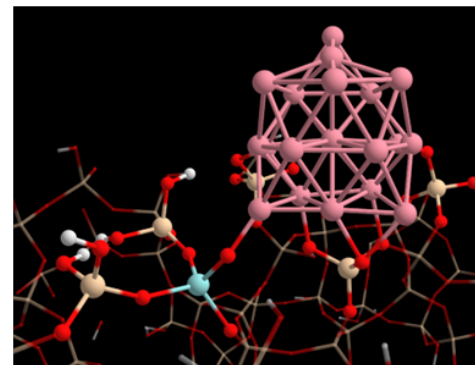
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Theoretical



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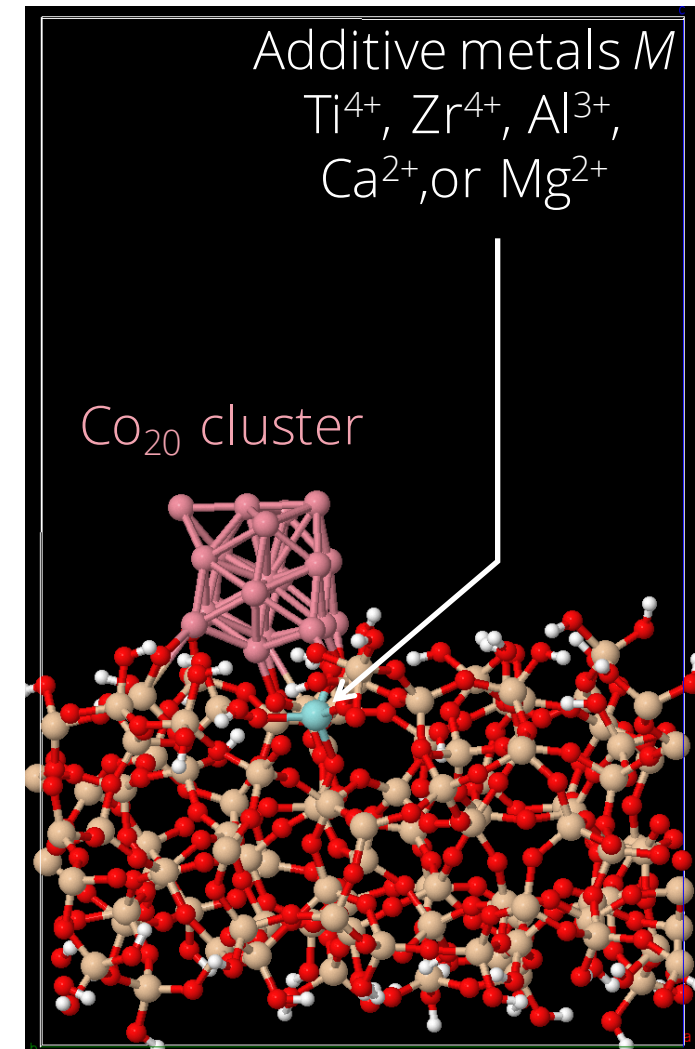
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Theoretical Candidate Descriptive Parameters

- DFT-RPBE simulations of Co/M-SiO₂ interface



$E_{\text{ads}}^{\text{CO}_2}$	CO ₂ adsorption energy	eV
$\Delta_{\text{C-O}}$	C-O bond elongation of adsorbed CO ₂	Å
$\Delta_{\angle\text{O-C-O}}$	O-C-O angle bending of adsorbed CO ₂	degree
q_{CO_2}	Hirshfeld charge of adsorbed CO ₂	e
q_{M}	Hirshfeld charge of the additive metal	e
$E_{\text{CH}_3\text{O}}$	CH ₃ O Formation energy	eV
E_{COOH}	COOH Formation energy	eV
$E_{\text{CO+O}}$	CO + O formation energy	eV
E_{HCOO}	HCOO formation energy	eV
$E_{\text{ads}}^{\text{O}}$	O adsorption energy	eV



R. Miyazaki *et al.* JACS 146, 5433 (2024)

Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation

Creating an Experimental-Theoretical Dataset

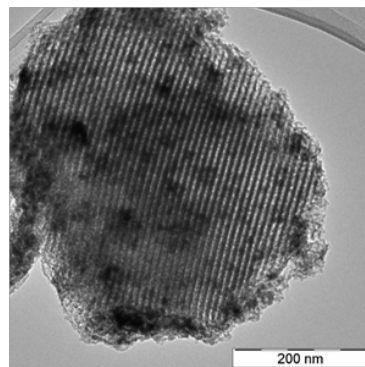
Measured Performance

Methanol Selectivity

Measured at
4 Reaction Conditions

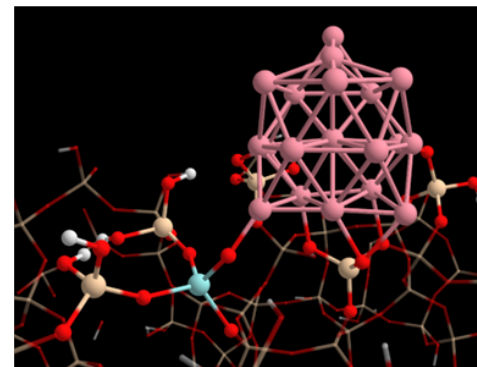
(6*4=24 data points)

Experimental



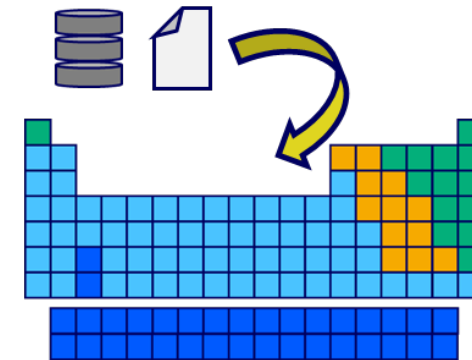
- H₂-TPR
- SEM-EDX
- N₂ adsorption
- CO₂/NH₃-TPD, ...

Theoretical



- DFT-RPBE Simulations

Elemental



- Experiment
- Calculation

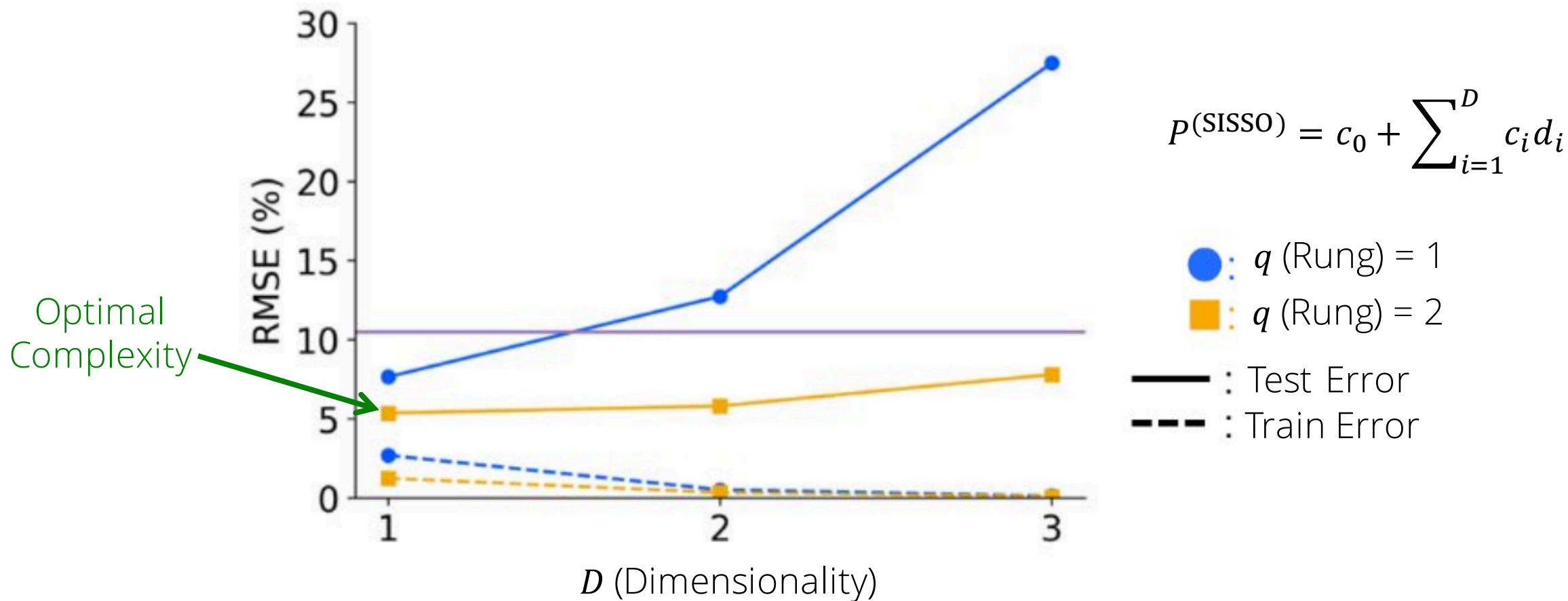
33 Candidate Descriptive Parameters

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Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/*M*-SiO₂) in CO₂ Hydrogenation

Determination of SISSO Model Hyperparameters (Leave-One-Material-Out Cross Validation)

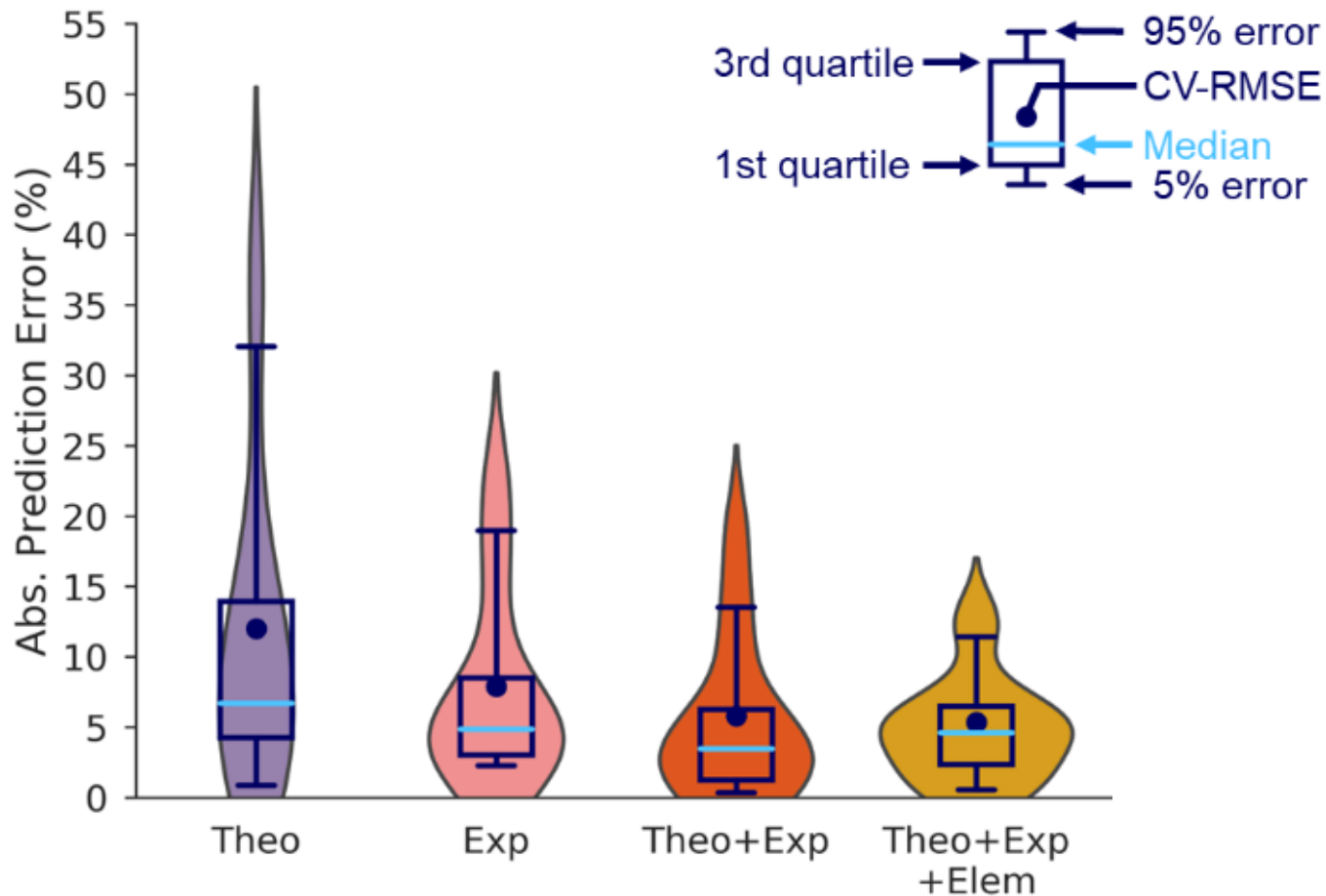


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Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/*M*-SiO₂) in CO₂ Hydrogenation

Error Distributions Associated to SISO Models for Methanol Selectivity

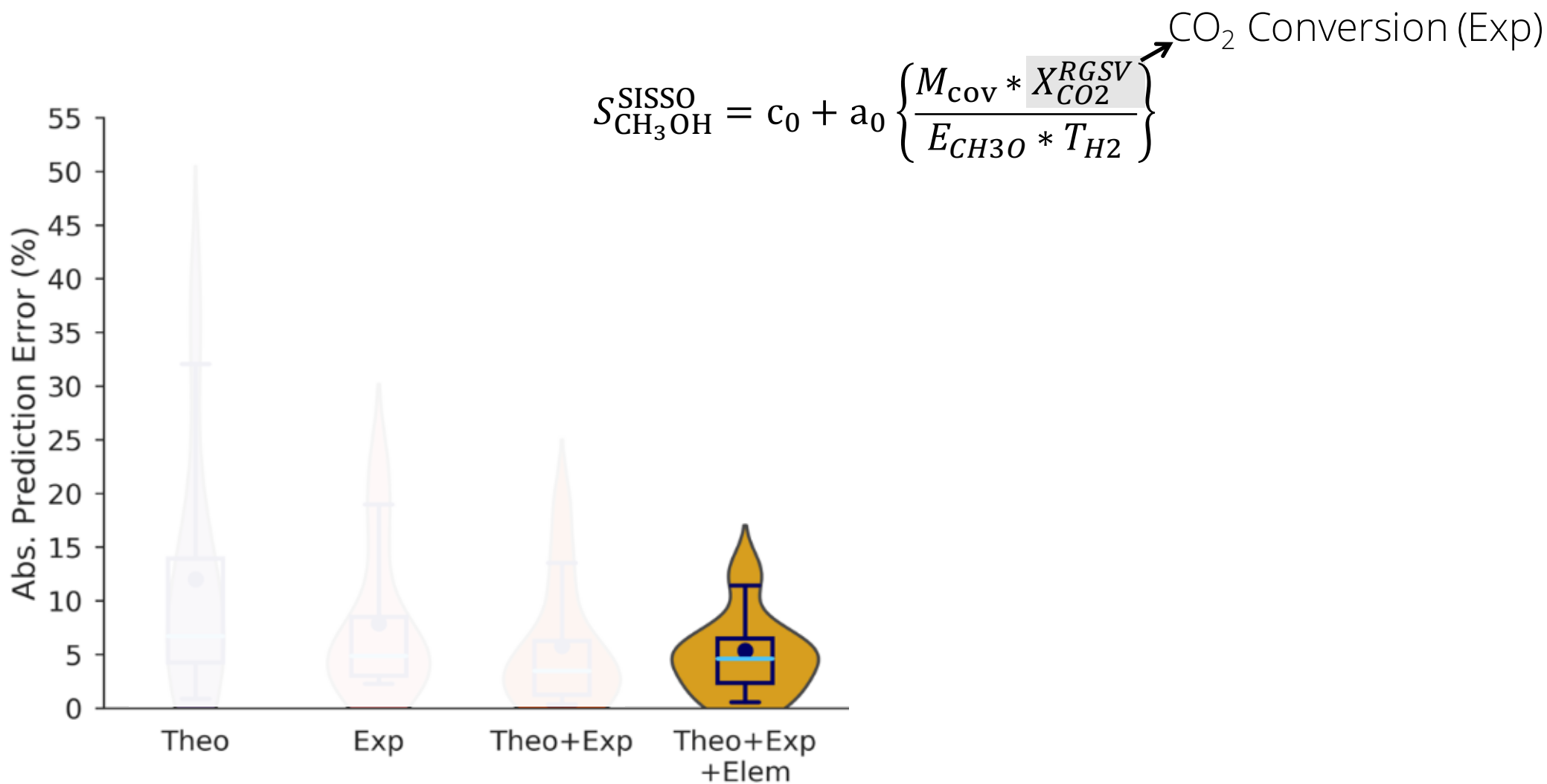


- Improved Description Combining Theoretical (Theo), Experimental (Exp), and Elemental (Elem) Primary Features

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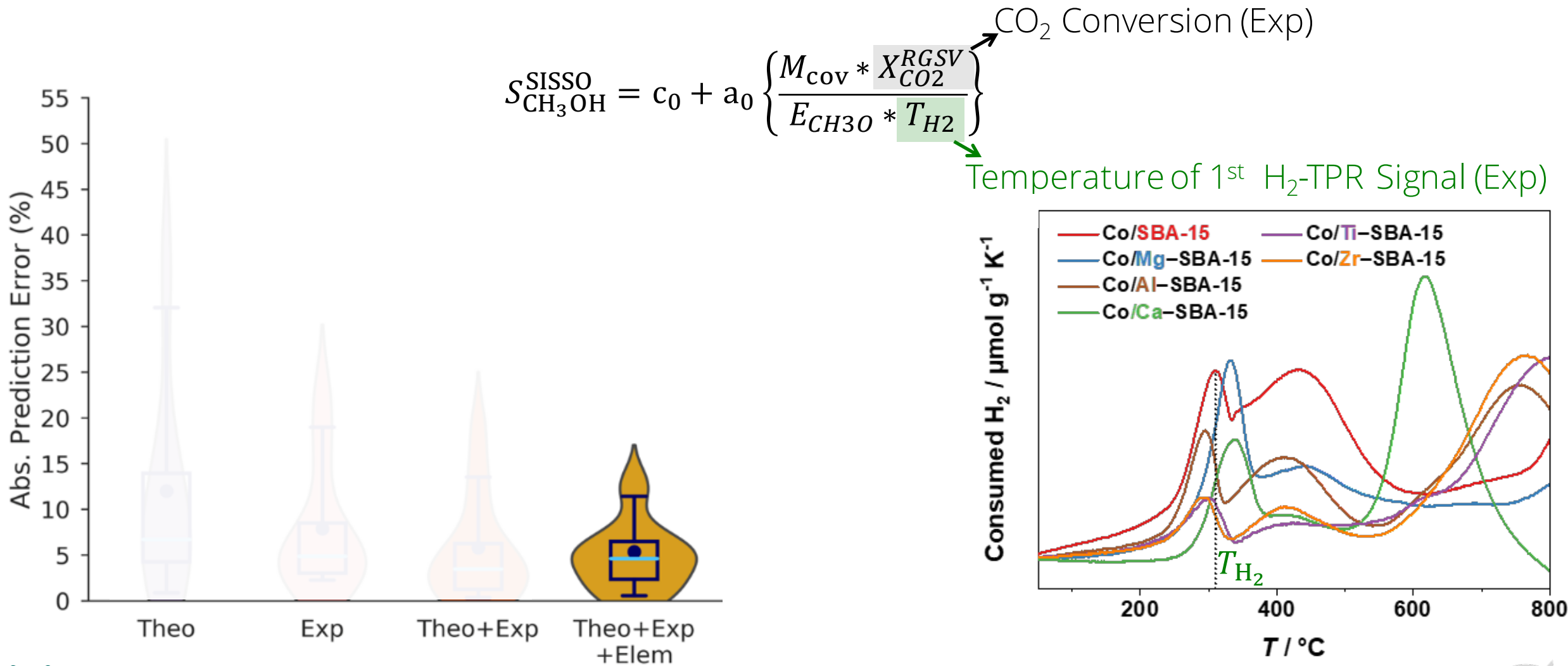
Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation



R. Miyazaki *et al.* JACS 146, 5433 (2024)

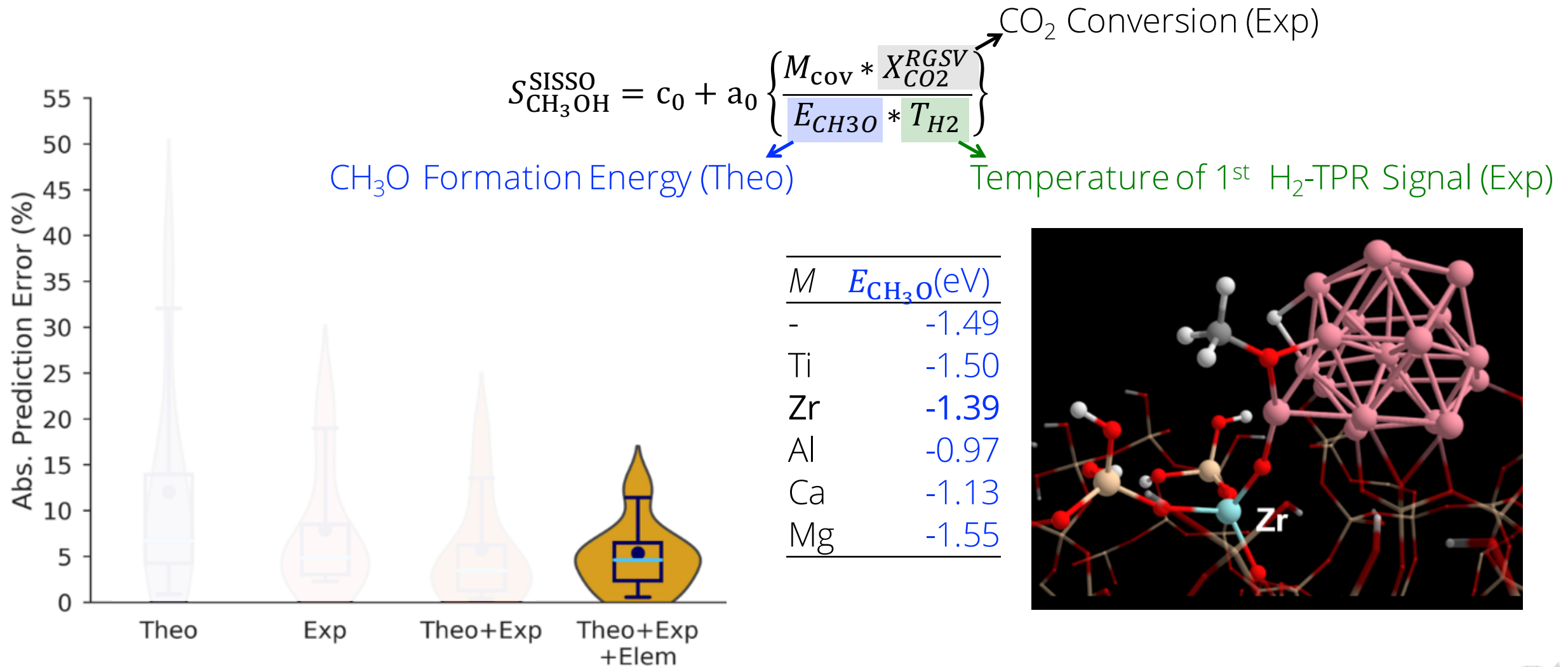


Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation



R. Miyazaki *et al.* JACS 146, 5433 (2024)

Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation



R. Miyazaki *et al.* JACS 146, 5433 (2024)

Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation

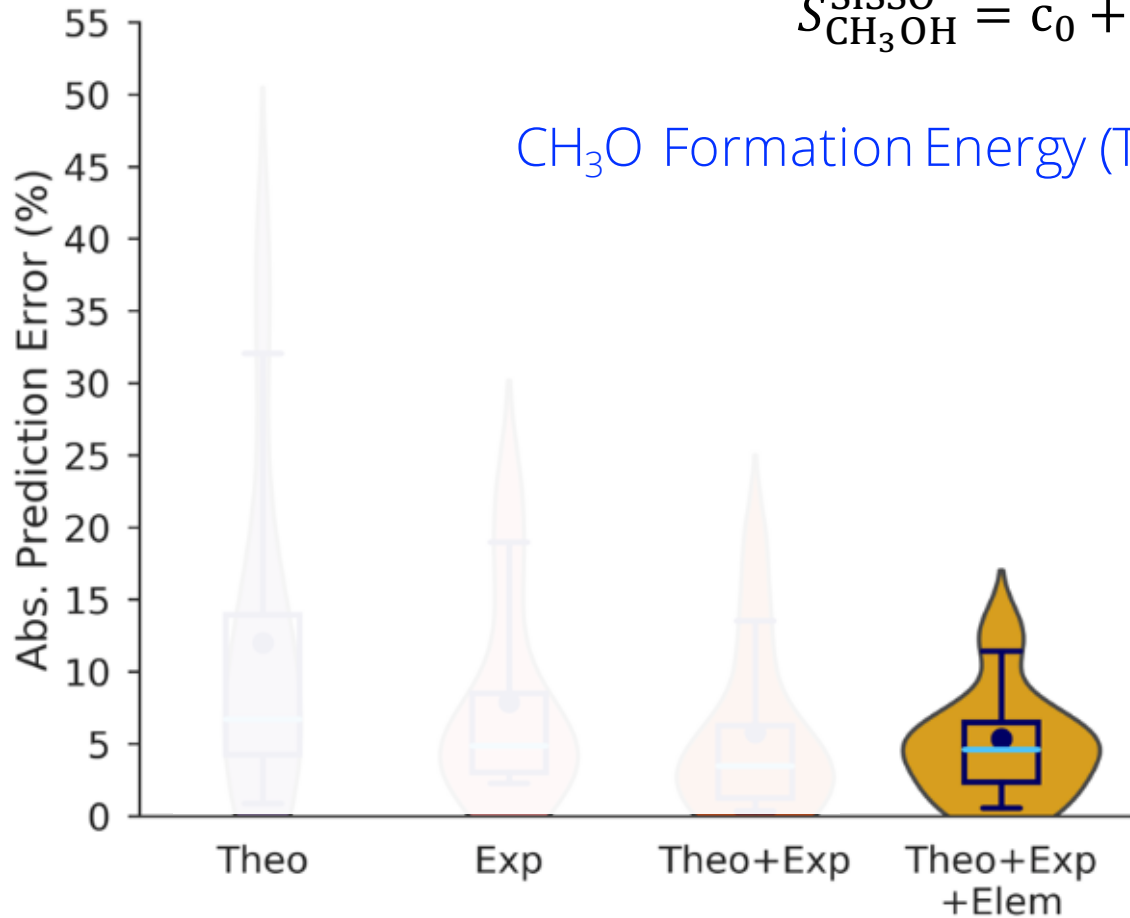
M Covalent Atomic Radius (Elem)

CO₂ Conversion (Exp)

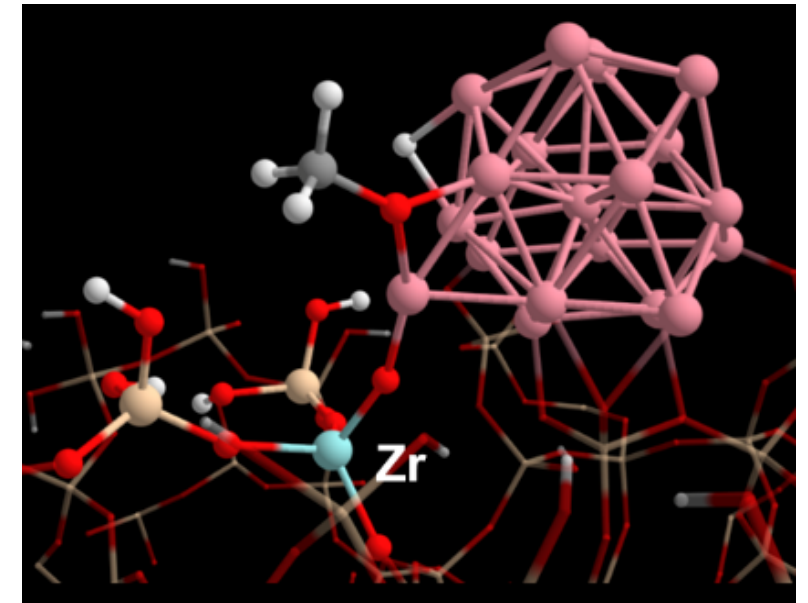
$$S_{\text{CH}_3\text{OH}}^{\text{SISSO}} = c_0 + a_0 \left\{ \frac{M_{\text{cov}} * X_{\text{CO}_2}^{\text{RGSV}}}{E_{\text{CH}_3\text{O}} * T_{\text{H}_2}} \right\}$$

CH₃O Formation Energy (Theo)

Temperature of 1st H₂-TPR Signal (Exp)



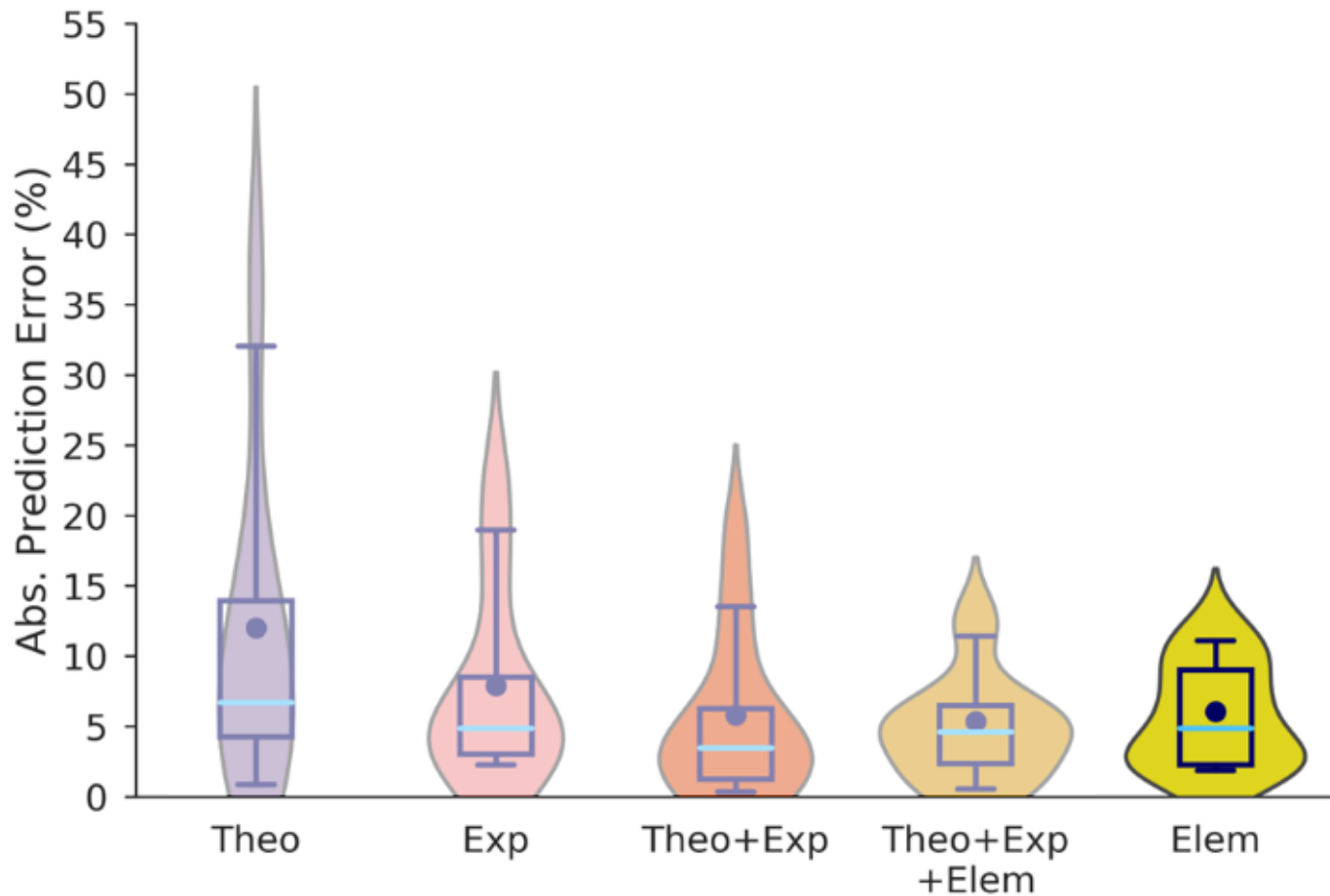
M	$E_{\text{CH}_3\text{O}}(\text{eV})$
-	-1.49
Ti	-1.50
Zr	-1.39
Al	-0.97
Ca	-1.13
Mg	-1.55



R. Miyazaki *et al.* JACS 146, 5433 (2024)

Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/*M*-SiO₂) in CO₂ Hydrogenation

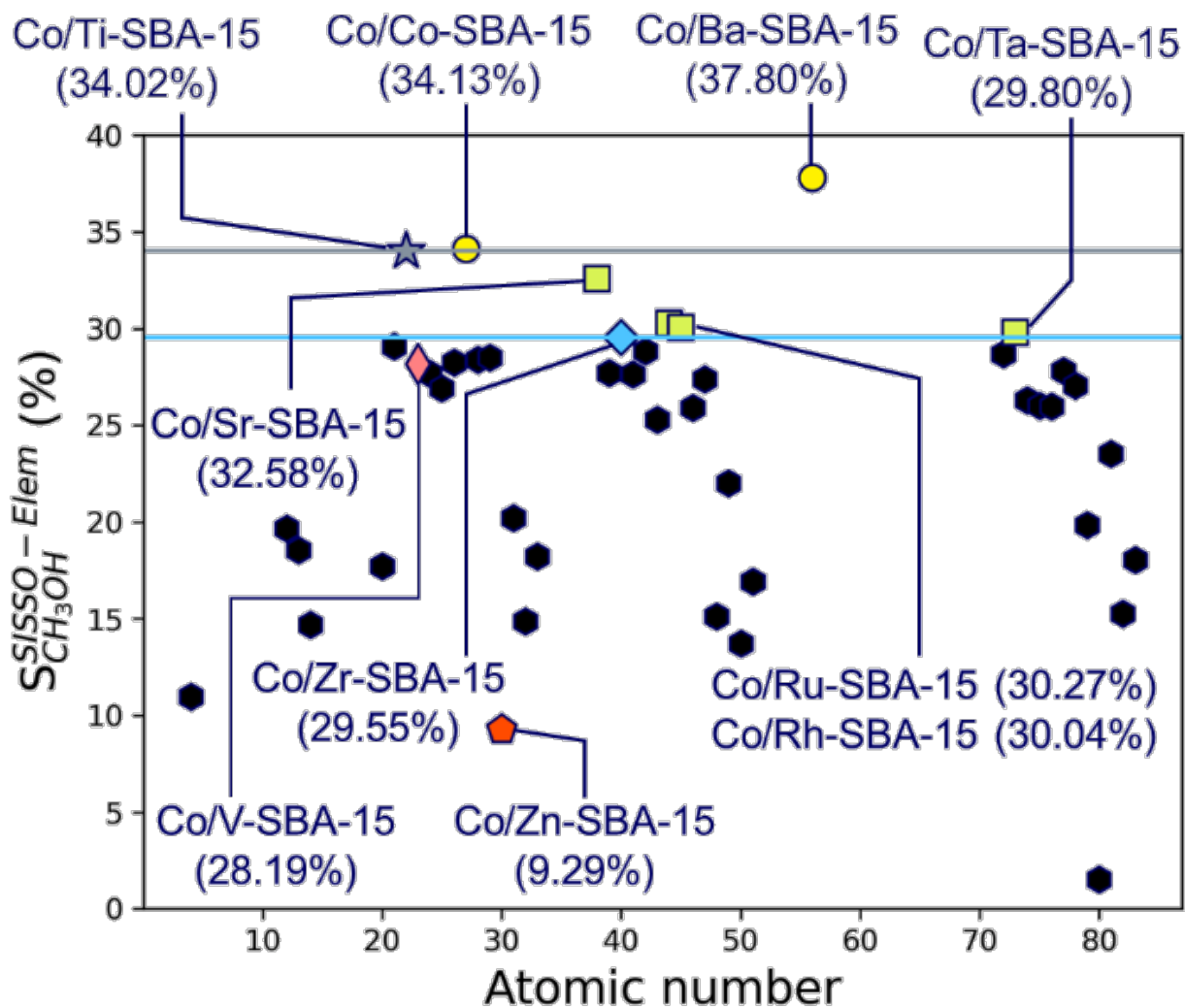
SISSO Model Based Only on Elemental Parameters Suggests New Supports



R. Miyazaki *et al.* JACS 146, 5433 (2024)



Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation

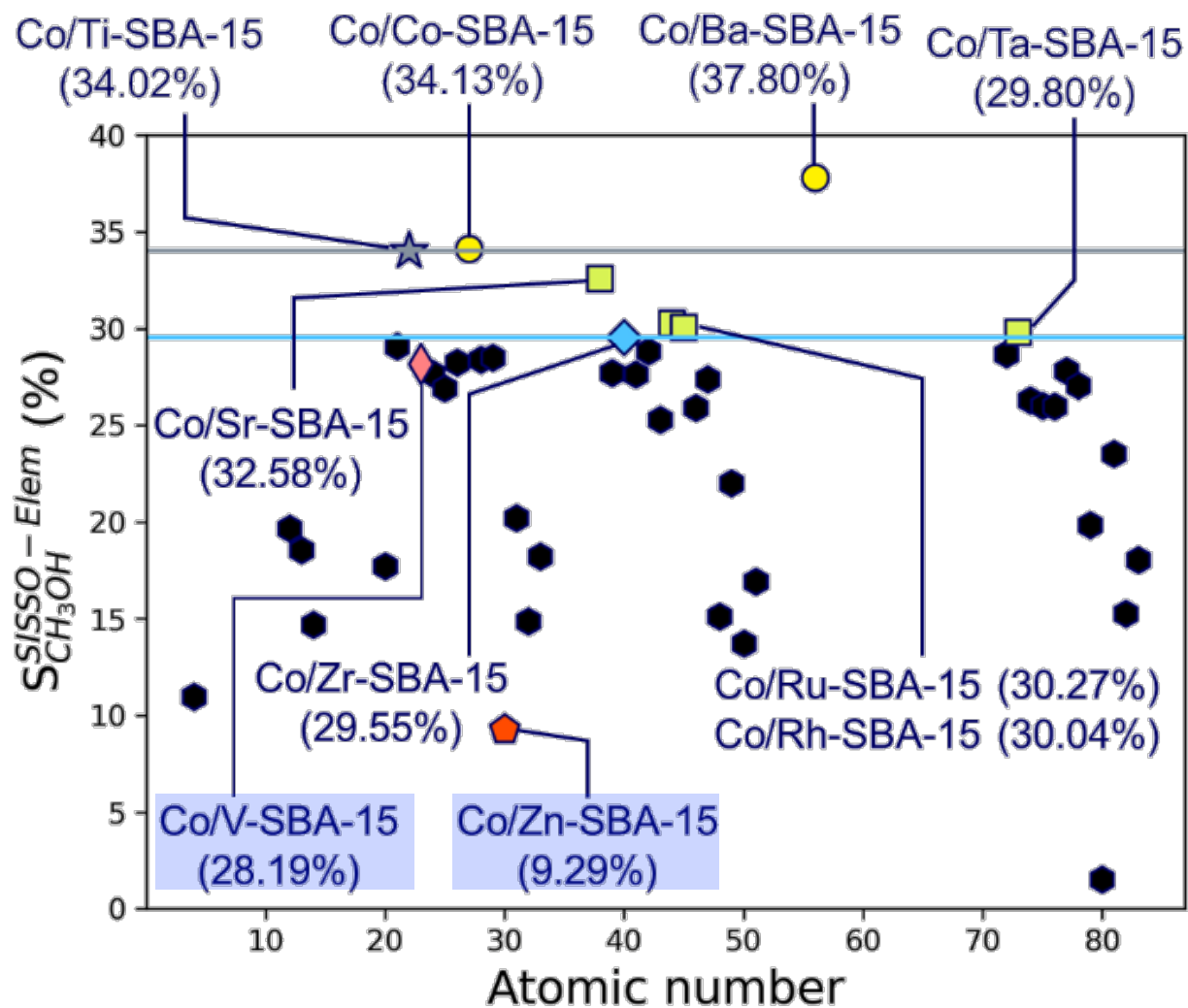


SISSO Model Based Only on Elemental Parameters Suggests New Supports

R. Miyazaki *et al.* JACS 146, 5433 (2024)



Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation



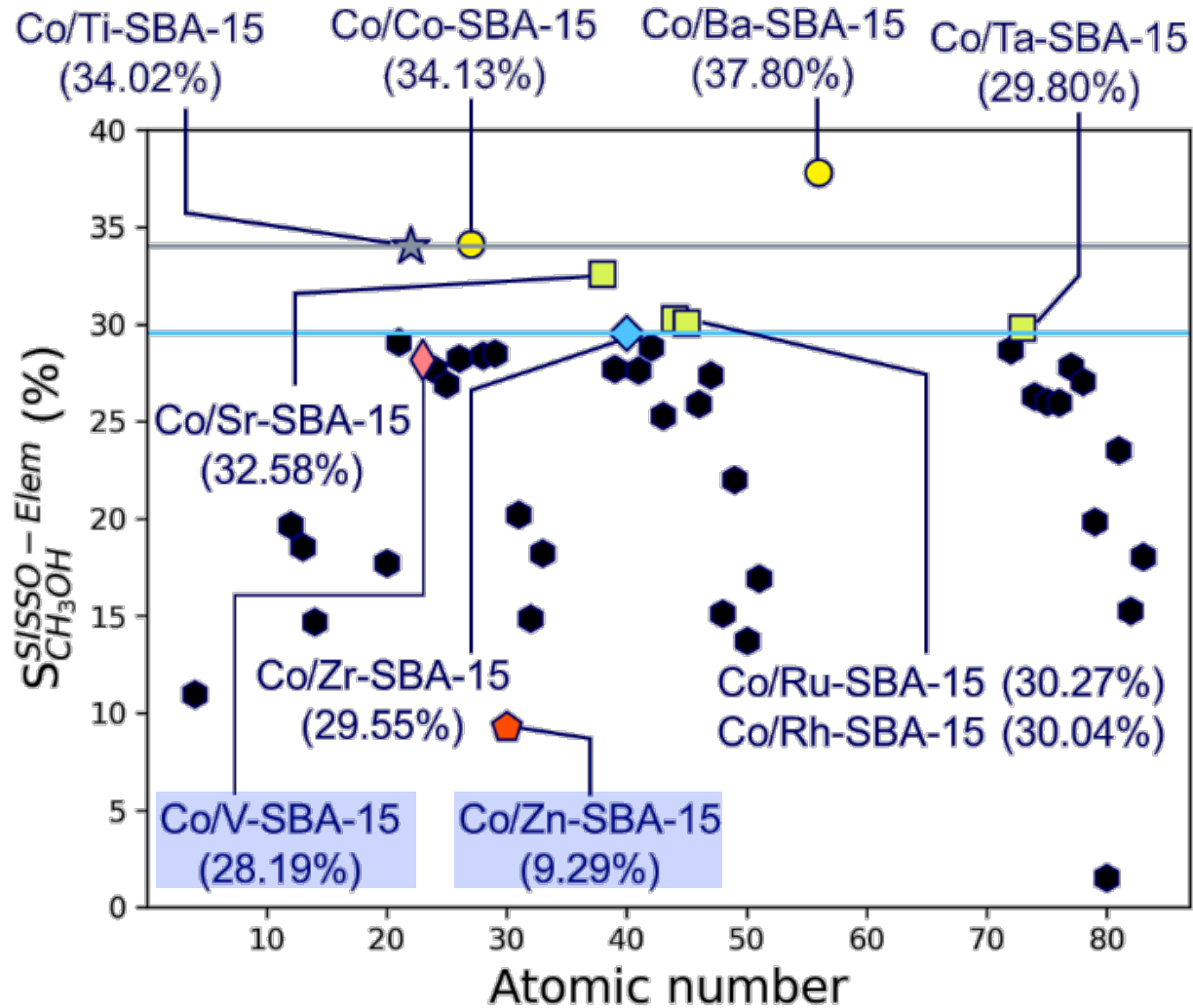
SISSO Model Based Only on Elemental Parameters Suggests New Supports

material	$S_{CH_3OH}^{SISSO}$ (predicted)	$\sigma_{S_{CH_3OH}}^{SISSO}$
Co/V-SiO ₂	28.19	3.9
Co/Zn-SiO ₂	9.29	20.6

Standard Deviation of Predictions of SISSO Models

R. Miyazaki *et al.* JACS 146, 5433 (2024)

Application: Describing the Performance of Silica-Supported Cobalt Catalysts (Co/M-SiO₂) in CO₂ Hydrogenation



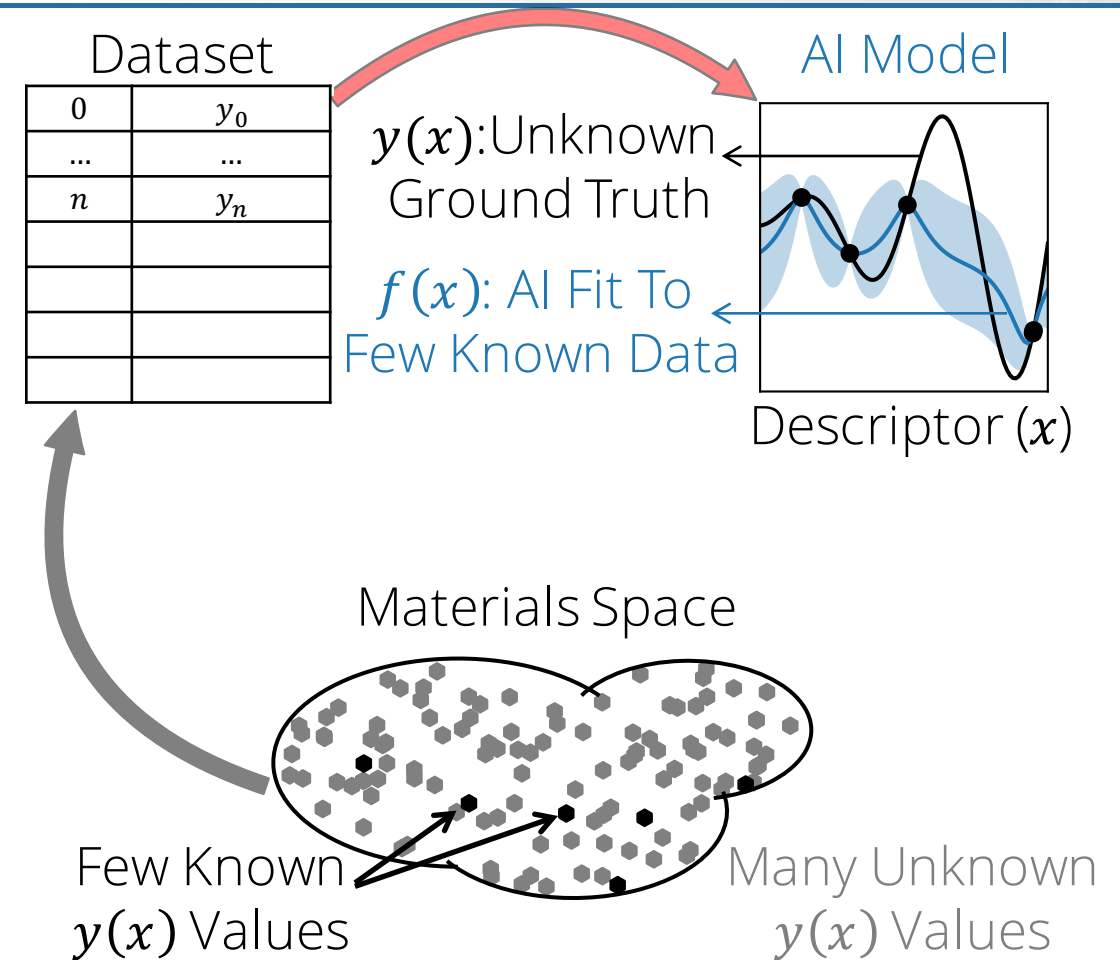
SISSO Model Based Only on Elemental Parameters Suggests New Supports

material	$S_{CH_3OH}^{SISSO}$ (predicted)	$\sigma_{S_{CH_3OH}^{SISSO}}$	$S_{CH_3OH}^{exp}$ (measured)
Co/V-SiO ₂	28.19	3.9	32.5
Co/Zn-SiO ₂	9.29	20.6	16.9

Standard Deviation of Predictions of SISSO Models

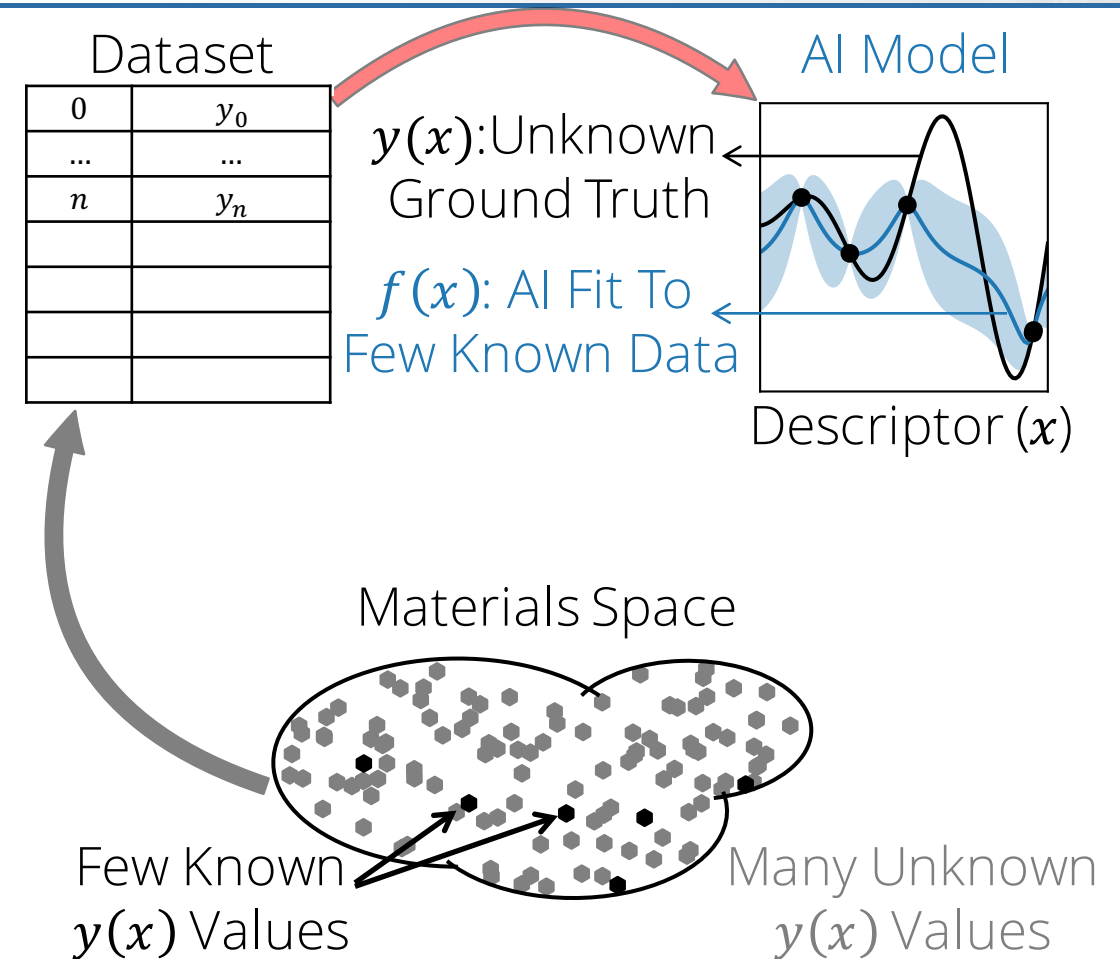
R. Miyazaki *et al.* JACS 146, 5433 (2024)

AI Models of Materials Properties



AI Models of Materials Properties

- Model Performance Assessed in Average
- Good Average Performance Might Not Translate to Good Description of Promising Materials

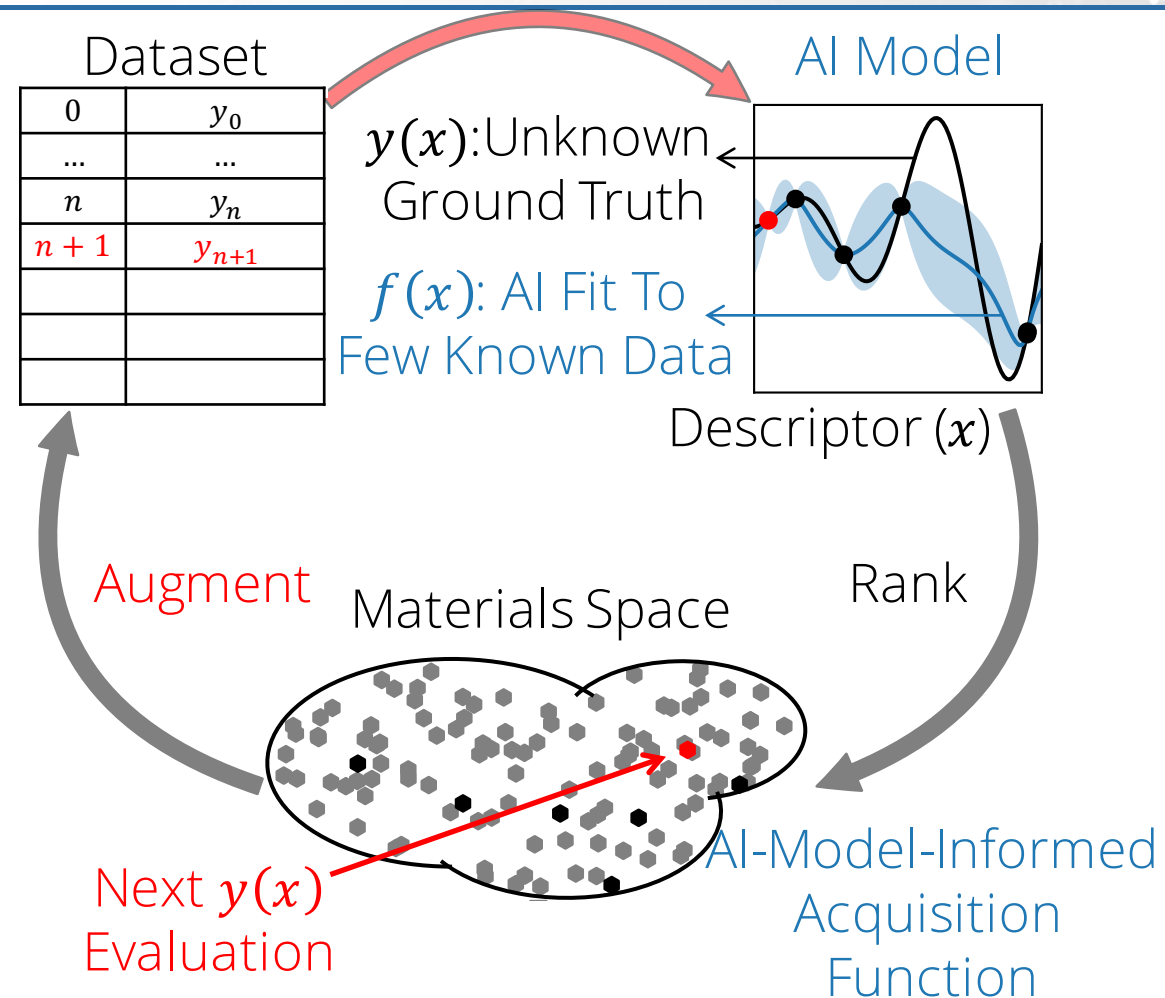


S. Kauwe *et al.*, Comput. Mat. Sci. 174, 109498 (2020)
B. Meredig *et al.*, Mol. Syst. Des. Eng. 3, 819 (2018)



From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)

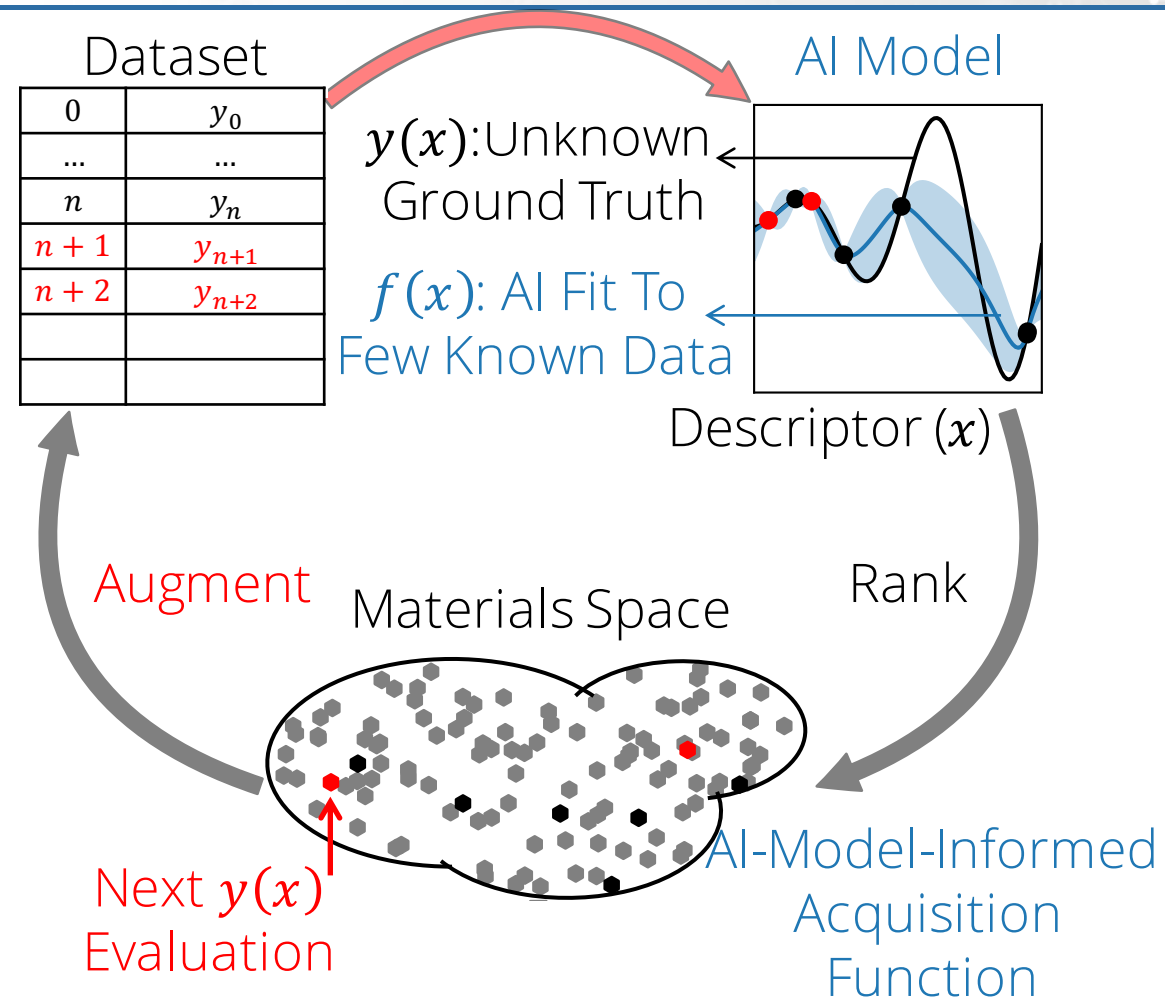


B. Shahriari *et al.*, Proc. IEEE, 104, 1 148 (2016)



From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)

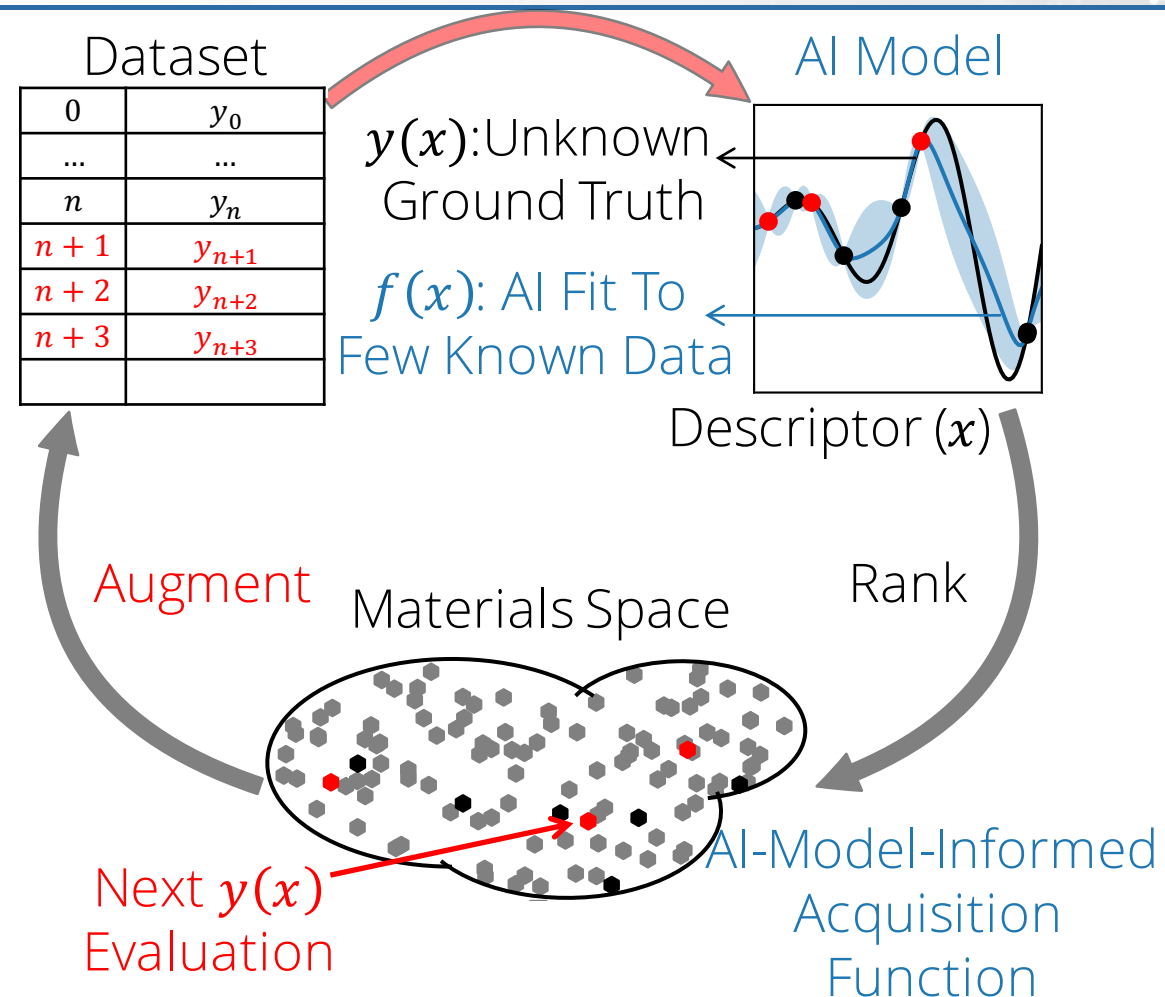


B. Shahriari *et al.*, Proc. IEEE, 104, 1 148 (2016)



From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)

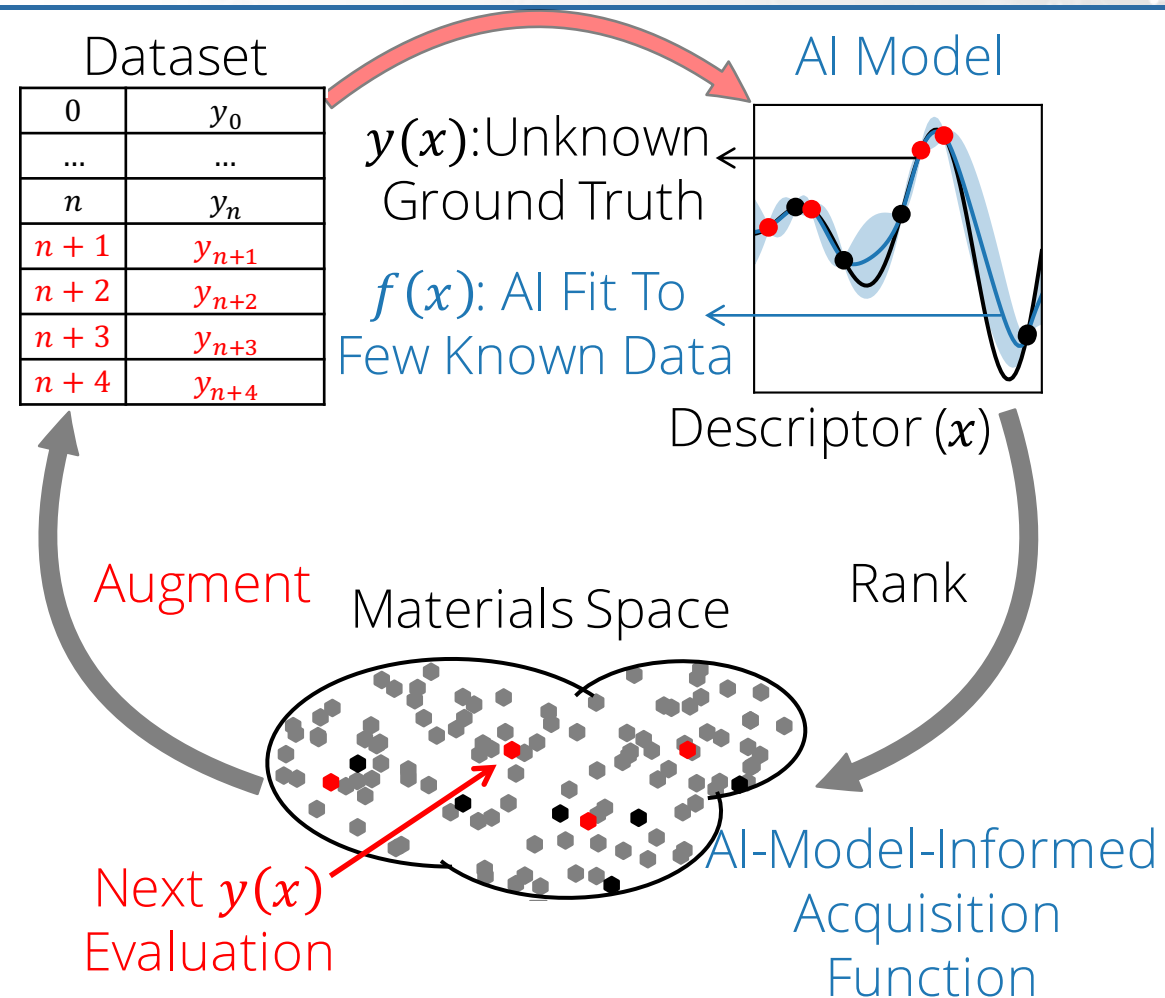


B. Shahriari *et al.*, Proc. IEEE, 104, 1 148 (2016)



From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)



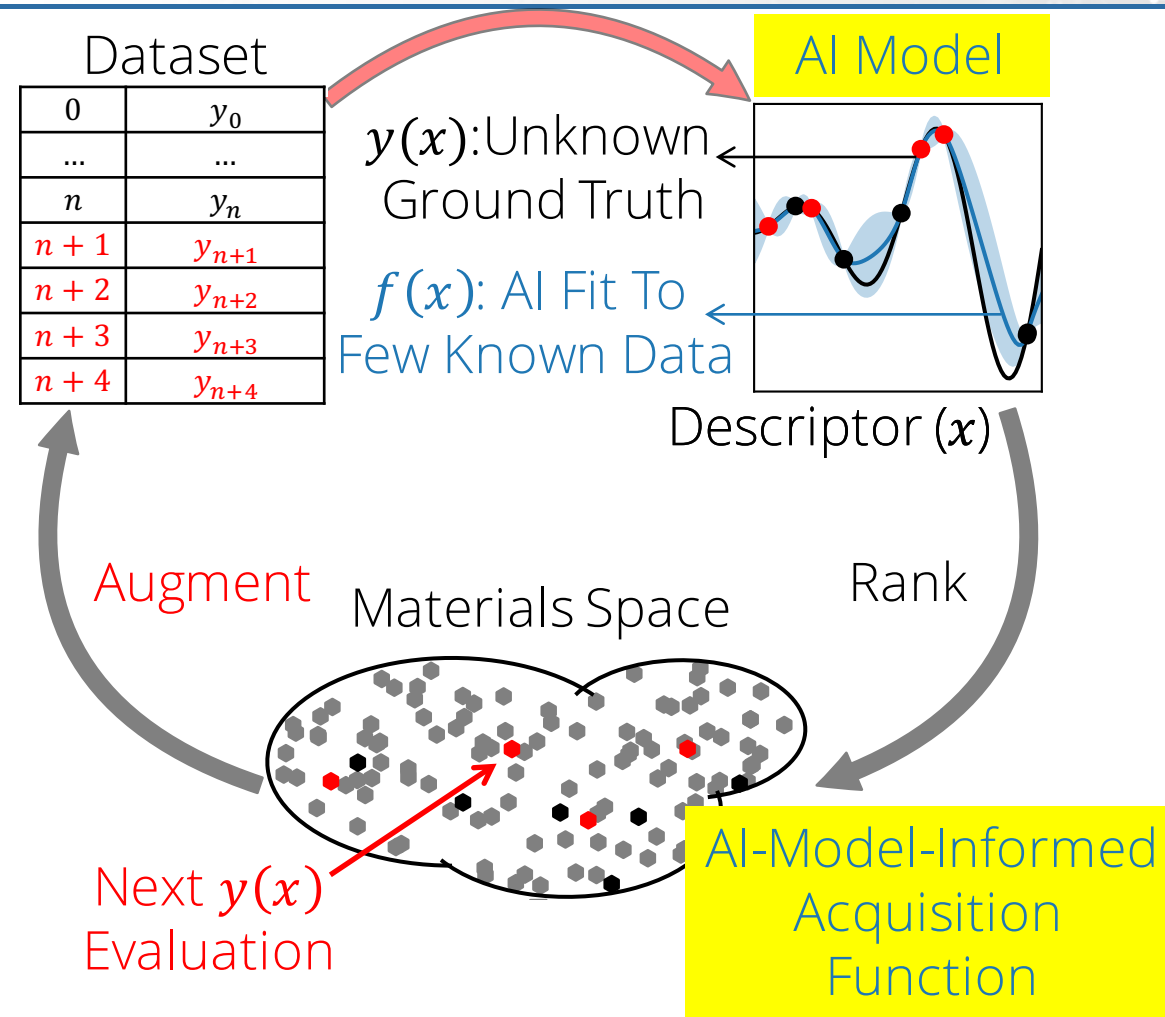
B. Shahriari *et al.*, Proc. IEEE, 104, 1 148 (2016)



From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)

1. Impact of Choices of AI Model and Acquisition Function?

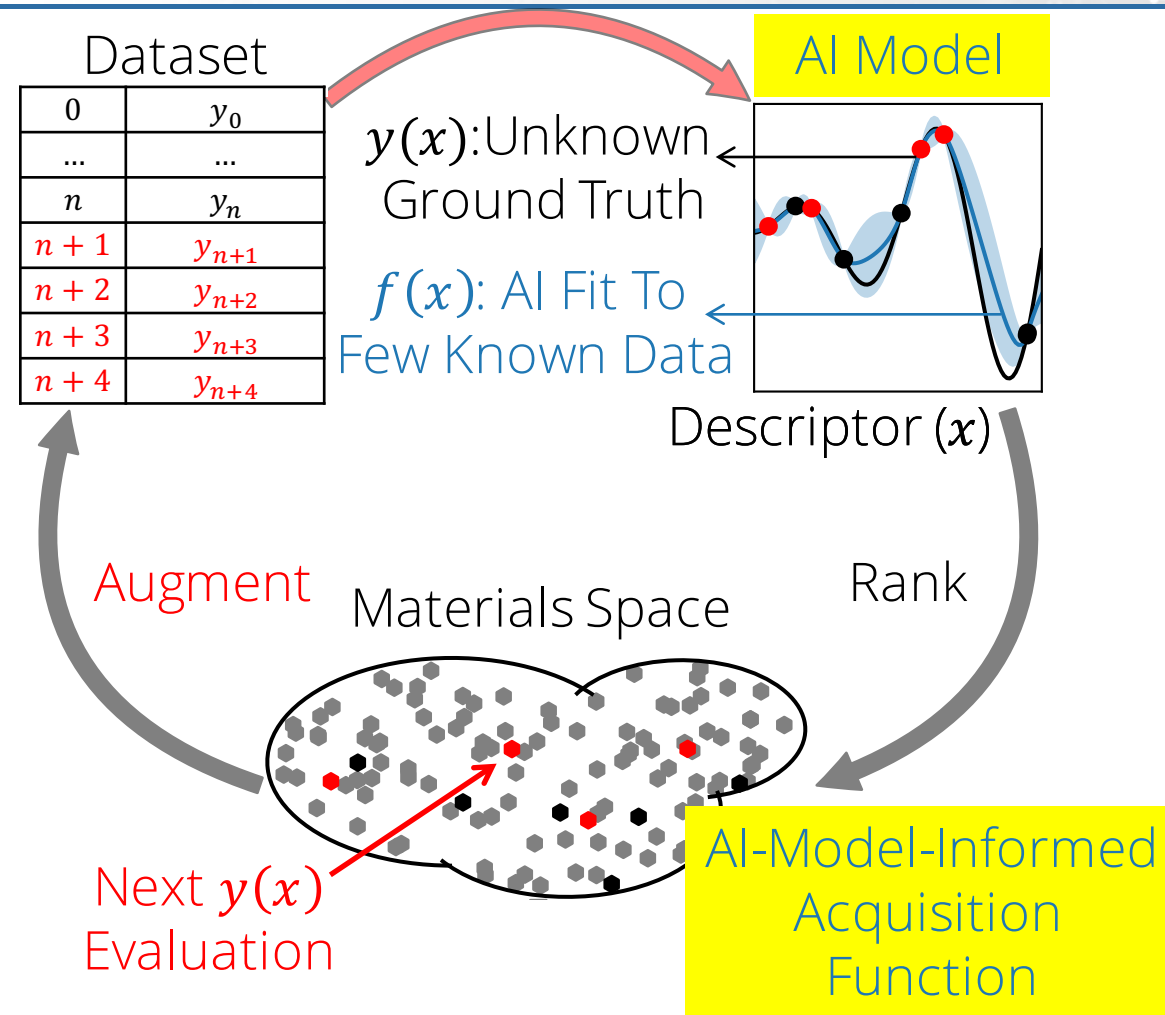


From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)

1. Impact of Choices of AI Model and Acquisition Function?

2. SISSO-Guided Workflow?

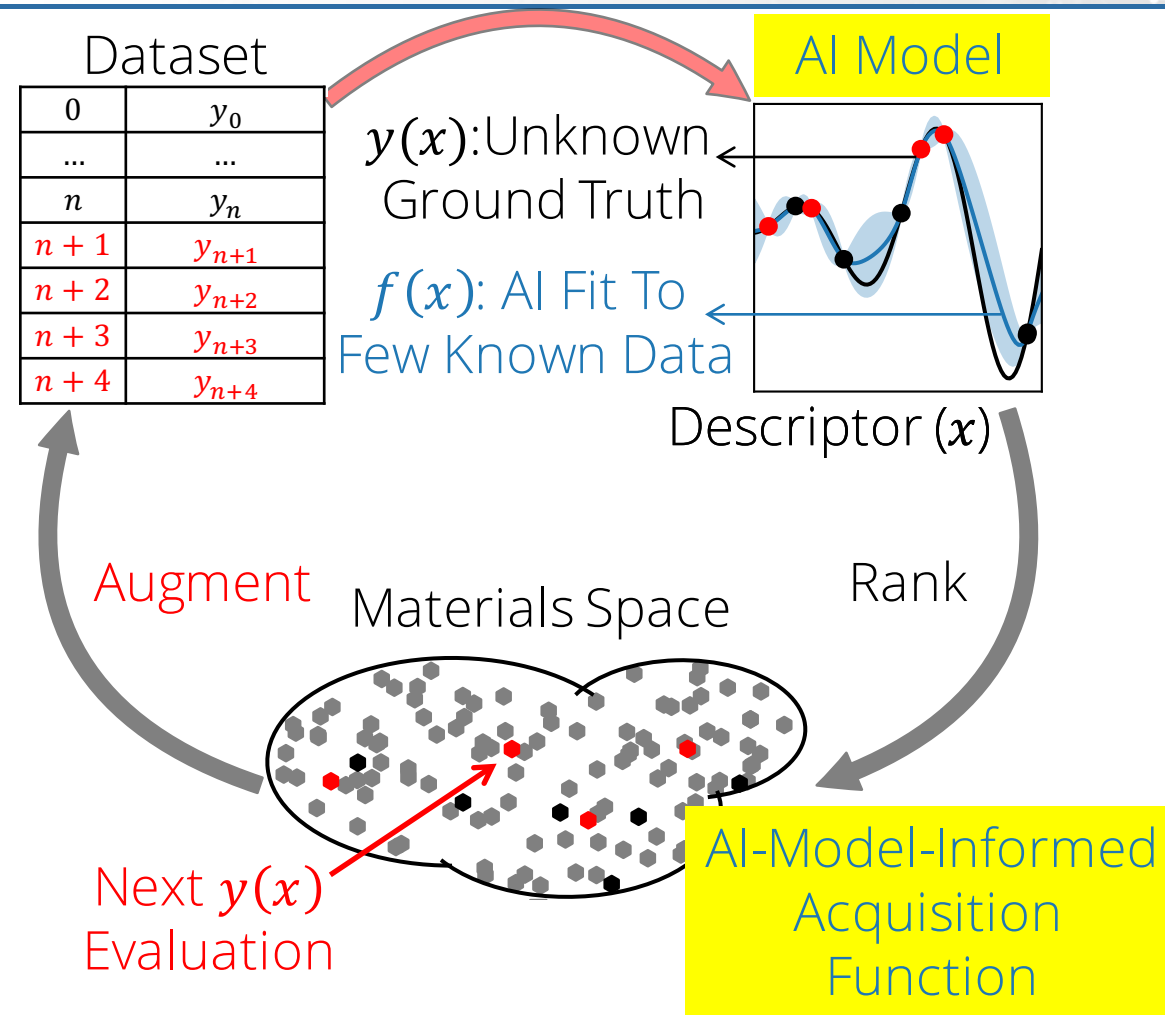


From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)

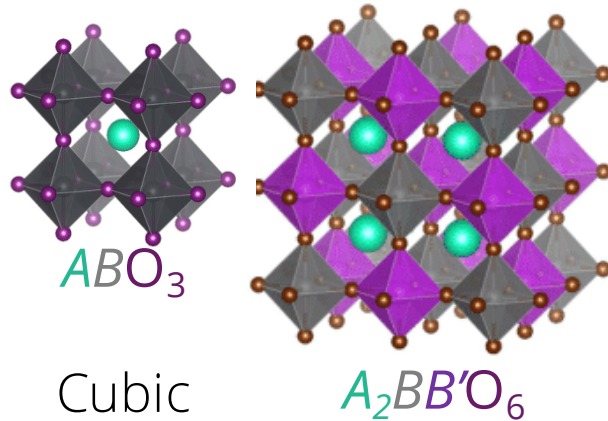
1. Impact of Choices of AI Model and Acquisition Function?

2. SISSO-Guided Workflow?



Workflow 1: Identifying Perovskites with High Bulk Modulus

- Target Property (y): Bulk Modulus (B_0)

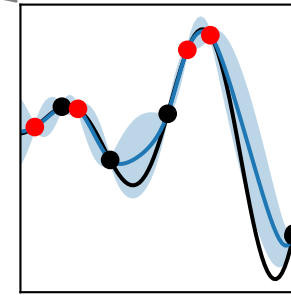


Initial Dataset (**814**)

0	y_0
...	...
n	y_n
$n+1$	y_{n+1}
$n+2$	y_{n+2}
$n+3$	y_{n+3}
$n+4$	y_{n+4}

AI Models

- Gaussian Processes (GP)
- Random Forests (RF)

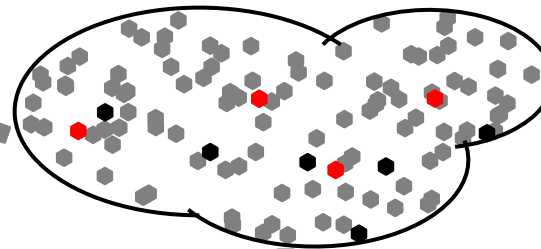


Descriptor (x)

Augment

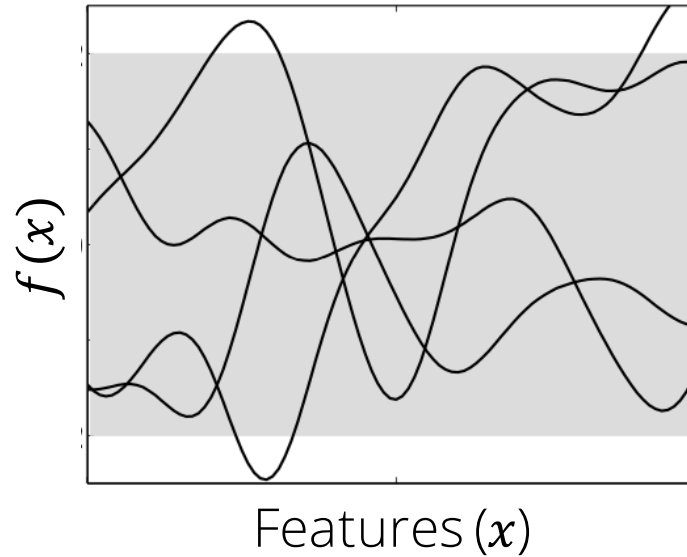
Rank

Materials Space (**30 k**)



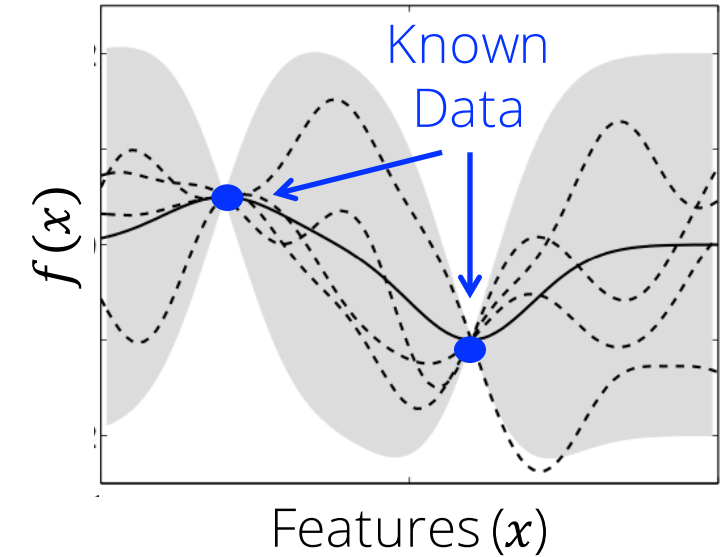
Gaussian Processes (GP)

- Probabilistic Model Providing Mean Predictions and Confidence Intervals (Uncertainty)
- Learns a Distribution Over All Possible Functions that Fit the Data



Prior Distribution

- Distribution of Functions Generated Using Assumptions on Continuity and Smoothness (Kernel)
- All Functions Are Possible Before Seeing Data



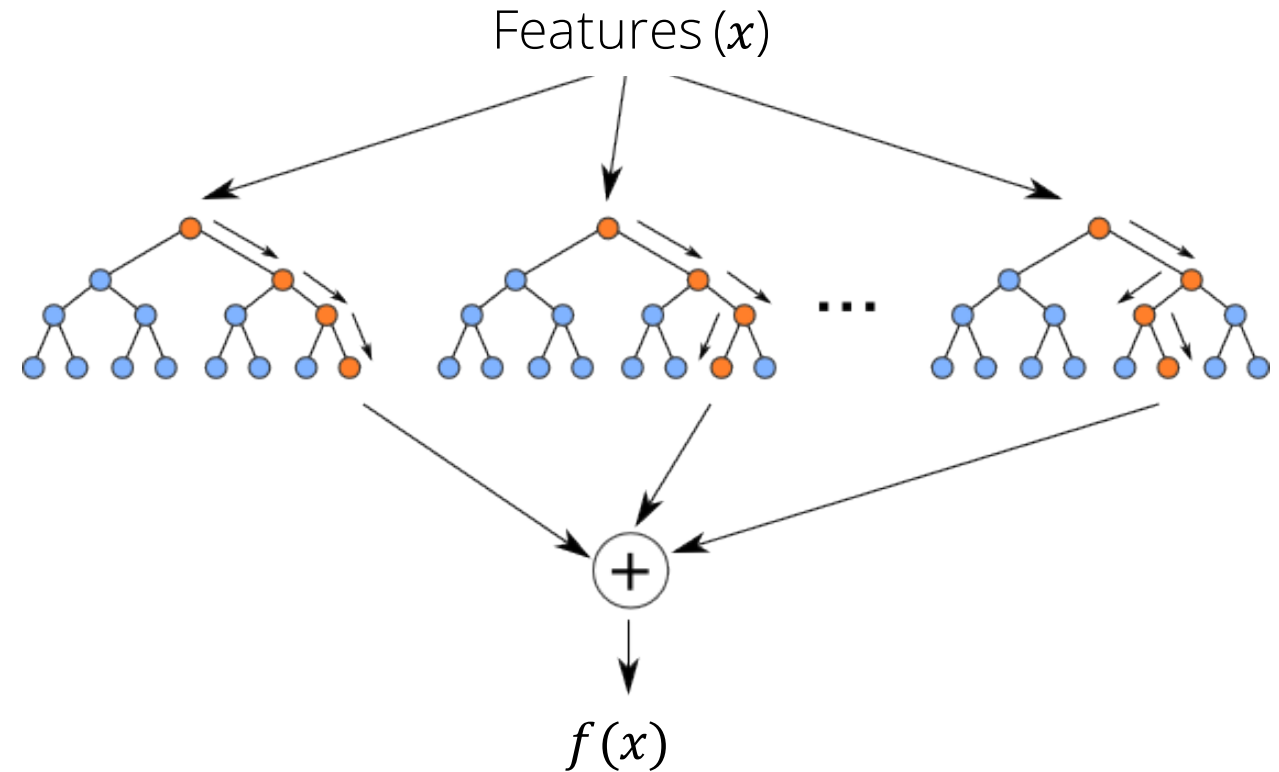
Posterior Distribution

- After Seeing Data, Some Functions Become More Important than Others



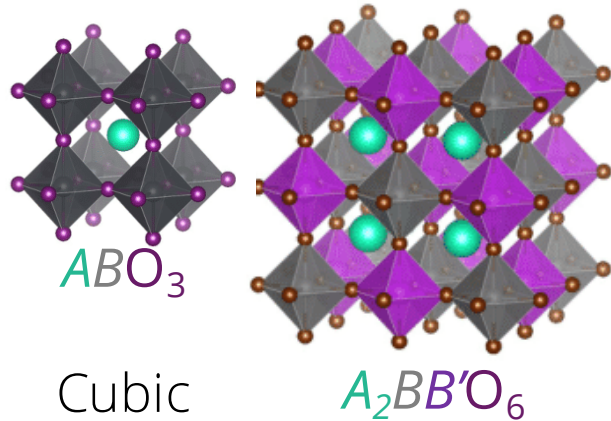
Random Forests (RFs)

- Combines the Predictions of Many (Ensemble) of Decision Trees, Each Trained on a Subset of Data and Features
- Variability of Predictions Across Trees Estimates Prediction Uncertainty



Workflow 1: Identifying Perovskites with High Bulk Modulus

- Target Property (y): Bulk Modulus (B_0)

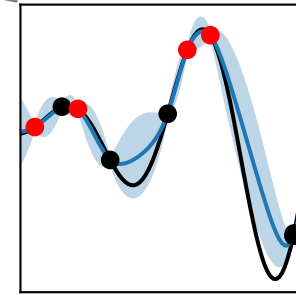


Initial Dataset (**814**)

0	y_0
...	...
n	y_n
$n+1$	y_{n+1}
$n+2$	y_{n+2}
$n+3$	y_{n+3}
$n+4$	y_{n+4}

AI Models

- Gaussian Processes (GP)
- Random Forests (RF)



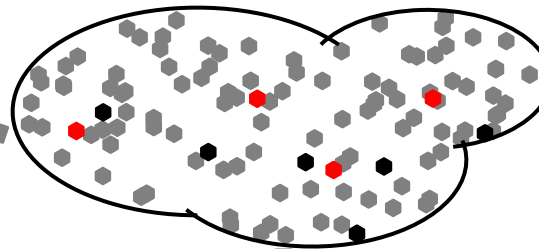
Descriptor (x)

- Elemental Properties of **A** and **B** (e.g. Radii, Electronegativity, Ionization Potential)

Augment

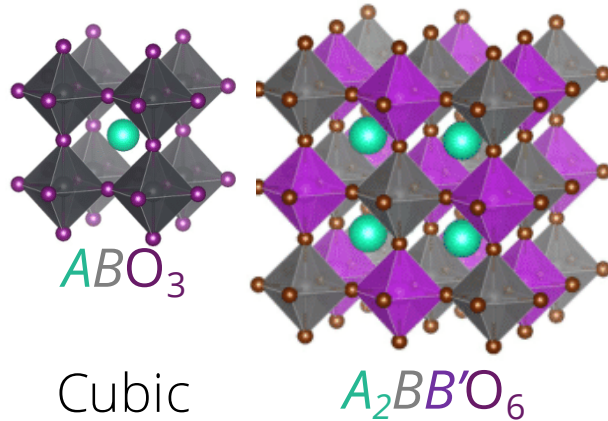
Rank

Materials Space (**30 k**)



Workflow 1: Identifying Perovskites with High Bulk Modulus

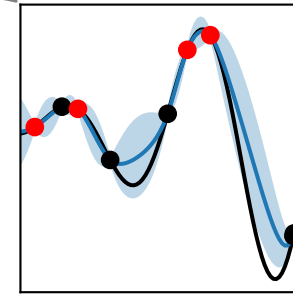
- Target Property (y): Bulk Modulus (B_0)



Initial Dataset (**814**)

0	y_0
...	...
n	y_n
$n+1$	y_{n+1}
$n+2$	y_{n+2}
$n+3$	y_{n+3}
$n+4$	y_{n+4}

AI Models



- Gaussian Processes (GP)
- Random Forests (RF)

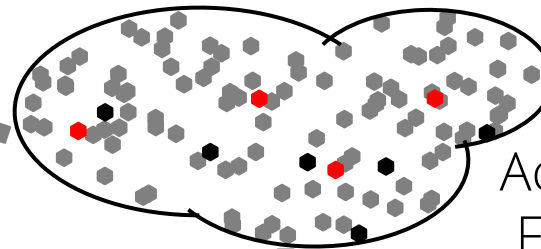
Descriptor (x)

Rank

- Elemental Properties of **A** and **B** (e.g. Radii, Electronegativity, Ionization Potential)

Augment

Materials Space (**30 k**)



Acquisition Functions

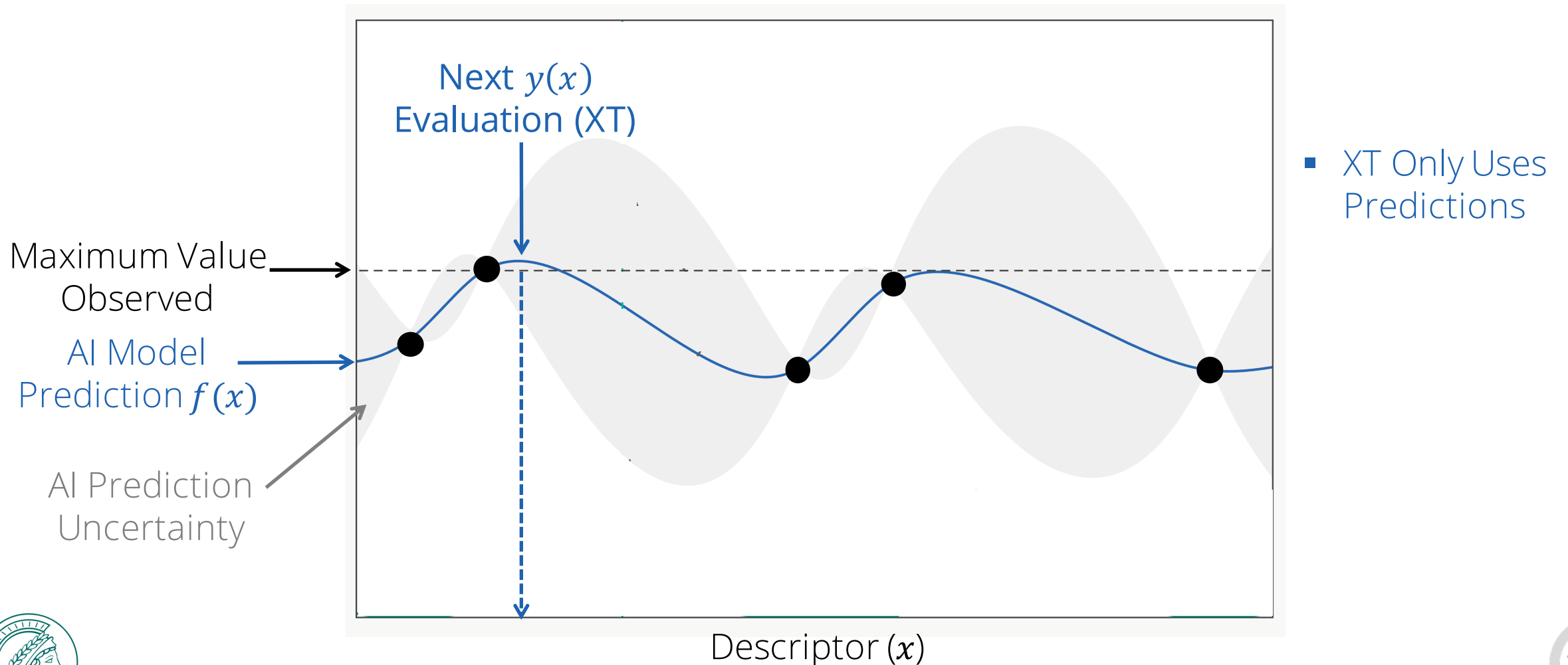
- Pure Exploitation (XT)
- Expected Improvement (EI)

FHI-aims DFT-PBEsol
The ab initio materials simulation package

M. Boley *et al.* Modelling Simul. Mater. Sci. Eng. 32, 063301 (2024)

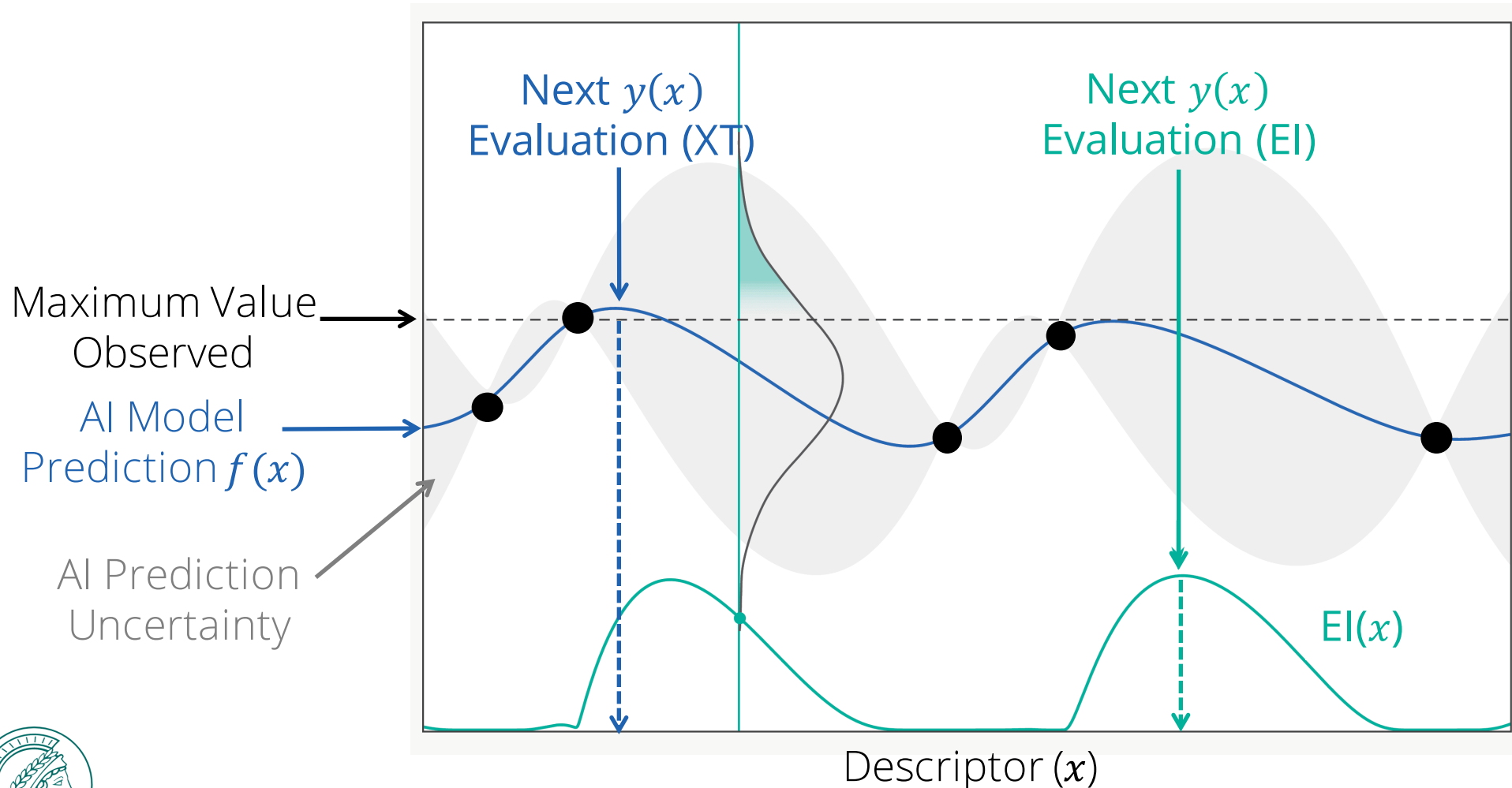
Workflow 1: Identifying Perovskites with High Bulk Modulus

- Reward: Maximum B_0 Observed So Far
- Pure Exploitation (XT)



Workflow 1: Identifying Perovskites with High Bulk Modulus

- Reward: Maximum B_0 Observed So Far
- Pure Exploitation (XT)
 - Expected Improvement (EI)

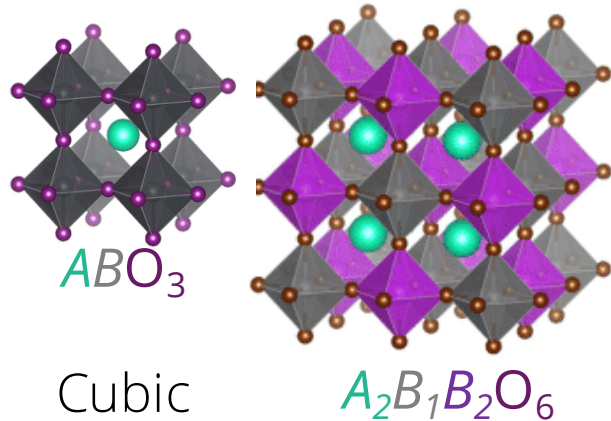


- XT Only Uses Predictions
- EI Uses Predictions and Uncertainties
- EI Balances Model Exploitation and Exploration of Materials Space



Workflow 1: Identifying Perovskites with High Bulk Modulus

- Target Property (y): Bulk Modulus (B_0)

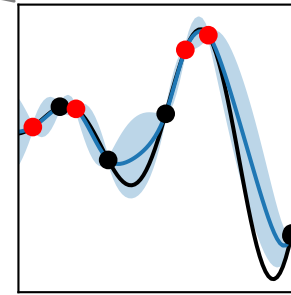


Initial Dataset (**814**)

0	y_0
...	...
n	y_n
$n+1$	y_{n+1}
$n+2$	y_{n+2}
$n+3$	y_{n+3}
$n+4$	y_{n+4}

AI Models

- Gaussian Processes (GP)
- Random Forests (RF)

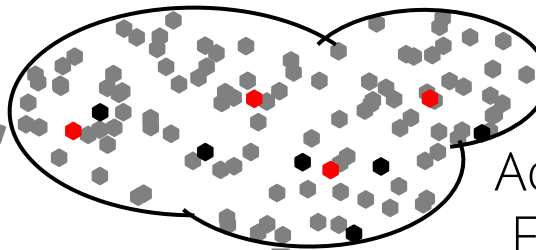


Descriptor (x)

Rank

Augment

Materials Space (**30 k**)



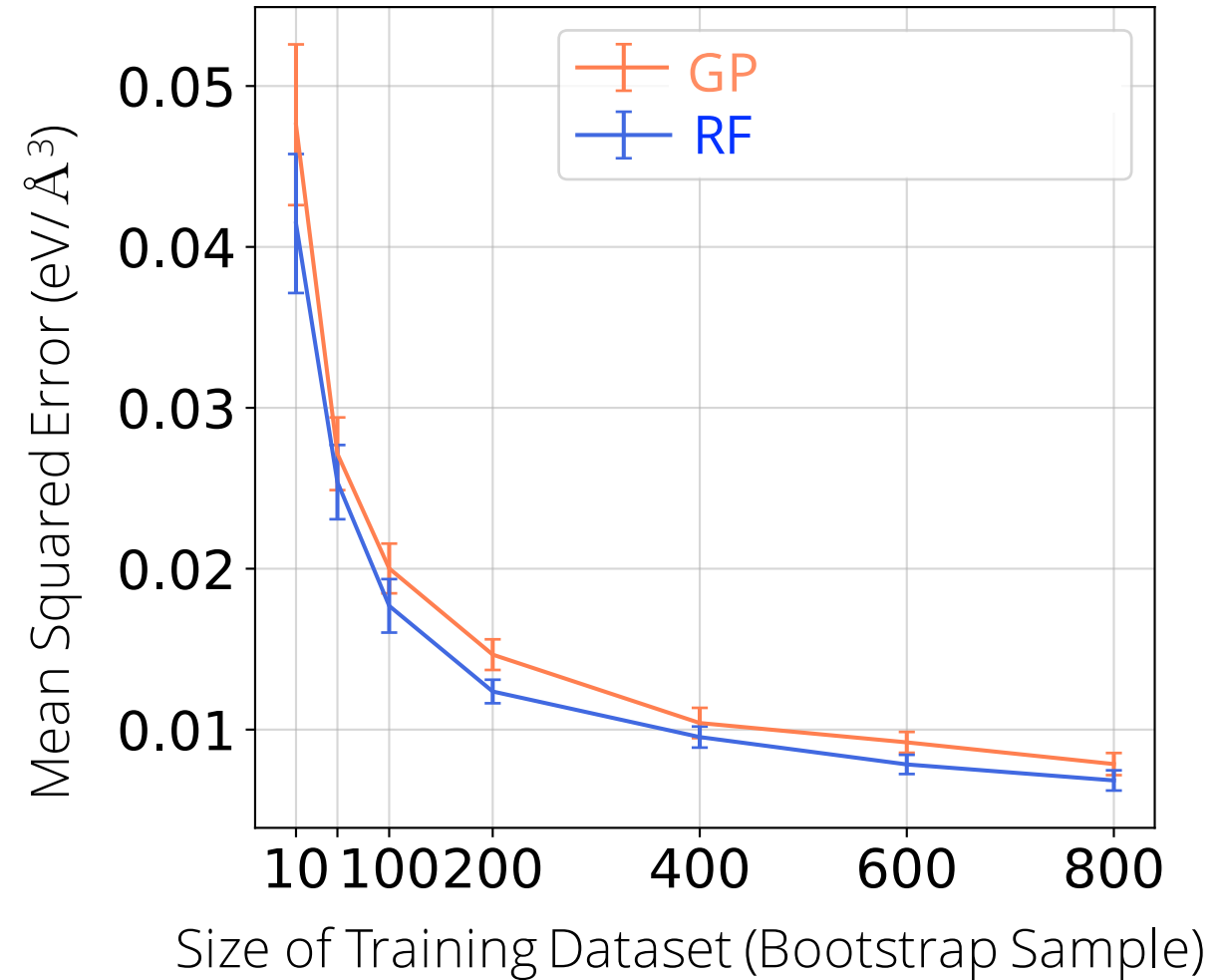
Acquisition Functions

- Pure Exploitation (XT)
- Expected Improvement (EI)

Four Methods

- GP-XT
- GP-EI
- RF-XT
- RF-EI

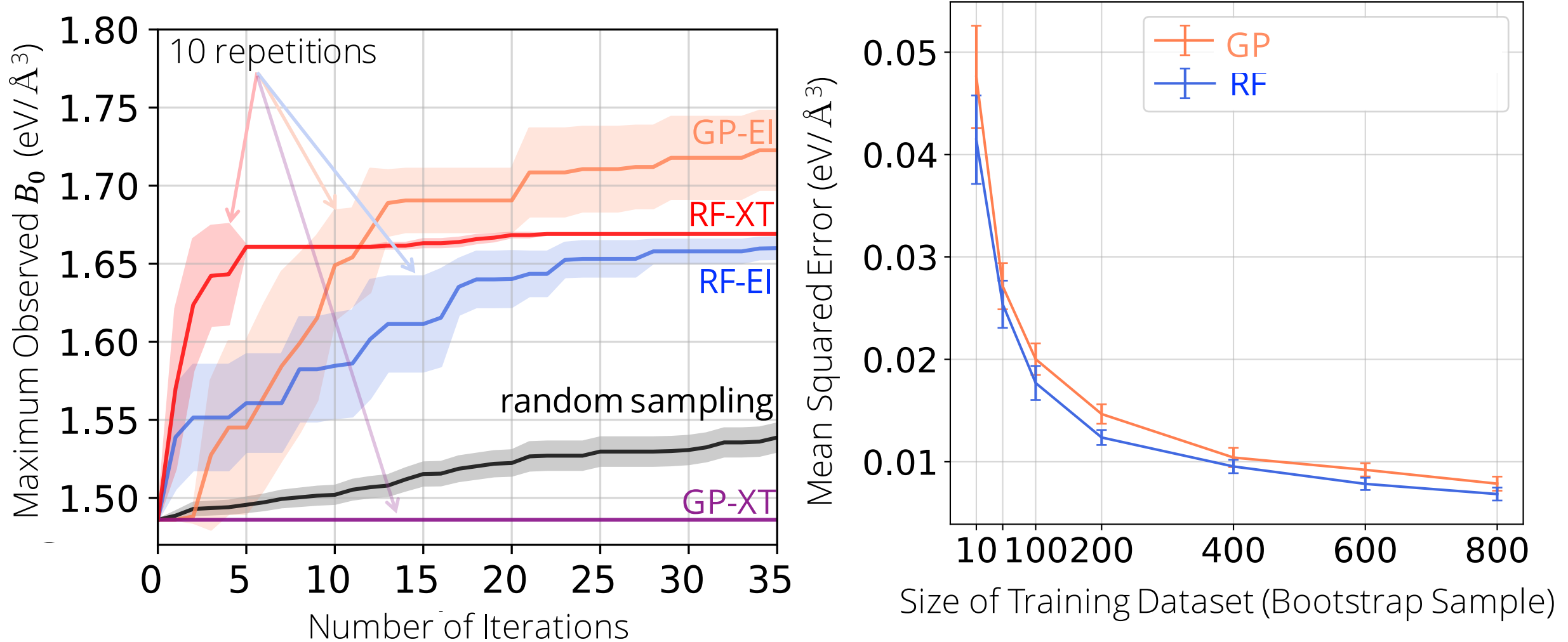
Workflow 1: Identifying Perovskites with High Bulk Modulus



M. Boley *et al.* Modelling Simul. Mater. Sci. Eng. 32, 063301 (2024)

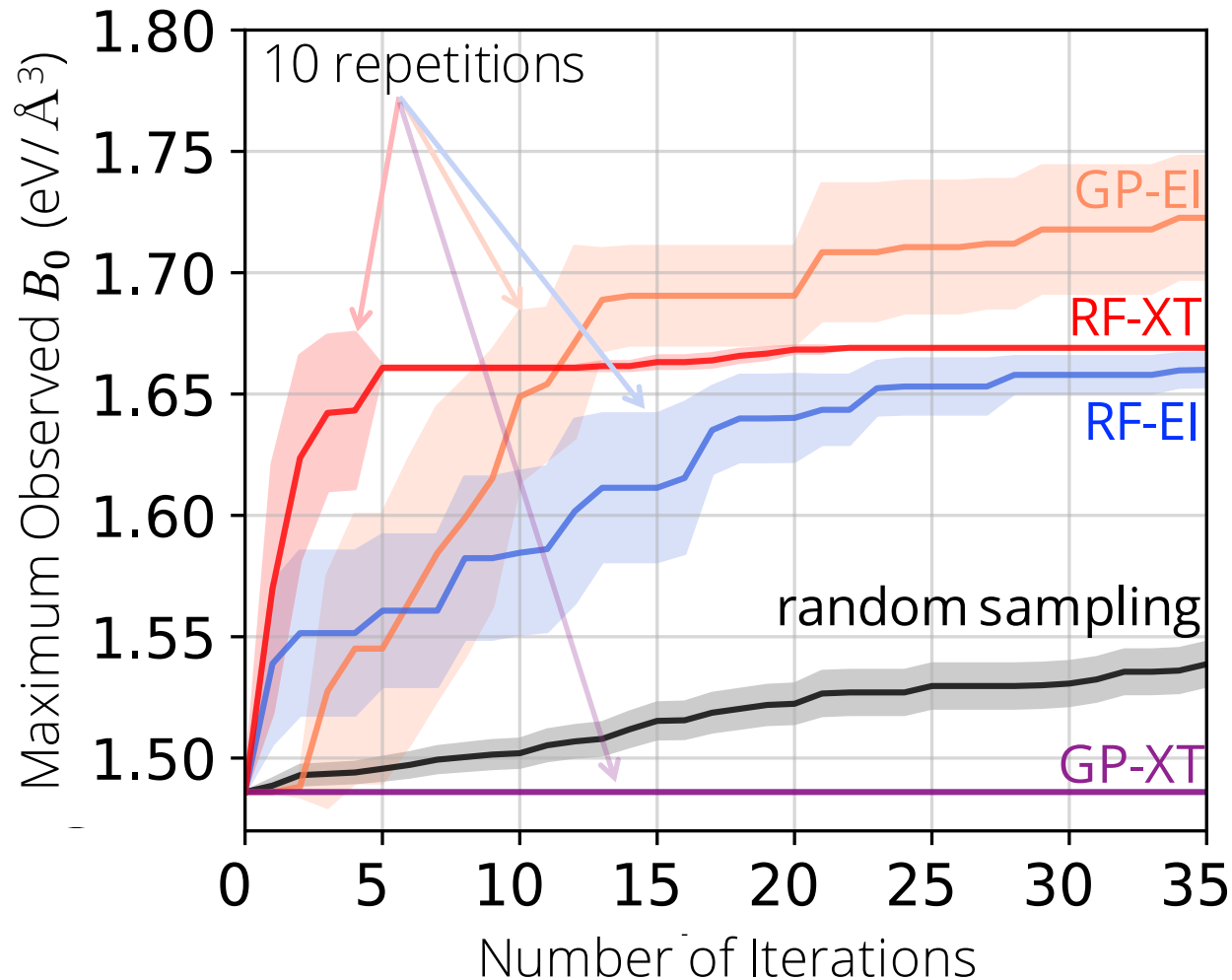


Workflow 1: Identifying Perovskites with High Bulk Modulus



M. Boley *et al.* Modelling Simul. Mater. Sci. Eng. 32, 063301 (2024)

Workflow 1: Identifying Perovskites with High Bulk Modulus

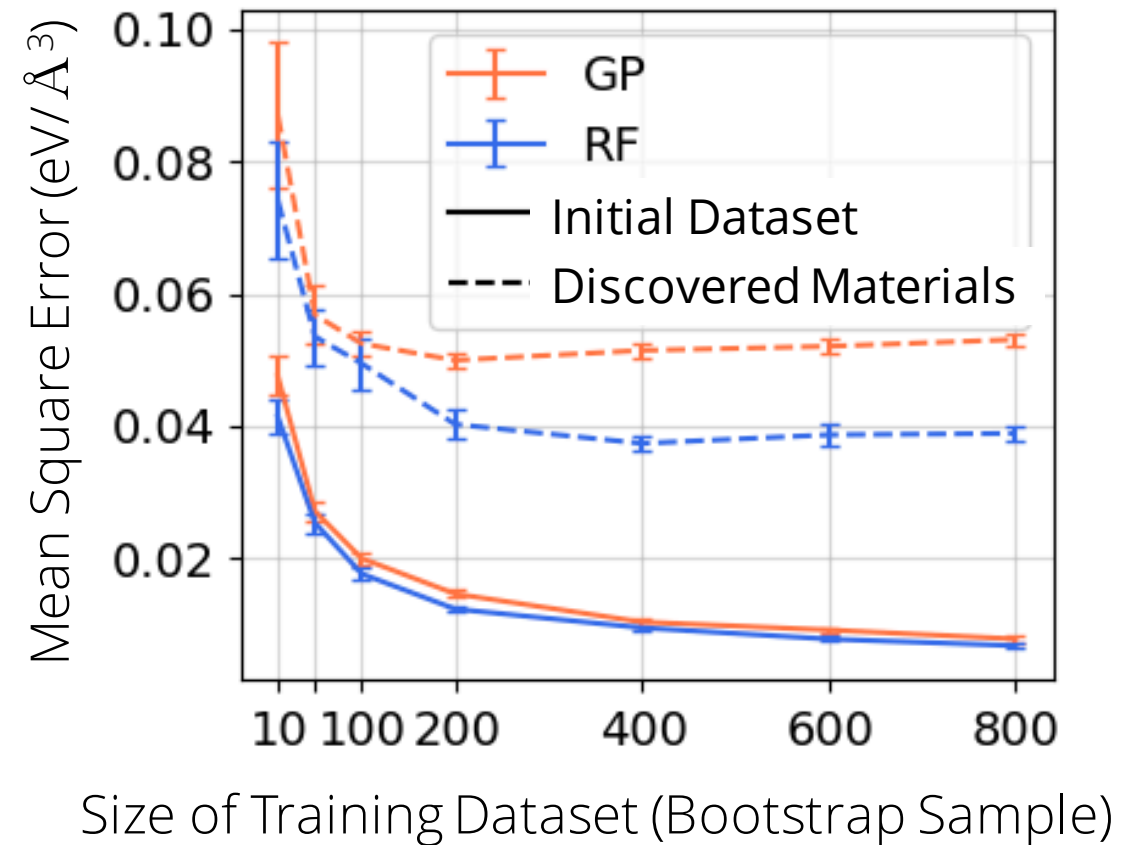
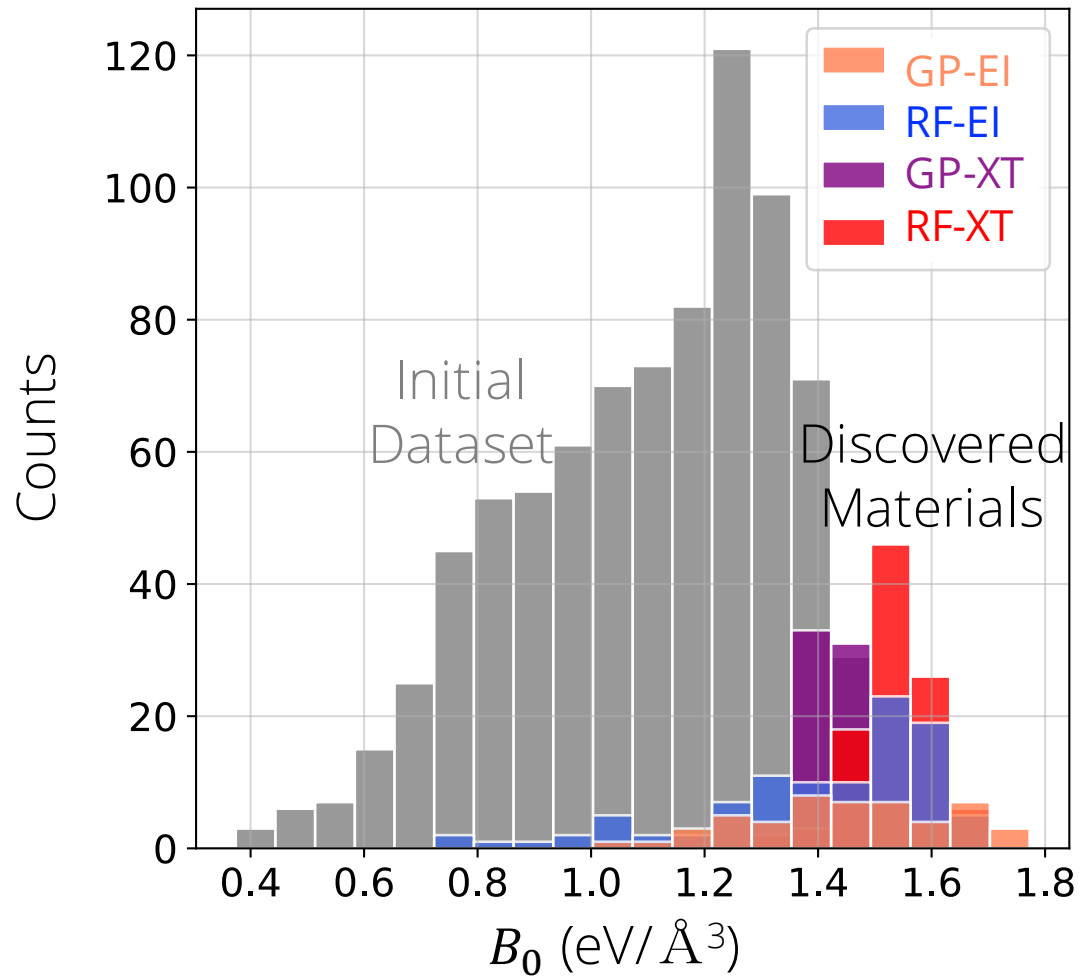


- Efficiency of AI-Guided Workflow Depends On the Combination of AI Model and Acquisition Function
- Exploration (e.g., Guided By Uncertainty Estimates) Might Be Crucial
- Importance of Reliable Uncertainty Quantification (Overconfidence Issue)

M. Boley *et al.* Modelling Simul. Mater. Sci. Eng. 32, 063301 (2024)



Workflow 1: Identifying Perovskites with High Bulk Modulus



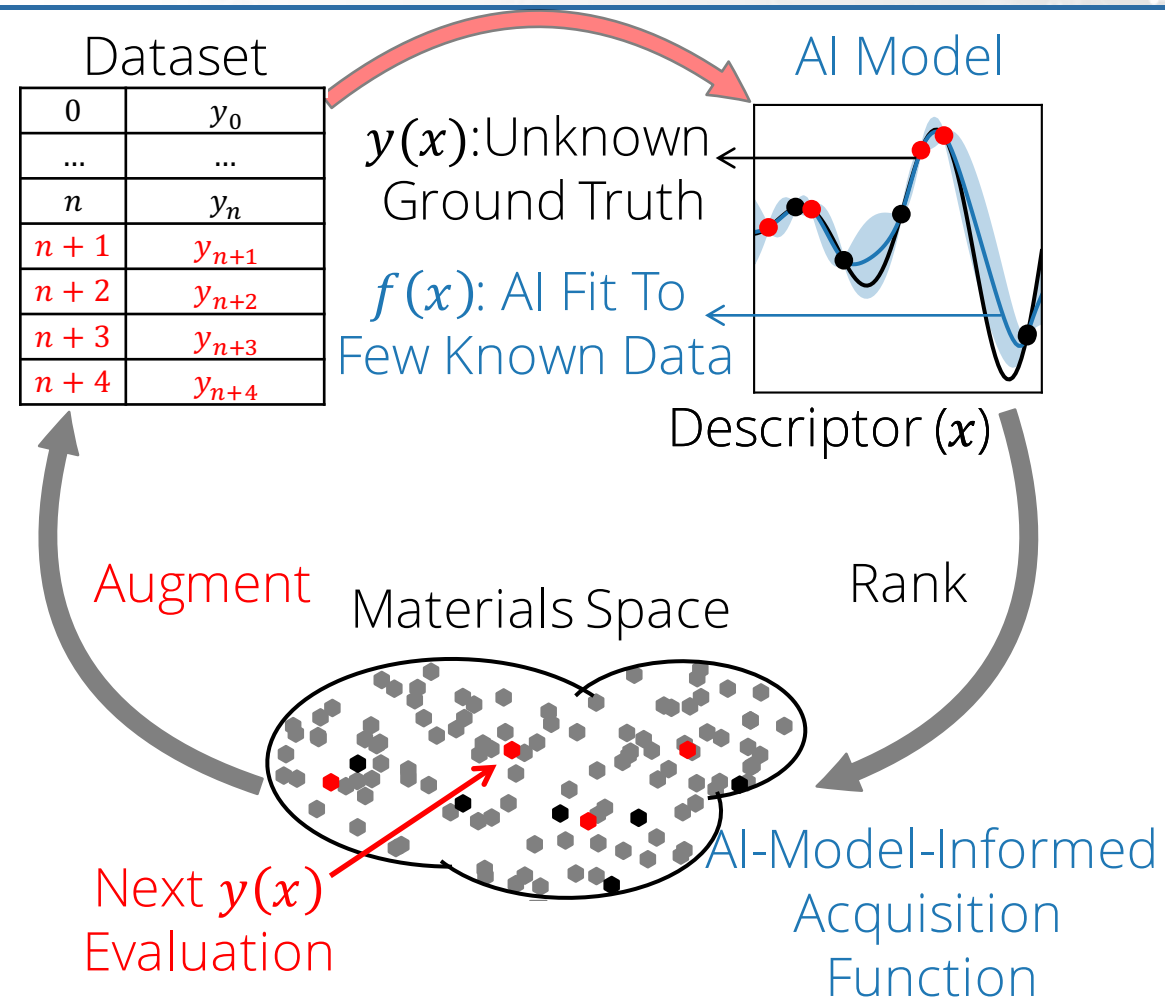
M. Boley *et al.* Modelling Simul. Mater. Sci. Eng. 32, 063301 (2024)

From Prediction to Action: AI-Guided Workflows for the Discovery of Materials with Optimal Properties

- Iterative Modelling and Data Acquisition Approach to Identify Materials with Desired Property Values (e.g., High)

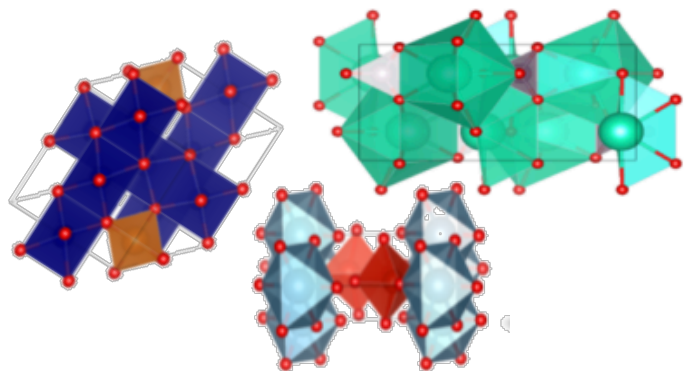
1. Impact of Choices of AI Model and Acquisition Function?

2. SISSO-Guided Workflow?



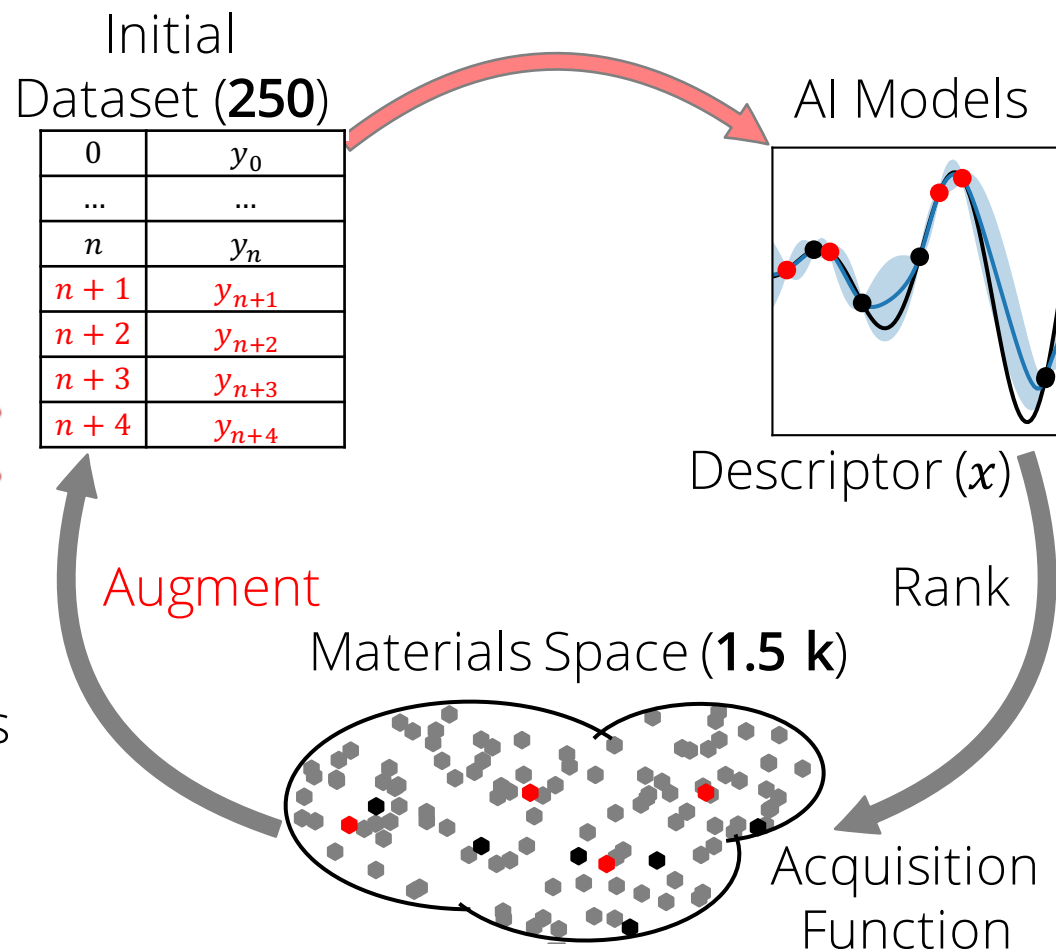
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

- Target Property (y):
Decomposition Energy at
OER Conditions pH=0,
 $U=1.23\text{ V}$ ($\Delta G_{\text{PbX}}^{\text{OER}}$)



Binary and Ternary Oxides

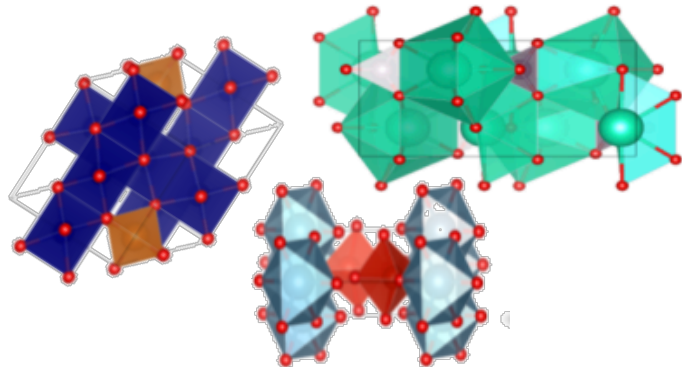
FHI-aims DFT-HSE06
The ab initio materials
simulation package



A. S. Nair *et al.* npj Comput. Mat. 11, 150 (2025)

Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

- Target Property (y):
Decomposition Energy at
OER Conditions pH=0,
 $U=1.23$ V ($\Delta G_{\text{PbX}}^{\text{OER}}$)



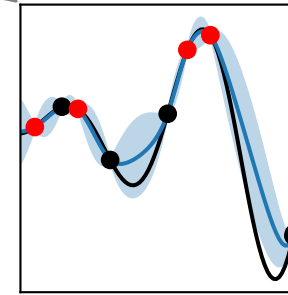
Binary and Ternary Oxides

FHI-aims DFT-HSE06
The ab initio materials
simulation package

Initial
Dataset (**250**)

0	y_0
...	...
n	y_n
$n+1$	y_{n+1}
$n+2$	y_{n+2}
$n+3$	y_{n+3}
$n+4$	y_{n+4}

AI Models

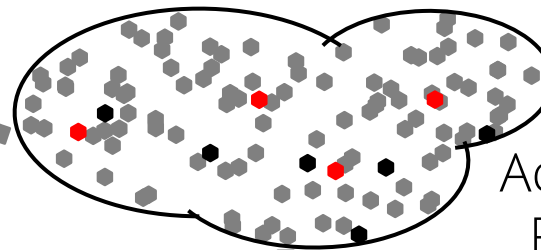


Descriptor (x)

Rank

Augment

Materials Space (**1.5 k**)

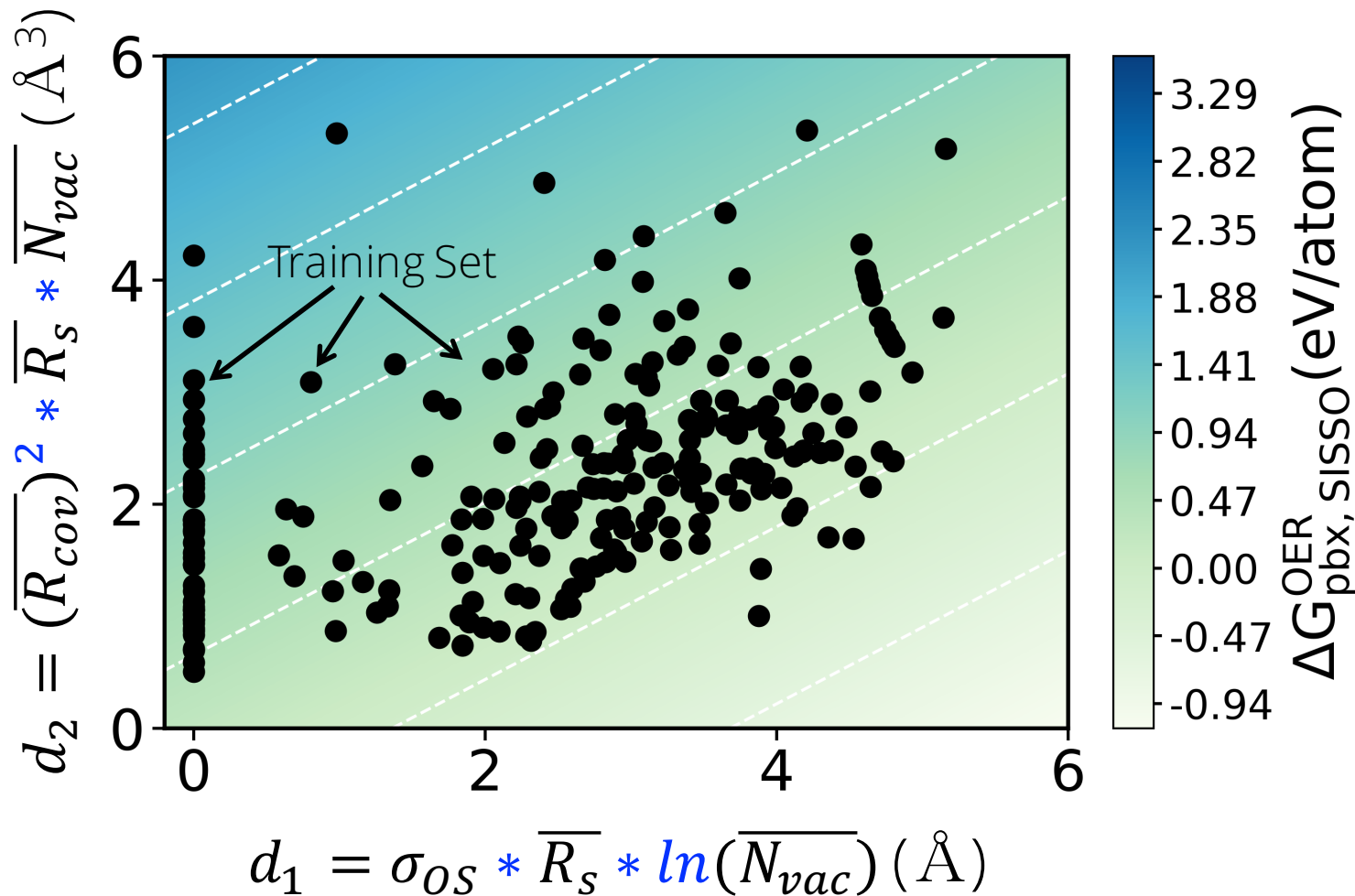


Acquisition
Function

- SISSO Approach
- Primary Features: 14
Physical Descriptive
Parameters Depending
on the Composition (e.g.
Atomic Radii, Oxidation
States)

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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting



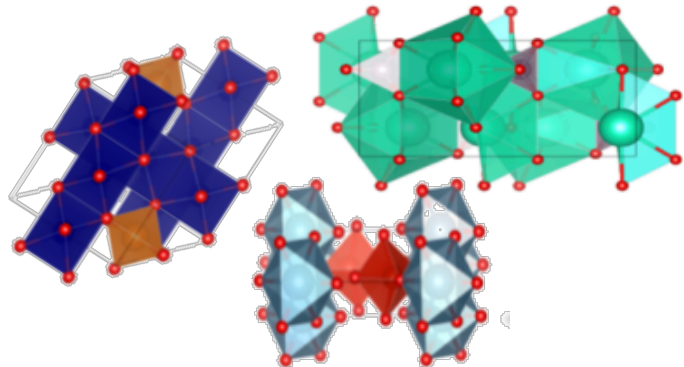
Key Parameters in the Descriptor

- σ_{OS} : Std. Dev. of Oxidation States
 - $\overline{R_s}$: s Orbital Radius
 - $\overline{N_{vac}}$: Number of Vacant Orbitals
 - $\overline{R_{cov}}$: Covalent Radius
- Average Across Cations

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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

- Target Property (y):
Decomposition Energy at
OER Conditions pH=0,
 $U=1.23$ V ($\Delta G_{\text{Pbx}}^{\text{OER}}$)



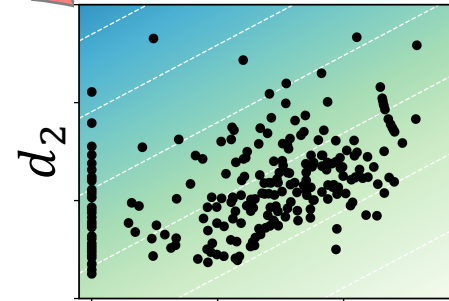
Binary and Ternary Oxides

FHI-aims DFT-HSE06
The ab initio materials
simulation package

Initial
Dataset (**250**)

0	y_0
...	...
n	y_n
$n+1$	y_{n+1}
$n+2$	y_{n+2}
$n+3$	y_{n+3}
$n+4$	y_{n+4}

AI Models

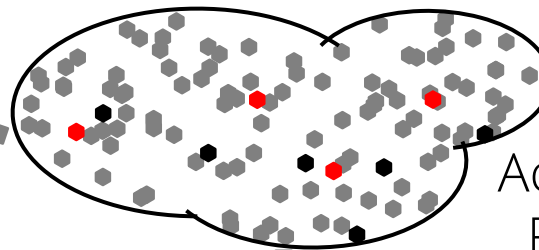


- SISSO Approach

- Reward: No. of Stable Materials
- Probability of Feasibility POF (Prediction and Uncertainty)

Augment

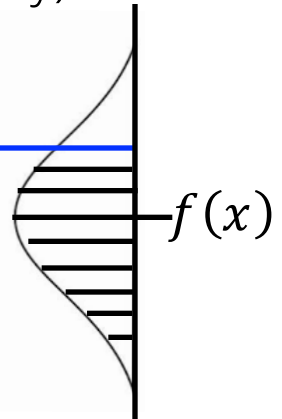
Materials Space (**1.5 k**)



Rank

Acquisition
Function

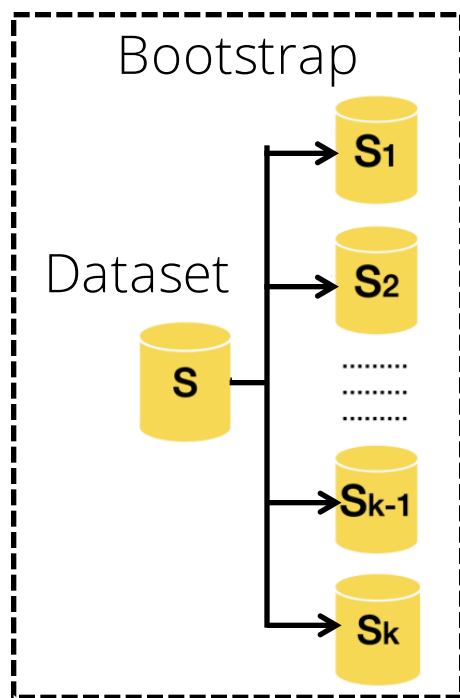
$$\Delta G_{\text{Pbx}}^{\text{OER}} < 0.10 \text{ eV/atom}$$



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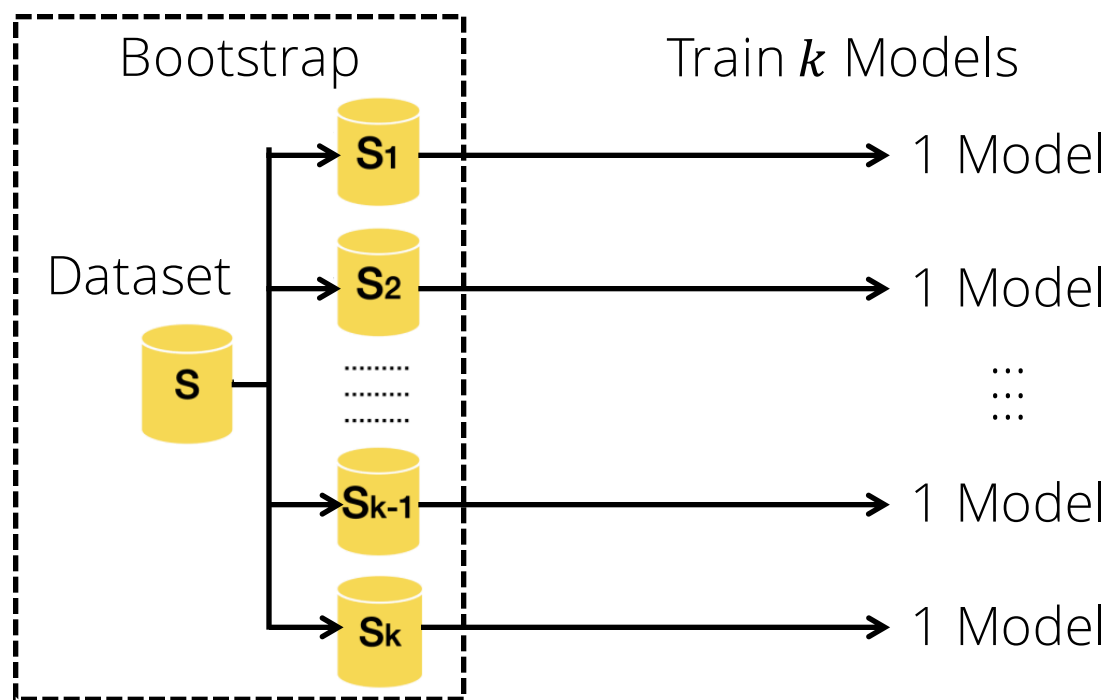
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Creating Ensembles of SISSO Models: 1. (Standard) Bagging



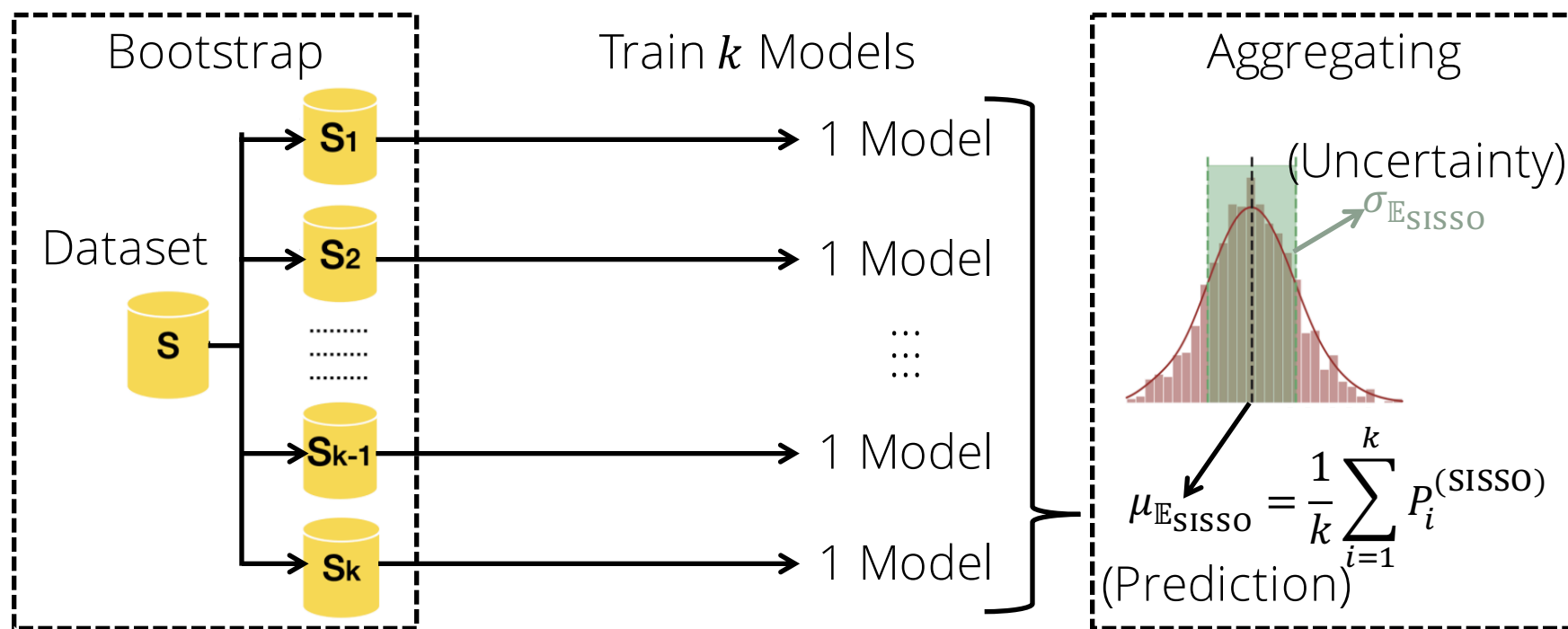
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Creating Ensembles of SISSO Models: 1. (Standard) Bagging

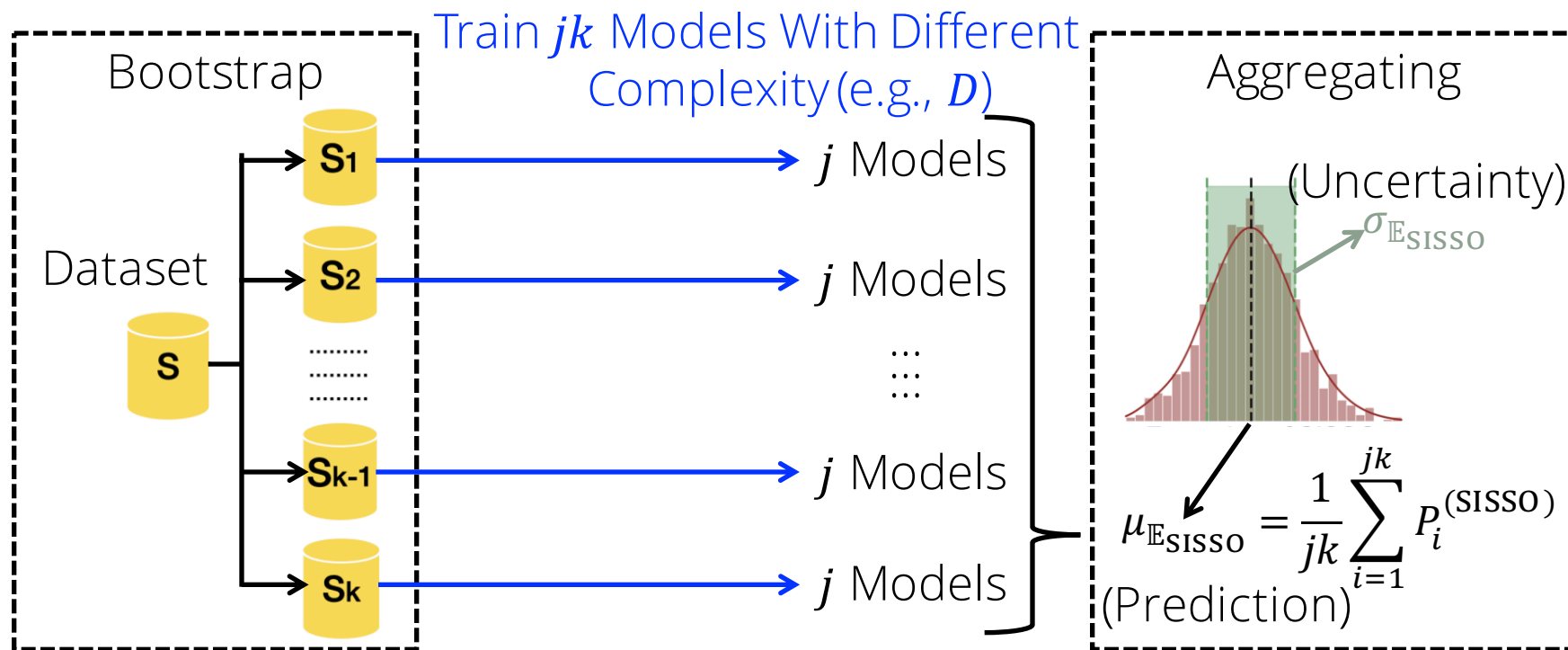


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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Creating Ensembles of SISSO Models: 2. Bagging with Multiple Model Complexities

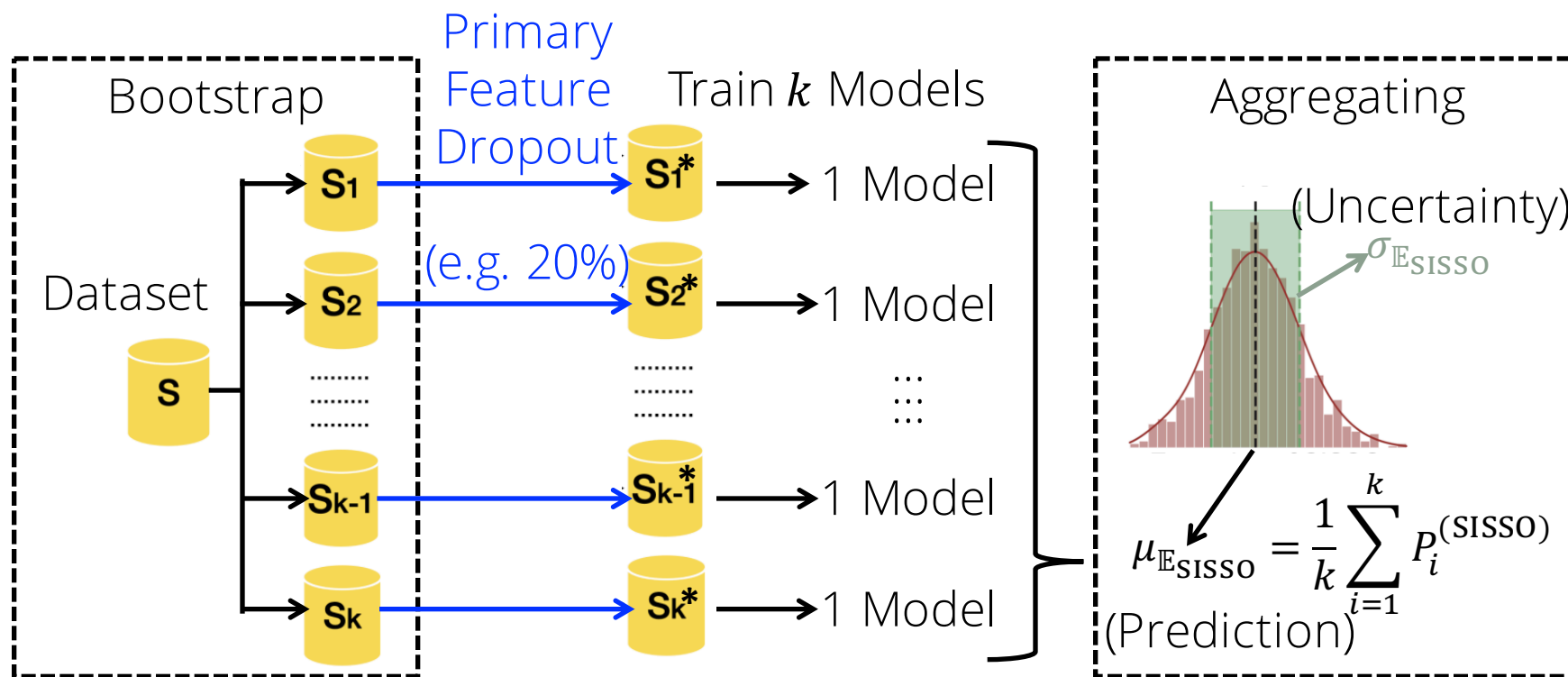


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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Creating Ensembles of SISSO Models: 3. Bagging with Monte-Carlo Dropout of Primary Features



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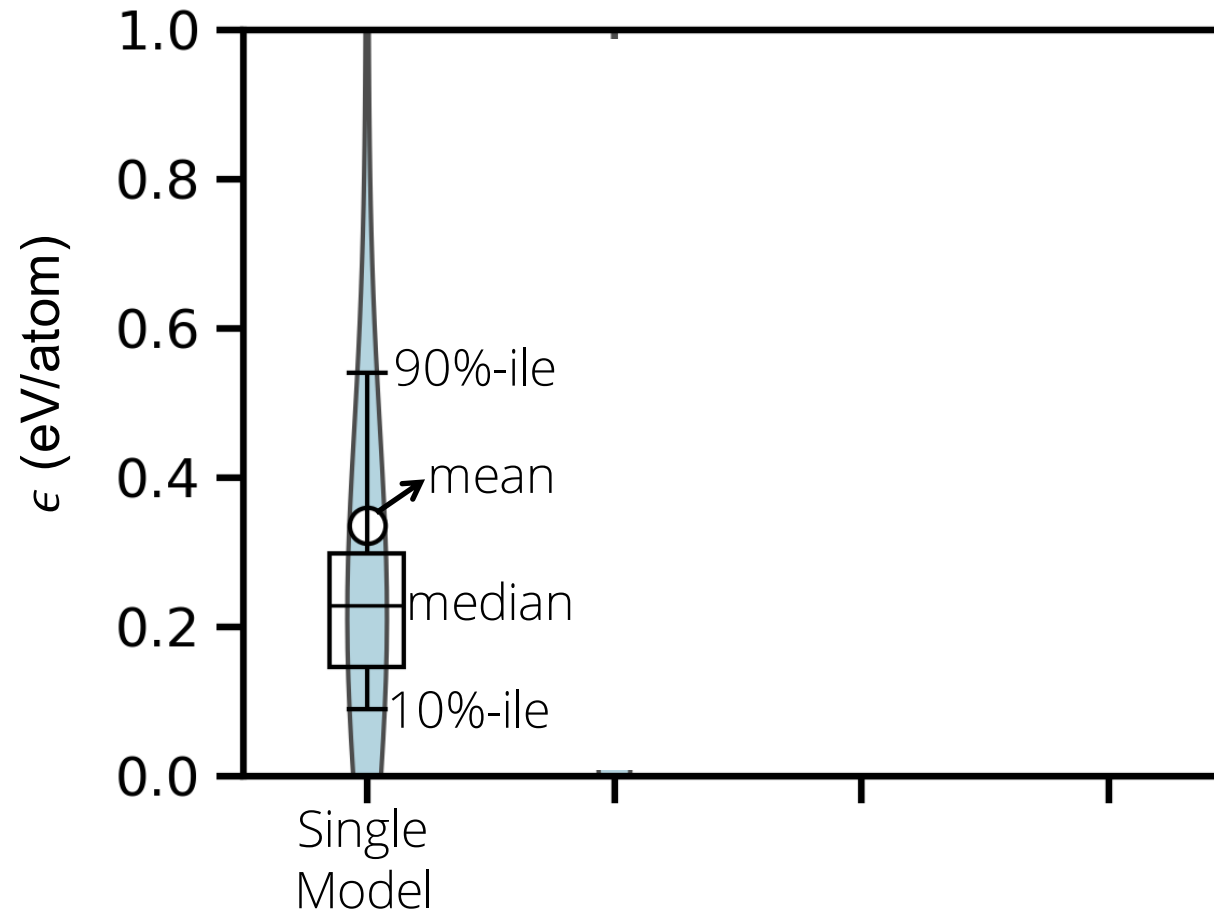


Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Assessing the Quality of Mean Predictions of SISSO-Model Ensembles

Absolute Prediction Error
(20% Left-Out Materials)

$$\epsilon = |\Delta G_{\text{Pbx}}^{\text{OER}} - \Delta G_{\text{Pbx}, \mathbb{E}_{\text{SISSO}}}^{\text{OER}}|$$



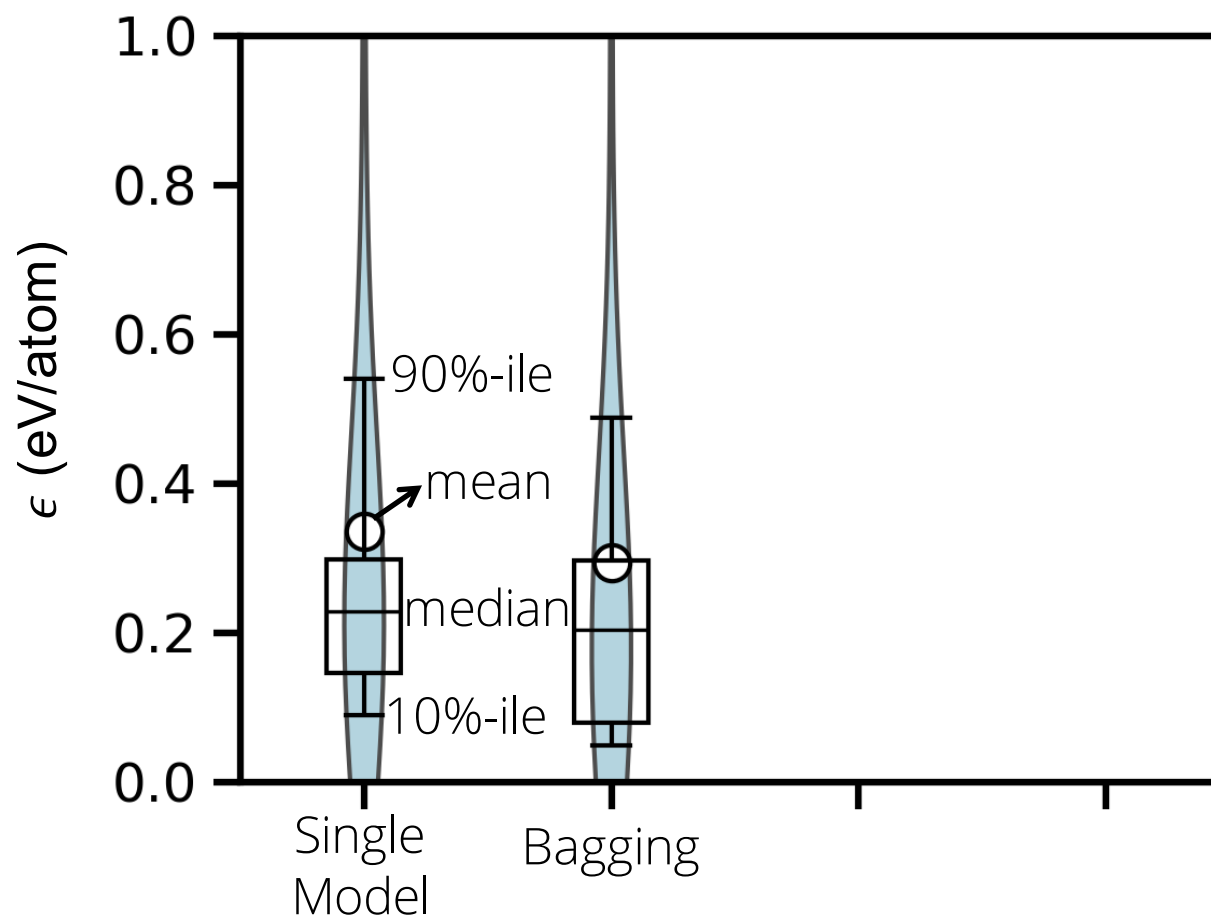
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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Assessing the Quality of Mean Predictions of SISSO-Model Ensembles

Absolute Prediction Error
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$$\epsilon = |\Delta G_{\text{Pbx}}^{\text{OER}} - \Delta G_{\text{Pbx}, \mathbb{E}_{\text{SISSO}}}^{\text{OER}}|$$



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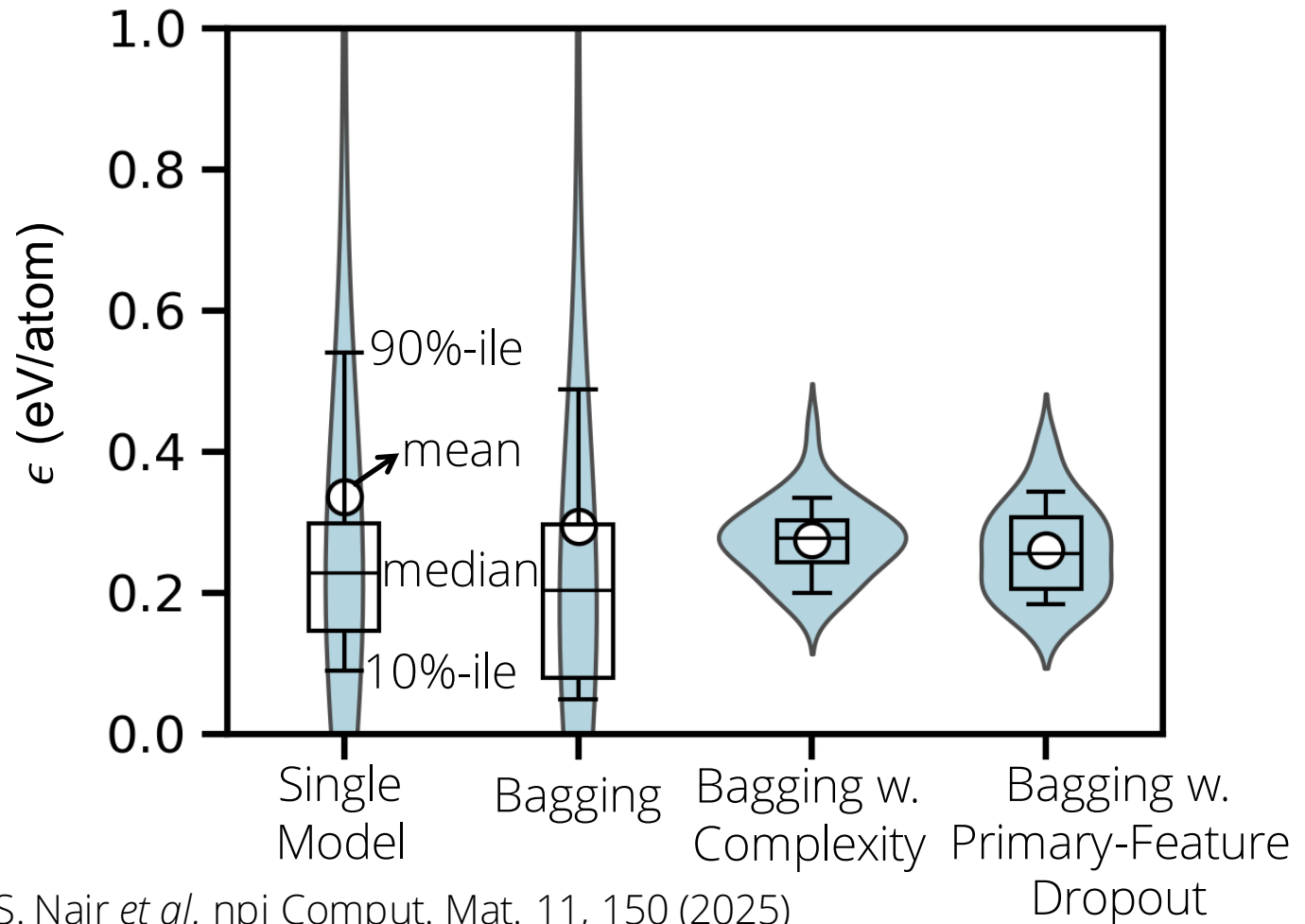
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Assessing the Quality of Mean Predictions of SISSO-Model Ensembles

Absolute Prediction Error
(20% Left-Out Materials)

$$\epsilon = |\Delta G_{\text{Pbx}}^{\text{OER}} - \Delta G_{\text{Pbx,ESISSO}}^{\text{OER}}|$$

- Proposed Ensemble Approaches Mitigate High Errors



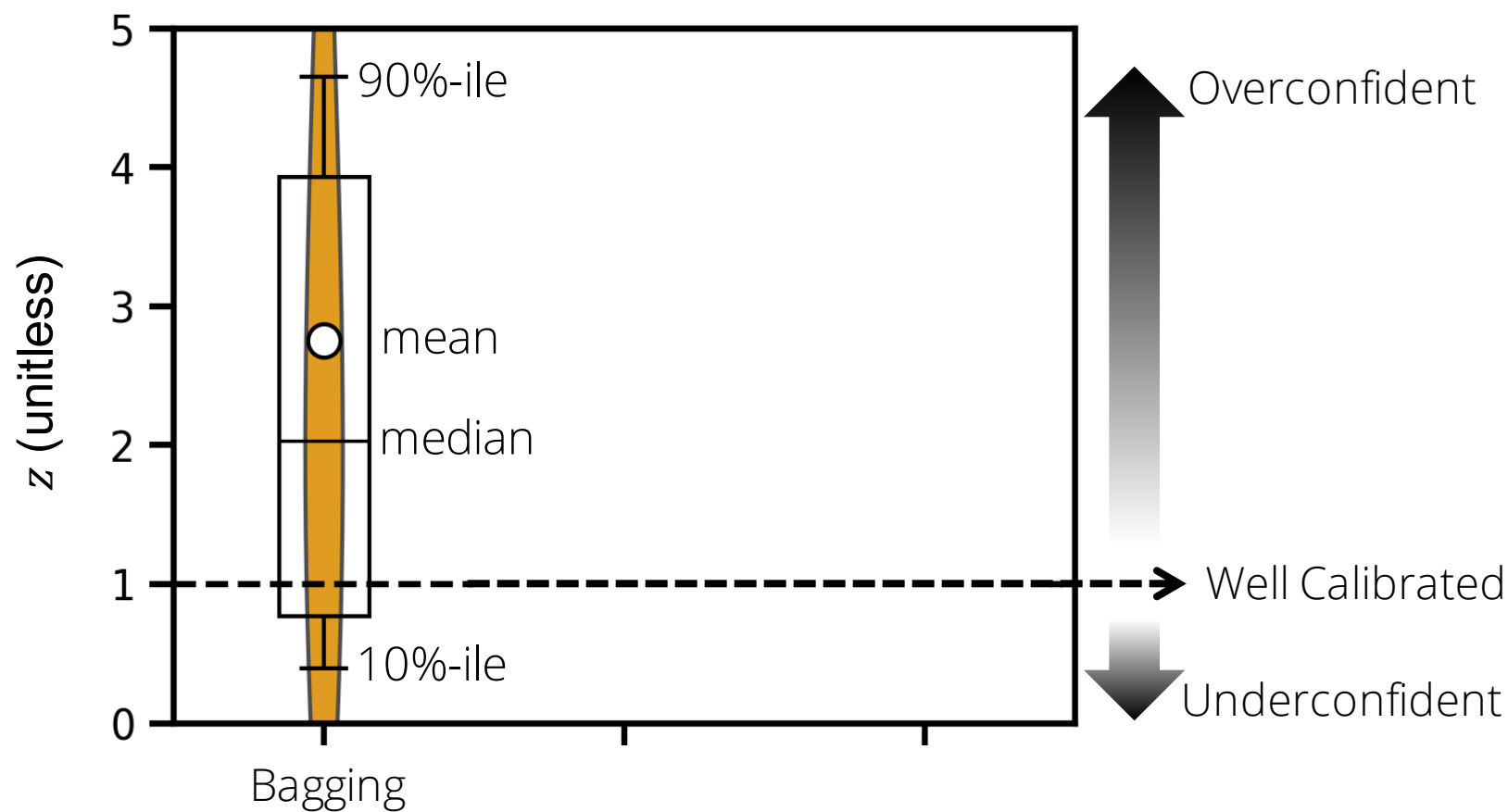
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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Assessing the Quality of Uncertainty Estimates of SISSO-Model Ensembles

Miscalibration Score
(20% Left-Out Materials)

$$z = \frac{|\Delta G_{\text{Pbx}}^{\text{OER}} - \Delta G_{\text{Pbx,E SISSO}}^{\text{OER}}|}{\sigma_{\text{E SISSO}}}$$



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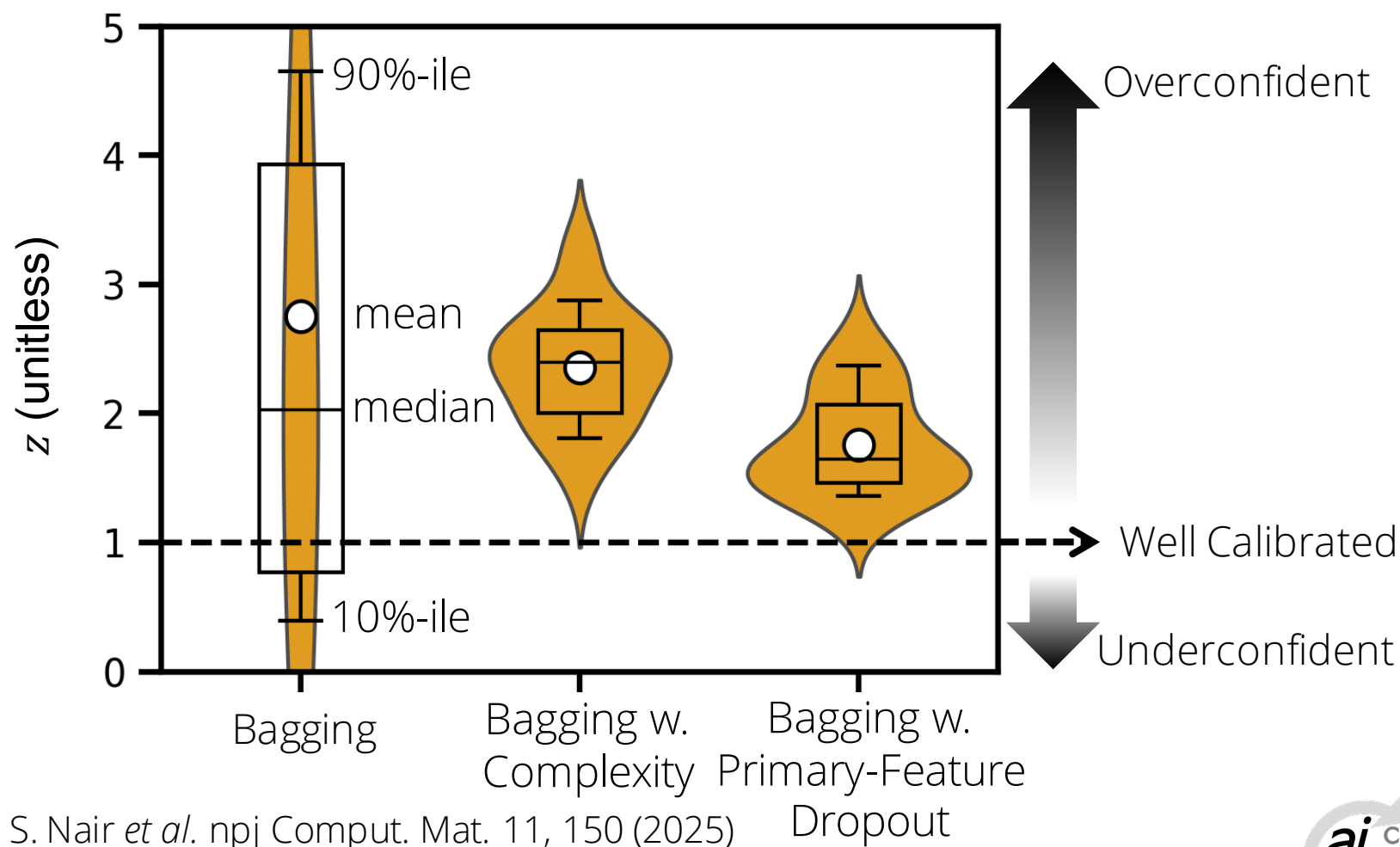
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

Assessing the Quality of Uncertainty Estimates of SISSO-Model Ensembles

Miscalibration Score
(20% Left-Out Materials)

$$z = \frac{|\Delta G_{\text{Pbx}}^{\text{OER}} - \Delta G_{\text{Pbx,E SISSO}}^{\text{OER}}|}{\sigma_{\text{E SISSO}}}$$

- Use of Primary-Feature Dropout Mitigates Overconfidence Issue



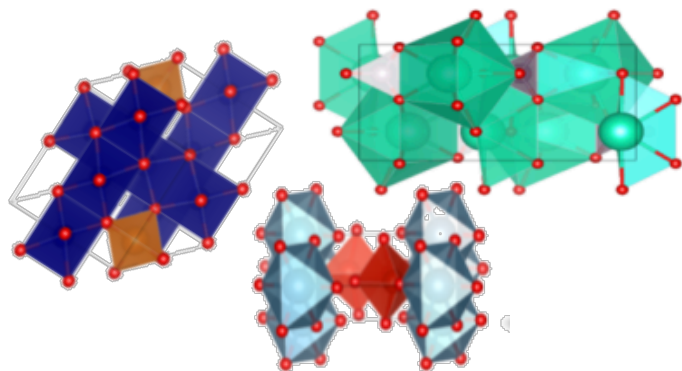
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Dropout



Workflow 2: Identifying Acid-Stable Oxides for Water Splitting

- Target Property (y):
Decomposition Energy at
OER Conditions pH=0,
 $U=1.23$ V ($\Delta G_{\text{PbX}}^{\text{OER}}$)



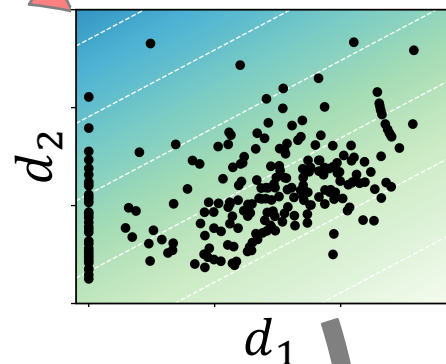
Binary and Ternary Oxides

FHI-aims DFT-HSE06
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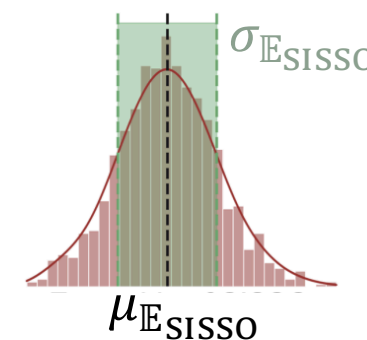
Initial
Dataset (**250**)

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AI Models

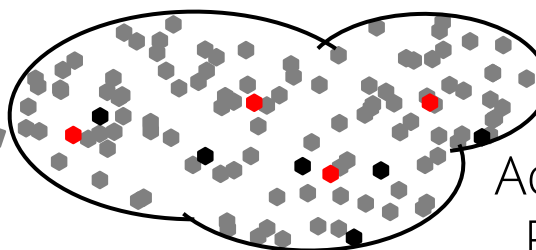


- SISSO Ensembles (Primary-
Feature Dropout)



Augment

Materials Space (**1.5 k**)



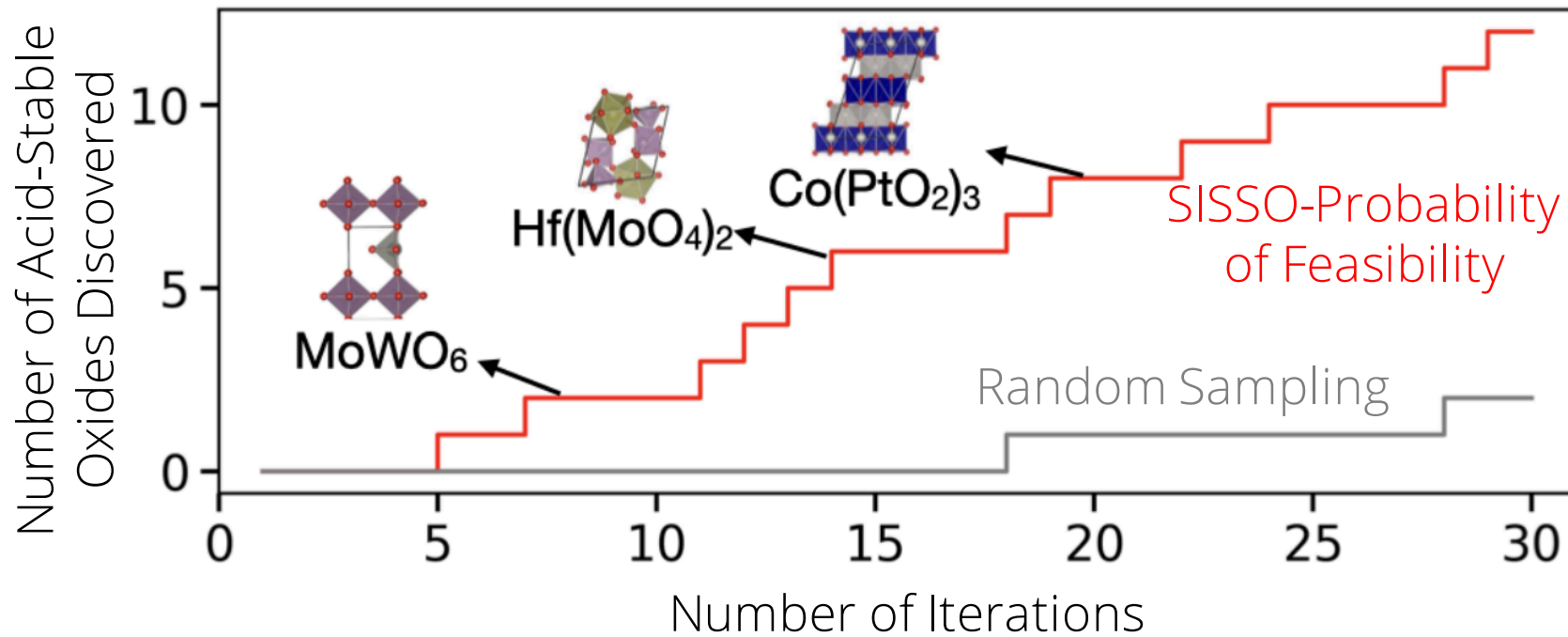
Rank

Acquisition
Function

- Probability of Feasibility

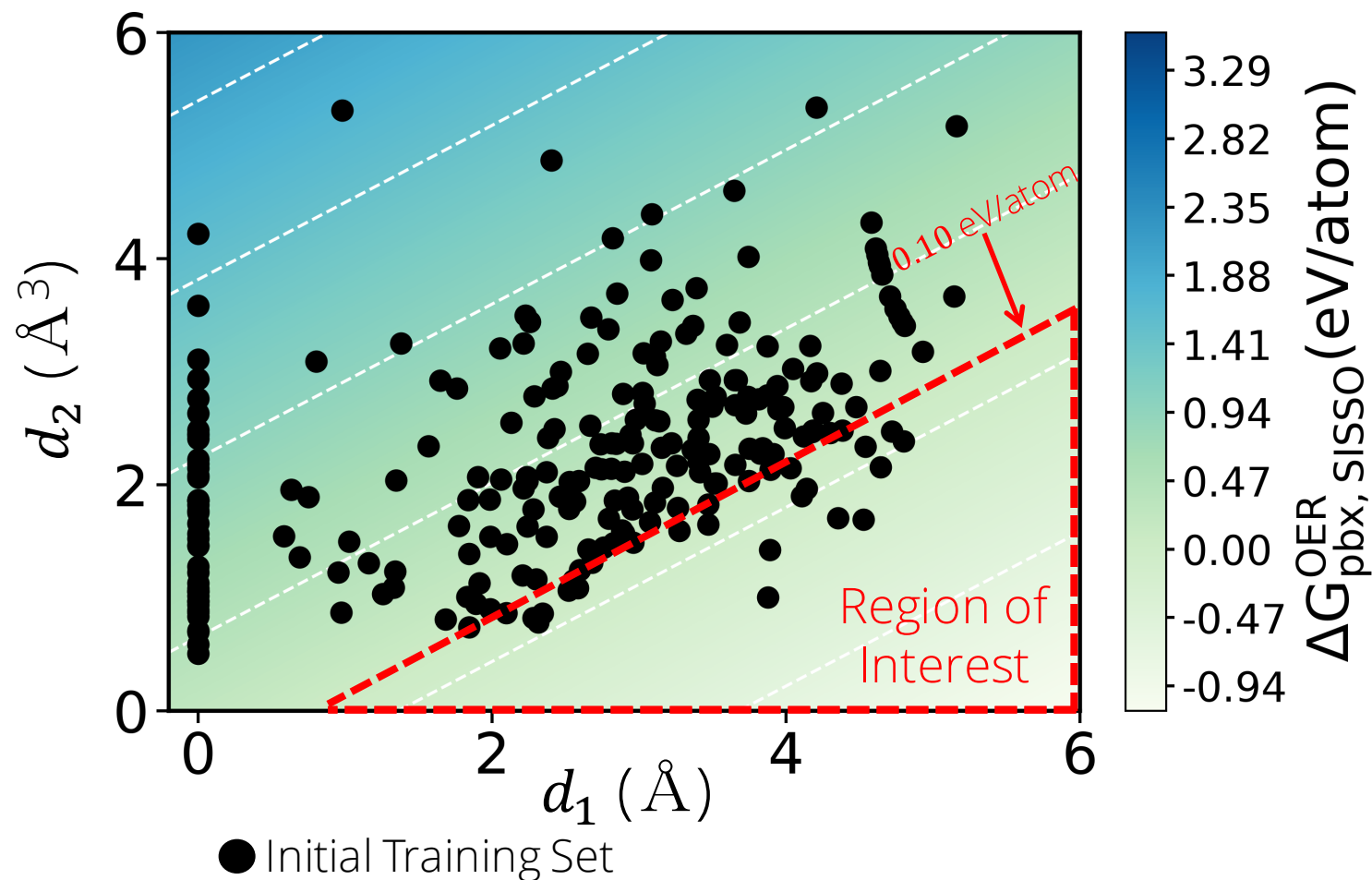
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Workflow 2: Identifying Acid-Stable Oxides for Water Splitting



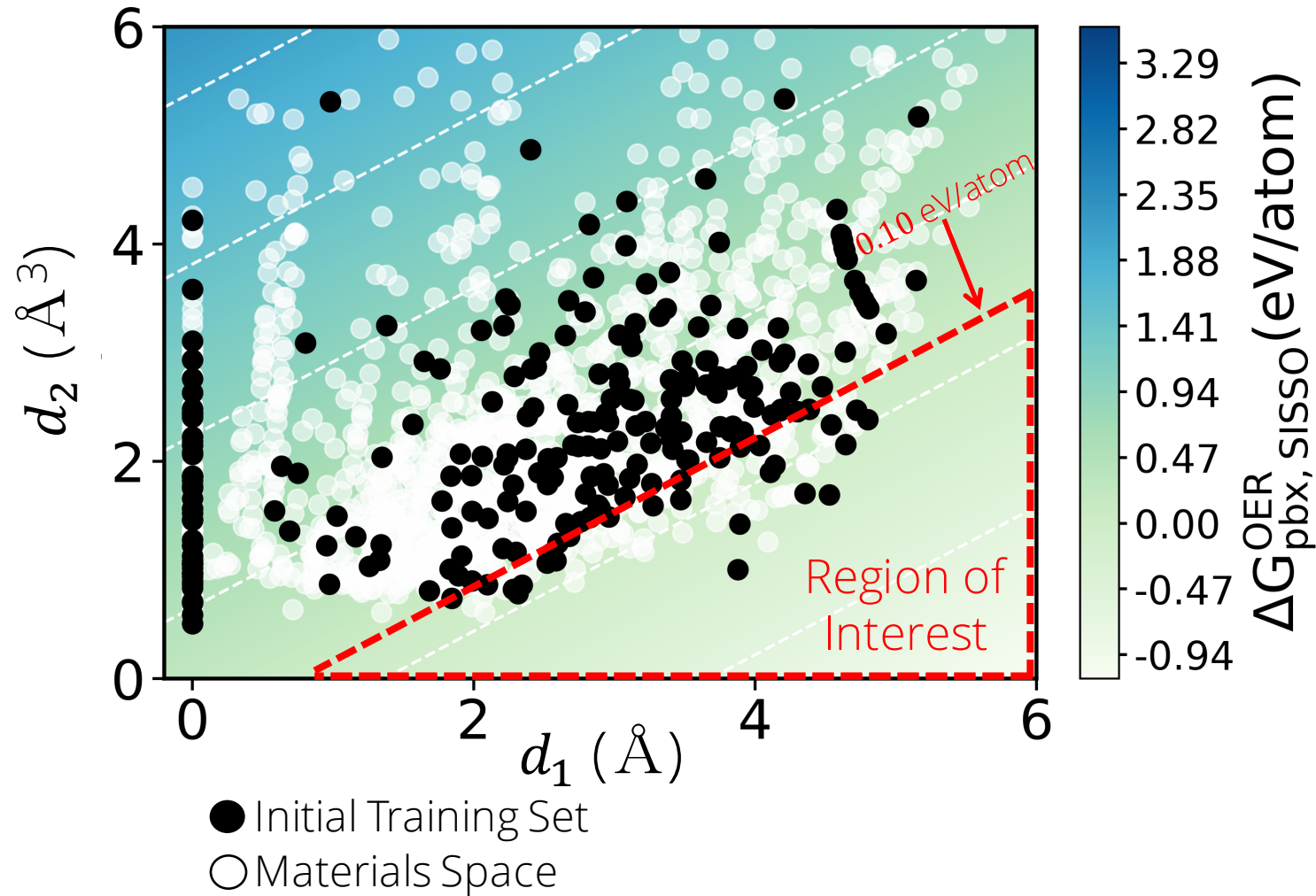
- Workflow Enabled the Discovery of 12 Stable Oxides, Out of 1.5 k Candidates in 30 Iterations

Workflow 2: Identifying Acid-Stable Oxides for Water Splitting



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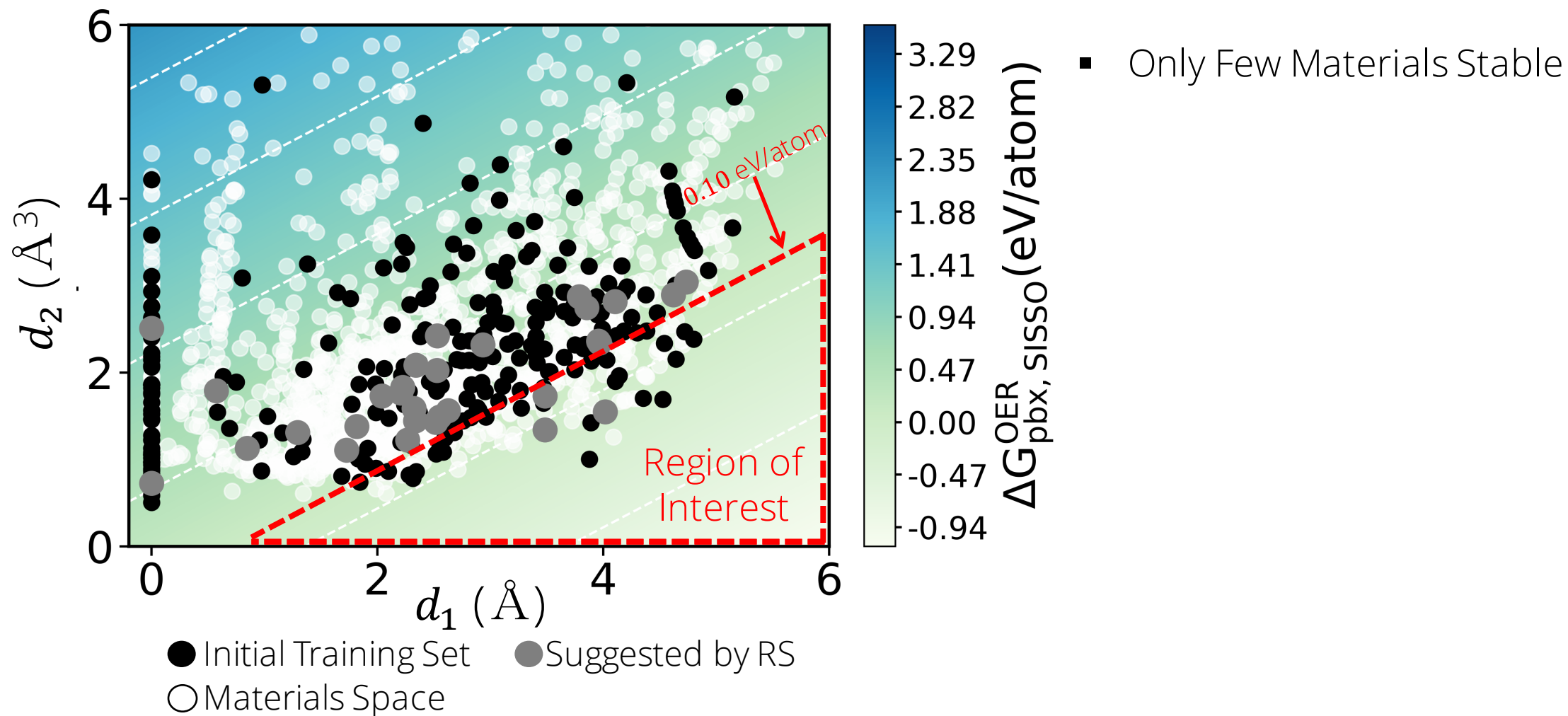
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting



- Only Few Materials Stable

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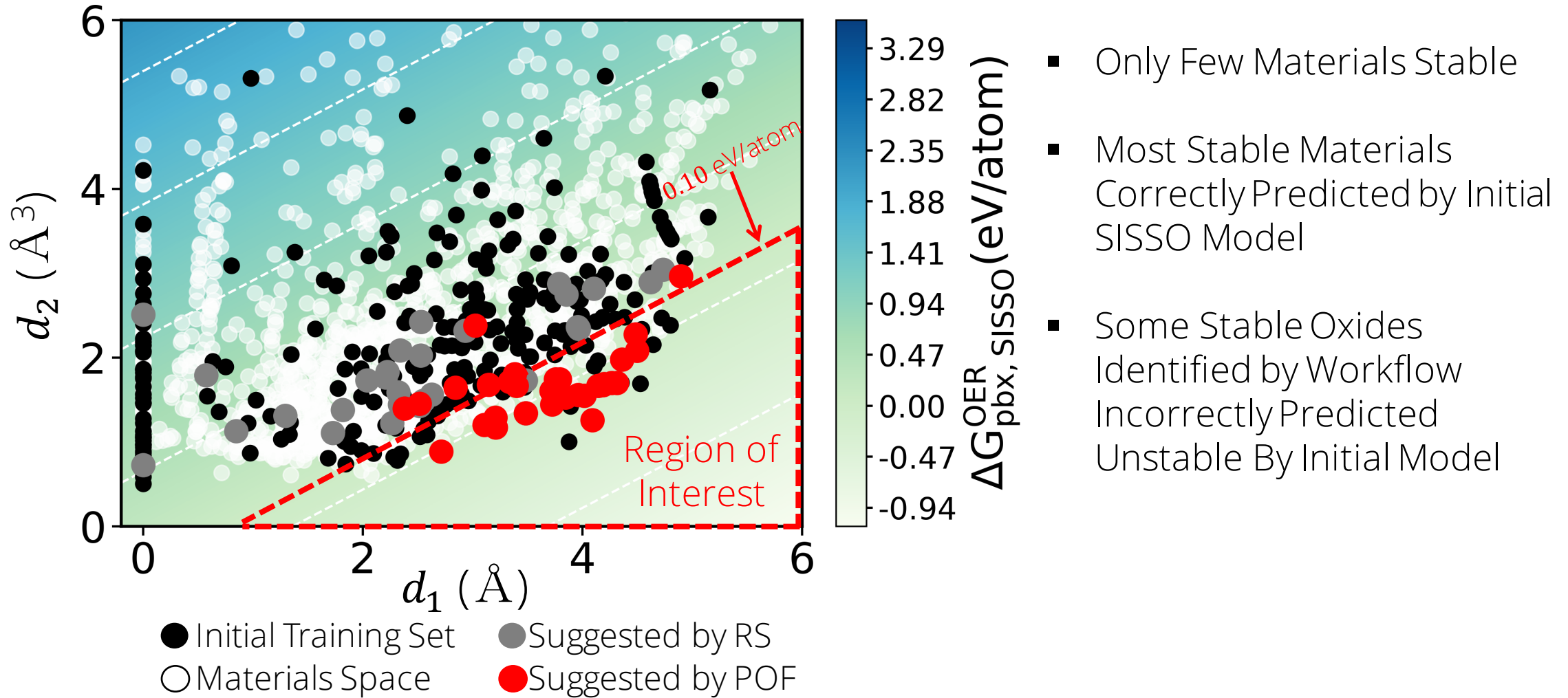
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting



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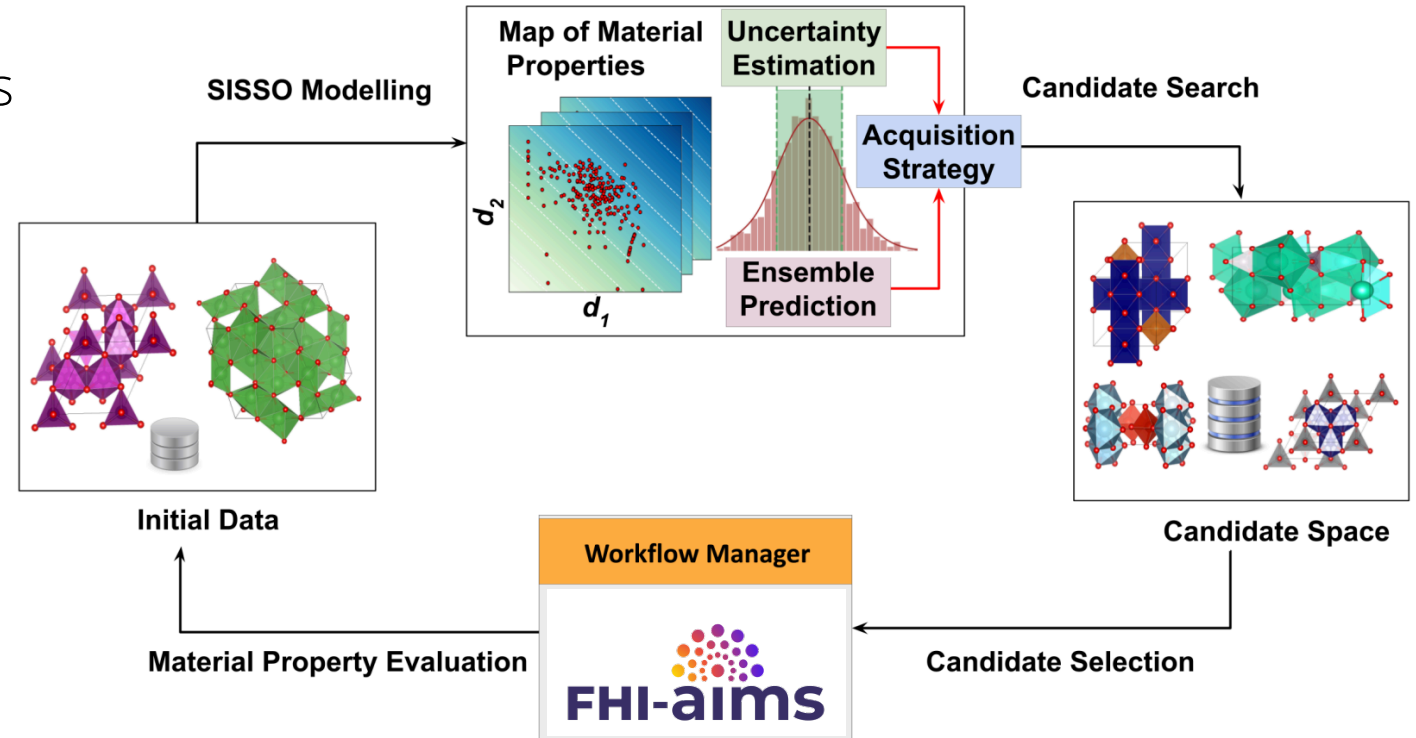
Workflow 2: Identifying Acid-Stable Oxides for Water Splitting



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Conclusions

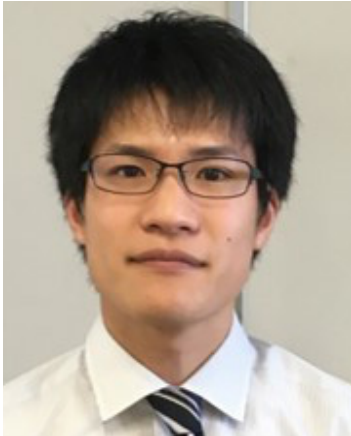
- Consistent Experimental/Theoretical Data + SISSO for Identifying “Materials Genes”
- AI-Guided Workflows Depends on Combination of AI Model and Acquisition Function
 - Ensembles of SISSO Models for Quantifying Prediction Uncertainty
 - SISSO-Guided Workflows for the Identification of Materials Based on High-Quality Calculations or Experiments



Acknowledgments



Matthias Scheffler



Ray Miyazaki



Akhil Nair



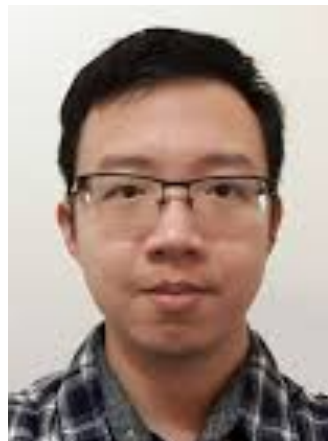
Kendra Belthle



Harun Tüysüz



Mario Boley



Felix Luong



Simon Teshuva



Daniel Schmidt



MAX-PLANCK-INSTITUT
FÜR KOHLENFORSCHUNG



MONASH
University



Big-Data Analytics and Machine Learning for Materials Science

Lucas Foppa

The NOMAD Laboratory at the Fritz Haber Institute of the Max Planck Society

