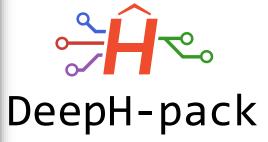
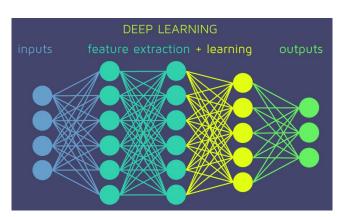
# Deep Learning of Electronic Structure: Challenges and Opportunities

#### Yong Xu

Department of Physics, Tsinghua University





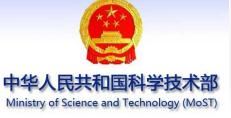


## Acknowledgment



低维量子物理国家重点实验室 State Key Laboratory of Low-Dimensional Quantum Physics









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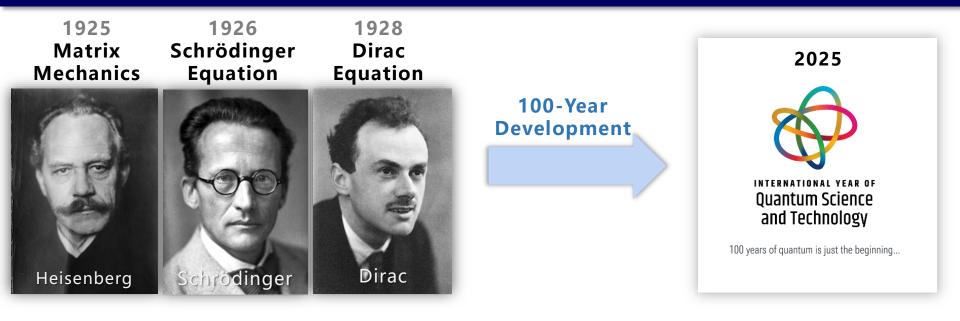
#### **Contents**

I. From quantum mechanics to materials discovery

II. Deep learning DFT and beyond

III. Outlook

#### **Quantum Mechanics Revolution**



Quantum mechanics has fundamentally changed our understanding of matter and revolutionized modern science and technology!



### First-principles calculation

**First-principles calculation:** Based on the fundamental principles of quantum mechanics, it predicts the properties of matter by solving the Schrödinger equation without relying on empirical parameters.

#### Schrödinger equation of many-body interacting systems

$$\hat{H}|\Psi\rangle = E|\Psi\rangle$$

$$\hat{H} = -\sum_{i} \frac{1}{2} \nabla_{i}^{2} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_{i} - \mathbf{r}_{j}|} - \sum_{I} \frac{1}{2M_{I}} \nabla_{I}^{2} + \frac{1}{2} \sum_{I \neq J} \frac{Z_{I}Z_{J}}{|\mathbf{R}_{I} - \mathbf{R}_{J}|} - \sum_{i,I} \frac{Z_{I}}{|\mathbf{r}_{i} - \mathbf{R}_{I}|}$$

> One of the most important and challenging problems in science

#### **Density functional theory (DFT)**

> Solve the Schrödinger equation for interacting electrons of matter

$$\hat{H}|\Psi\rangle = E|\Psi\rangle$$

$$\hat{H} = -\sum_{i} \frac{1}{2} \nabla_{i}^{2} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_{i} - \mathbf{r}_{j}|} - \sum_{I} \frac{1}{2M_{I}} \nabla_{I}^{2} + \frac{1}{2} \sum_{I \neq J} \frac{Z_{I}Z_{J}}{|\mathbf{R}_{I} - \mathbf{R}_{J}|} - \sum_{i,I} \frac{Z_{I}}{|\mathbf{r}_{i} - \mathbf{R}_{I}|}$$

#### If you don't like the answer, change the question.

➤ Kohn-Sham DFT: map to an auxiliary problem of non-interacting electrons with interacting density

The Nobel Prize in

$$\hat{H}_{\mathrm{DFT}} | \psi \rangle = \epsilon | \psi \rangle$$

$$\hat{H}_{\mathrm{DFT}} = -\frac{\nabla^2}{2} + V_{\mathrm{ext}}(\mathbf{r}) + V_{\mathrm{H}}(\mathbf{r}) + V_{\mathrm{xc}}(\mathbf{r})$$

> Predict materials properties from first principles

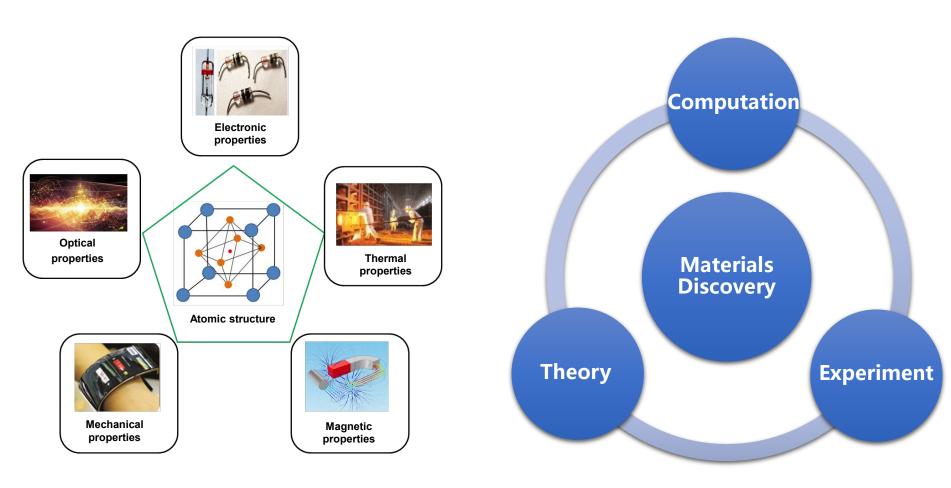
Chemistry 1998

Walter Kohn Prize share: 1/2

John A. Pople Prize share: 1/2

#### From quantum mechanics to materials discovery

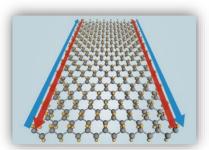
#### Material prediction and design Theory, experiment and computation



## From quantum mechanics to materials discovery

#### **Quantum spin Hall insulators**



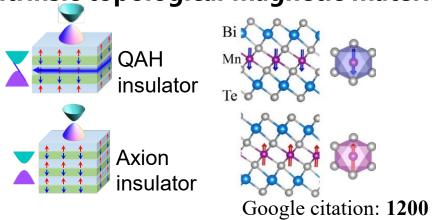


Theory: PRL 111, 136804 (2013) Google citation: **1500** 

Nat. Mater. 14, 1020 (2015) Nat. Mater. 16, 163 (2017)

Nat. Mater. 17, 1081(2018) Nat. Phys. 14, 344 (2018) PRL 121, 126801 (2018)

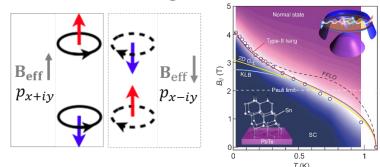
#### Intrinsic topological magnetic materials



Theory: Science Advances 5, eaaw5685 (2019) Chin. Phys. Lett. 36, 076801 (2019)

Nat. Mater. 19, 522 (2020) PRL 125, 086401 (2020)

#### **New-type Ising superconductivity**

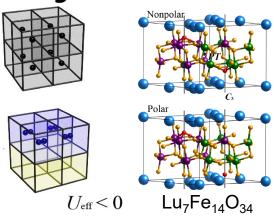


Theory: PRL 123, 126402 (2019)

Science 367, 1454 (2020)

Acc. Mater. Res. 2, 526 (2021)

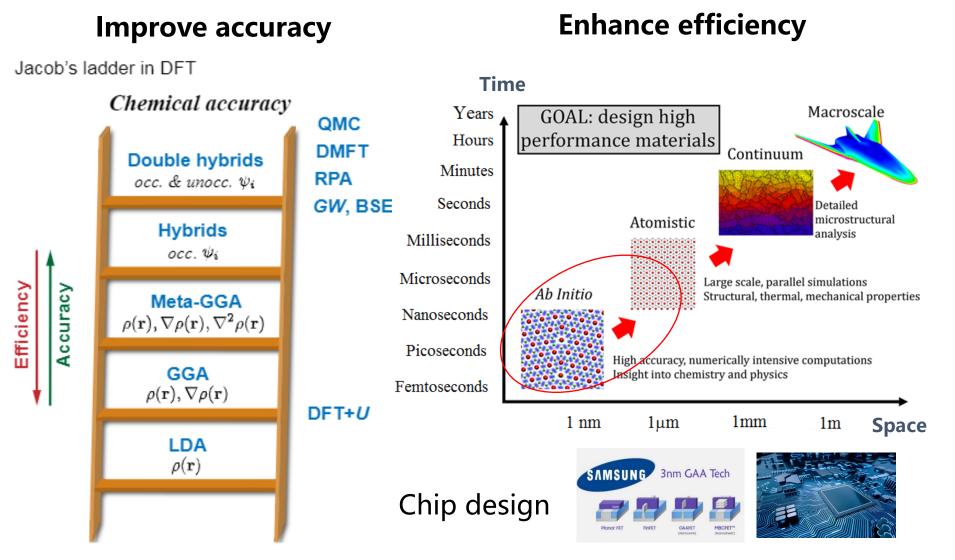
#### Negative Hubbard U



Theory: under review at PRL

Exp.: Yang Zhang, Zhen Chen, Jing Zhu

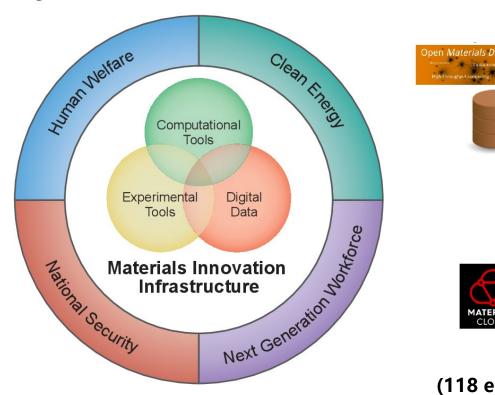
#### Challenges of first-principles calculation

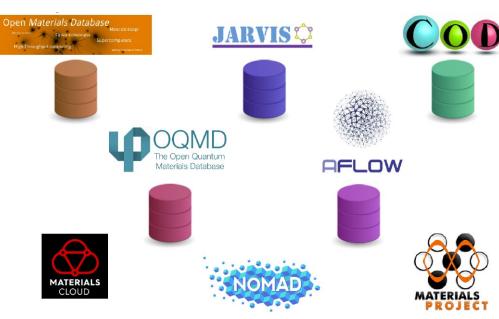


Bottlenecked by the accuracy-efficiency dilemma

#### Challenges of first-principles calculation

➤ High throughput first-principles calculations are applied to build materials databases, but they are too expensive to create bigdata



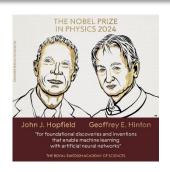


(118 elements in the periodic table )

Current databases: ~106 stable solid materials only

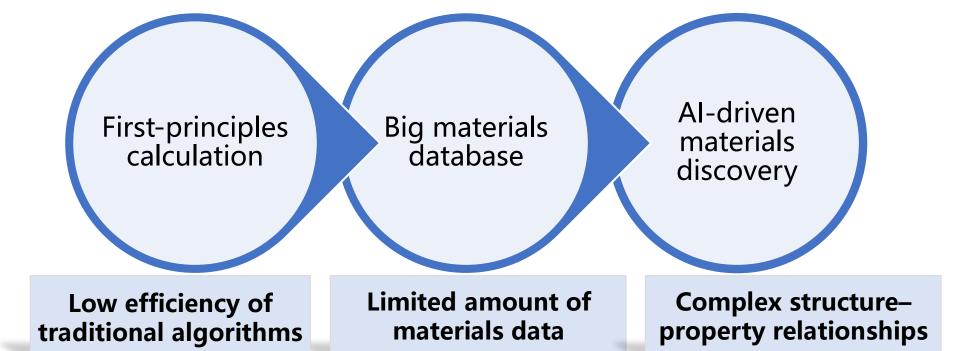
How to can we obtain materials big data?

### Al-driven materials discovery: Critical challenges



#### **2024 Nobel Prize in Physics:**

Materials modeling and discovery are among the most important applications of neural networks.



First principle + Al

AI + Physics

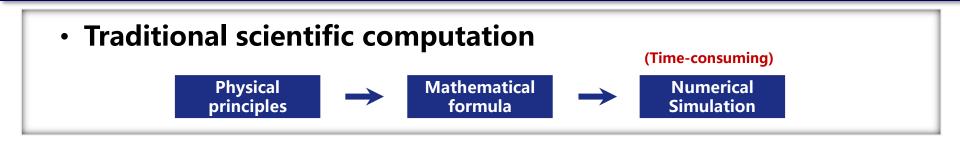
#### **Contents**

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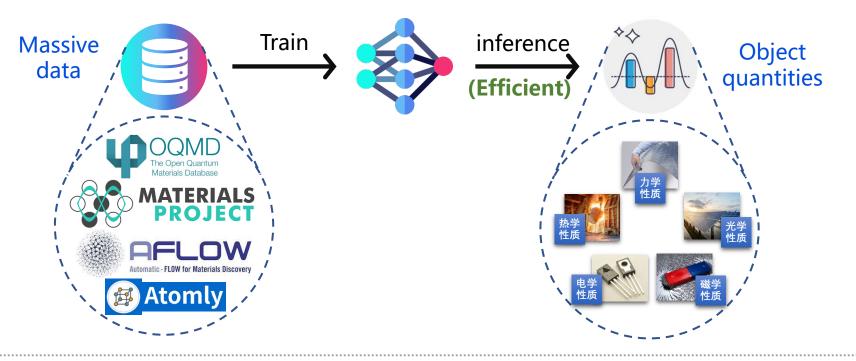
II. Deep learning DFT and beyond

III. Outlook

## First-principles methods + Al



Deep learning accelerated scientific computation



Develop efficient and intelligent first-principles methods

## AI + Physics

**Physics:** Based on theory and models Concise, rigorous, and highly interpretable

Use fundamental principles and laws of physics



**AI:** Relying on data and algorithms Complex, flexible, and poorly interpretable

Simplify AI models



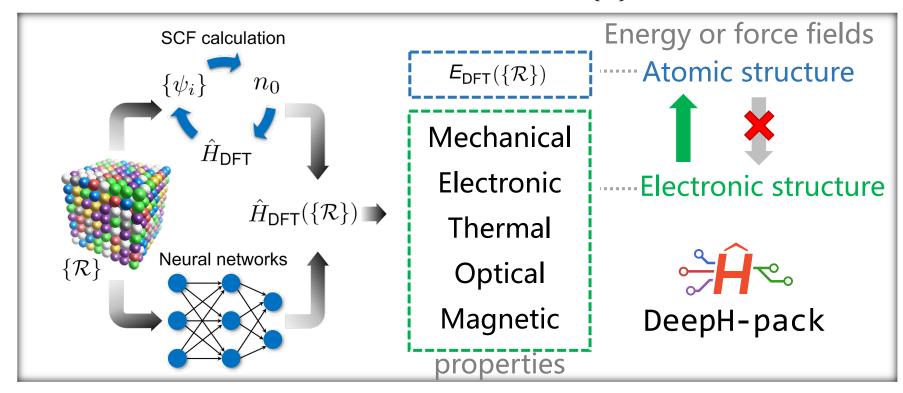
**Physics-based Al** 

Improve accuracy and generalization ability

Key problem: How to properly incorporate prior knowledge into the design of neural networks?

## Deep-learning DFT Hamiltonian (DeepH)

 $\triangleright$  DFT Hamiltonian as a function of material structure  $\{\mathcal{R}\}$ 



#### "Compress DFT" into neural networks

> Integrate important physical priors into deep learning

Locality: Learn from small structures and generalize to large structures

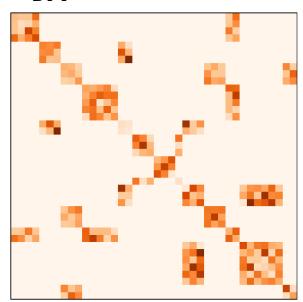
**Symmetry:** Further enhance the generalization capability

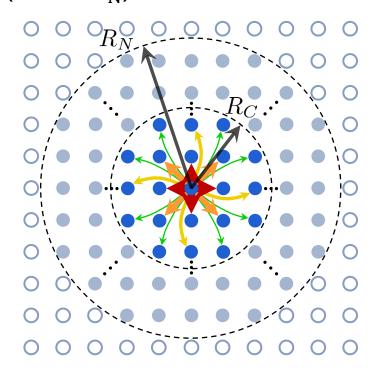
H. Li, et al. Nat. Comput. Sci. 2, 367 (2022) arXiv: 2104.03786

## Ab initio tight-binding Hamiltonian

- Localized basis: **sparseness**Only  $H_{ij}$  between neighboring atom pairs (within  $R_{\rm C}$ ) are nonzero.
- $\triangleright$  Nearsightedness (or locality)
  Only information of neighborhood (within  $R_N$ ) is relevant.

 $H_{DFT}$  for localized basis



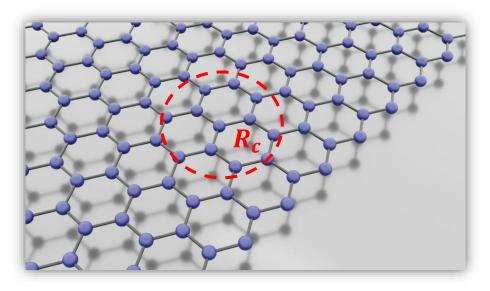


$$H(\{\mathcal{R}\}) \to H_{ij}(\{\mathcal{R}\}_N) \qquad r_{ij} < R_{\mathsf{C}}$$

## **DeepH: Enhance the performance by locality**

#### A prior knowledge

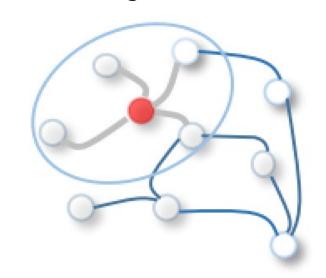
Local electronic properties only affected by neighboring chemical environment



- Short-range interatomic interactions
- > Learn from small structures, generalize to study large structures
- $\triangleright O(N)$  computation complexity

#### **Technical insight**

Integrate the locality principle into the design of neural networks



#### **Graph neural networks:**

- ➤ Crystal structure → crystal graph
- ➤ Atom → node; atom pair → edge
- Message passing among neighbors

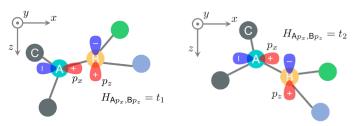
## **DeepH: Enhance the performance by symmetry**

## A prior knowledge Rotation covariance of H Rotation R Covariant relation

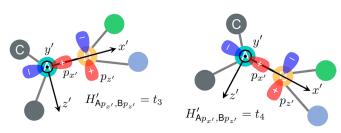
- Covariant transformation of H upon structural rotation
- Challenging for neural-network training and inference

#### **Technical insight**

Introduce local coordinates



Global coordinate: rotation covariance



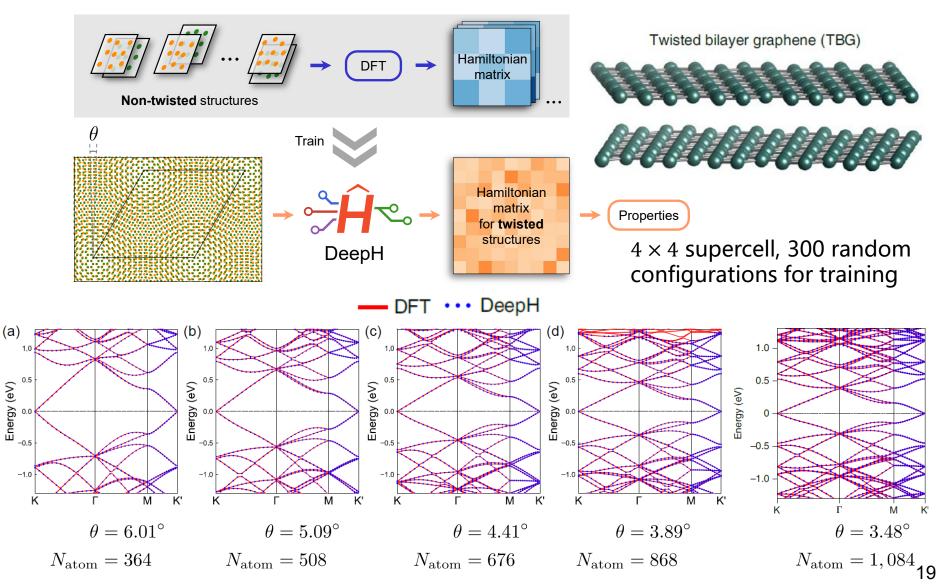
#### Local coordinate: rotation invariance

- Change rotation covariance to invariance by local coordinates
- > Enhance the performance of DeepH

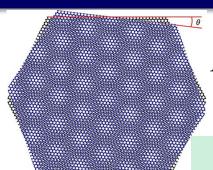
H. Li, *et al.*, **Nat. Comput. Sci.** 2, 367 (2022)

#### Application: twisted van der Waals materials

Learn from non-twisted systems → Study materials of arbitrary twist angles



## Magic-angle twisted bilayer graphene



$$\theta = 1.08^{\circ}$$

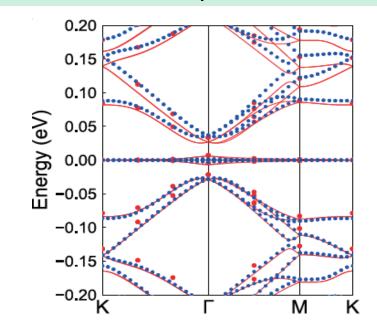
$$N_{\text{atom}} = 11,164$$

DFT: VASP (plane-wave, PAW)

DeepH: learned from OpenMX

DFT (10<sup>5</sup>~10<sup>6</sup> CPU hours) vs. DeepH (~10<sup>2</sup> CPU hours)





----DeepH-E3 - DFT ——Continuum model

Maintain first-principles accuracy while improve the computational efficiency by several orders of magnitude

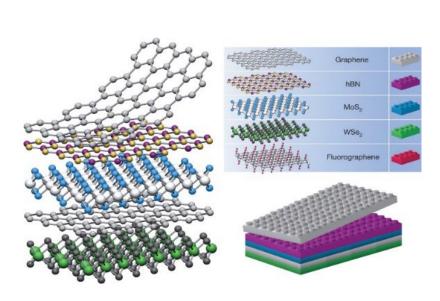
H. Li, et al. Nat. Comput. Sci. 2, 367 (2022) arXiv: 2104.03786

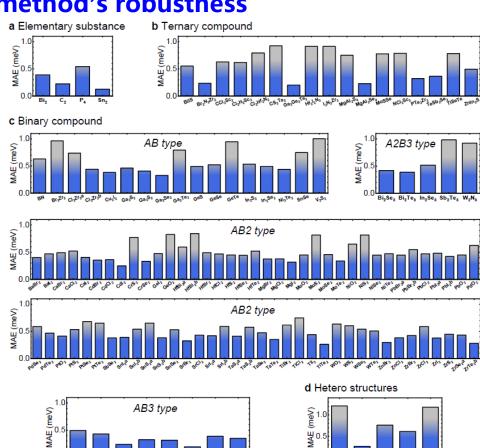
## Deep-learning database of twisted materials

DeepH models for twisted bilayer vdW materials:

Over 100 materials, all with sub-meV accuracy,

showcasing the method's robustness

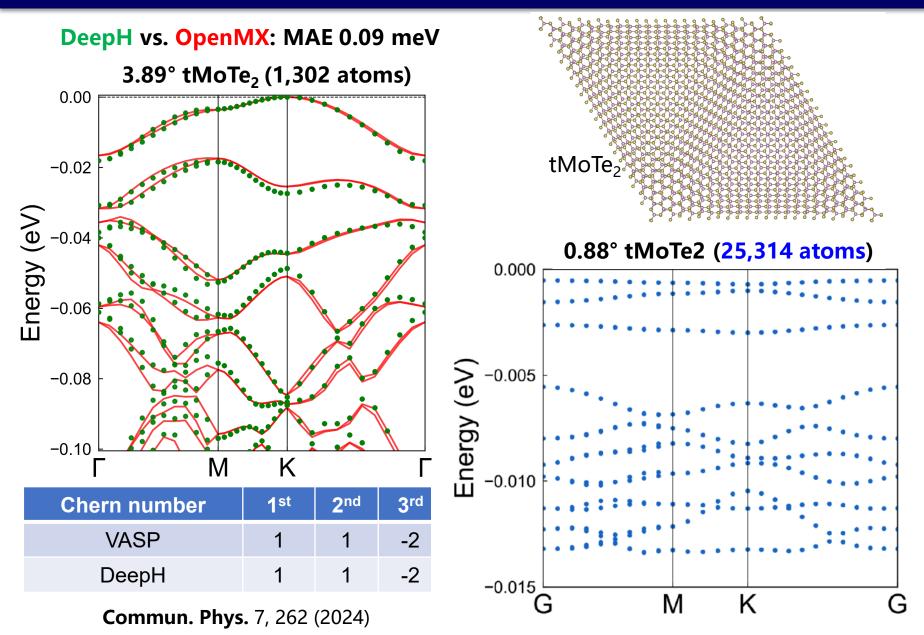




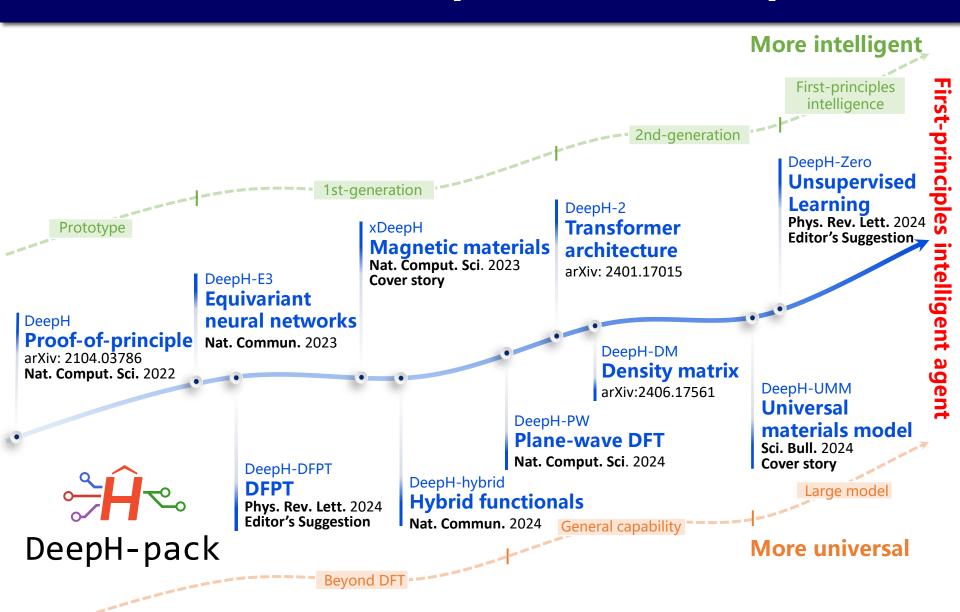


T. Bao, R. Xu, *et al.*, **arXiv**:2404.06449

## Application example: Twisted bilayer MoTe<sub>2</sub>



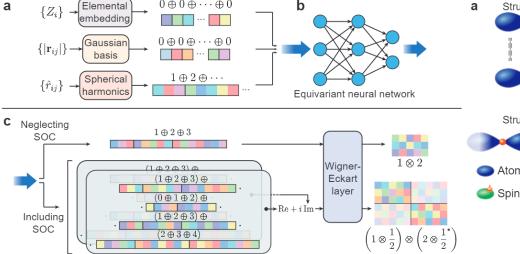
## Recent developments of DeepH

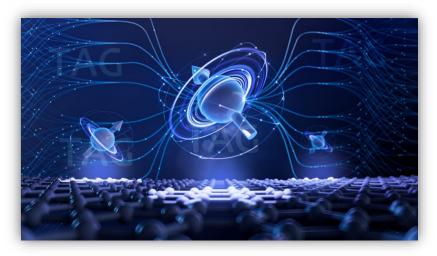


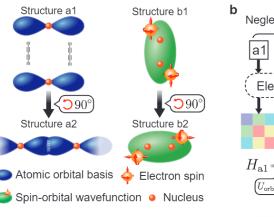
## DeepH-E3: Equivariant neural networks

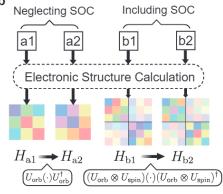
#### **General equivariant framework:**

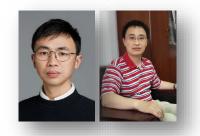
- ➤ Equivariance to the E(3) group (Euclidean group in 3D)
- Introduce the degree of spin and spin-orbit coupling
- > Achieve sub-meV accuracy





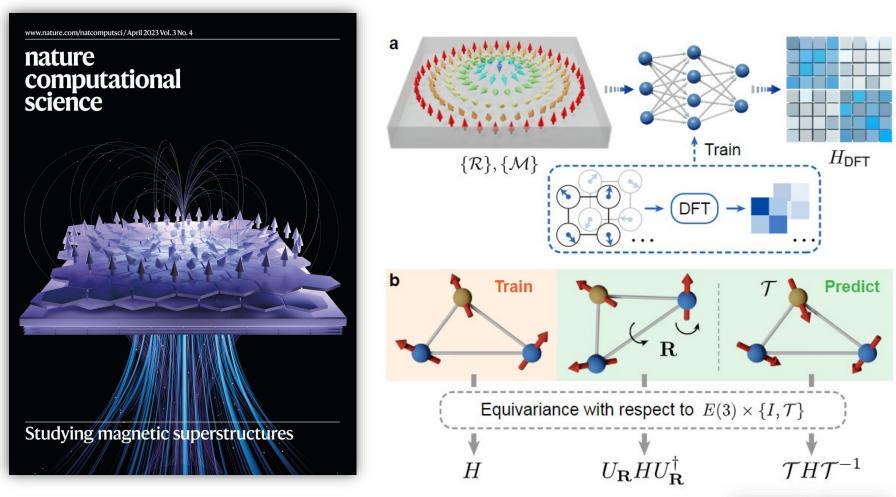






X. Gong, H. Li, et al., Nat. Commun. 14, 2848 (2023)

## xDeepH: For studying magnetic materials



H. Li, Z. Tang, et al., Nat. Comput. Sci. 3, 321 (2023) Cover story

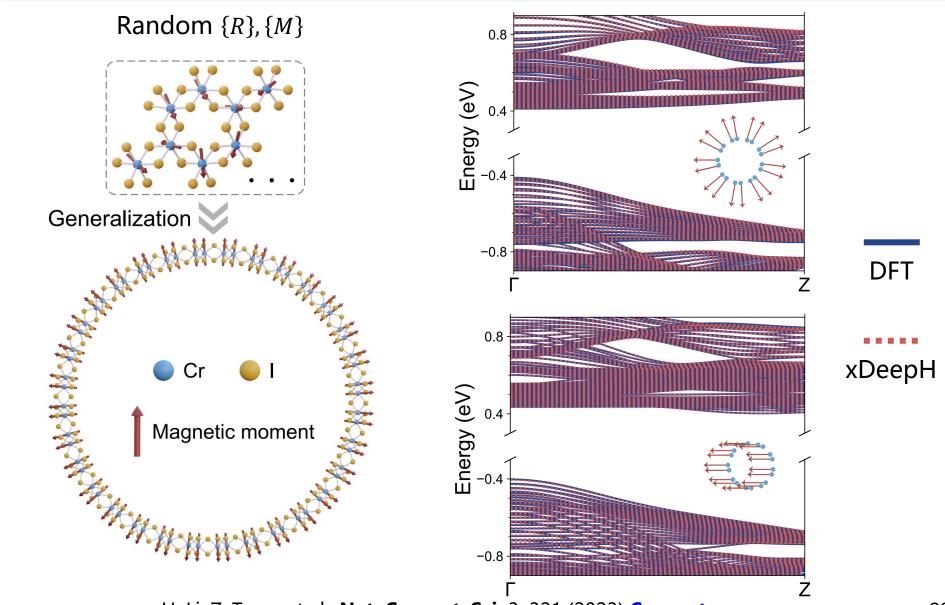
Research Briefing: https://www.nature.com/articles/s43588-023-00425-2

**Editorial:** https://www.nature.com/articles/s43588-023-00451-0

News & Views: https://www.nature.com/articles/s43588-023-00434-1



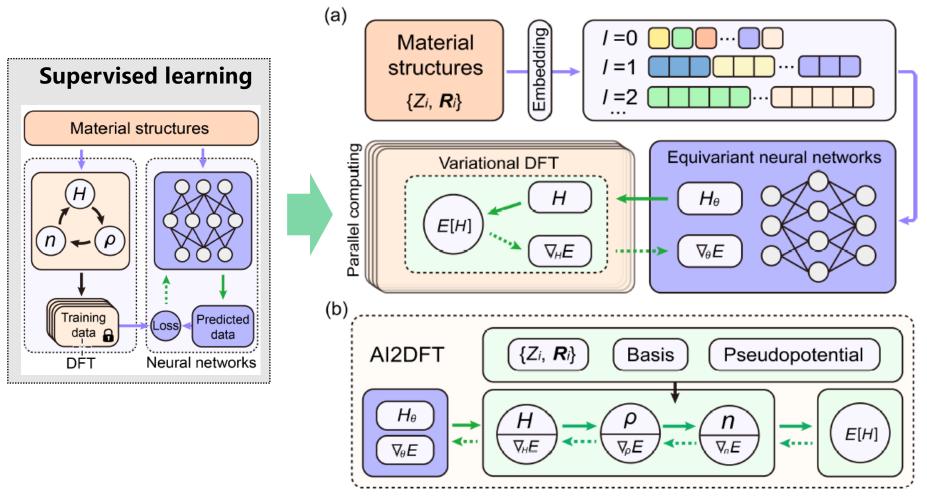
## Curved magnetism in Crl<sub>3</sub> nanotube



## Neural-network density functional theory

Physics-informed unsupervised learning (DeepH-Zero):

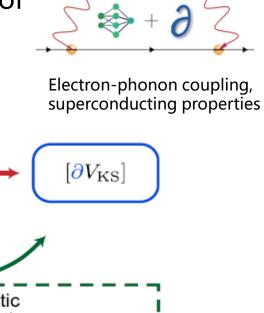
**Coherently integrate DFT algorithms into neural networks** 

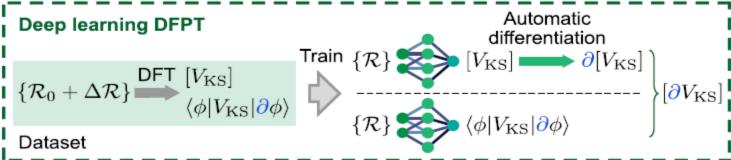


#### Density functional perturbation theory (DFPT)

DeepH-DFPT: Bring DFT and DFPT into a unified deep-learning framework → Efficient calculation of e-p coupling, BCS superconductivity, etc.

 $\{\mathcal{R}_0\}$ 





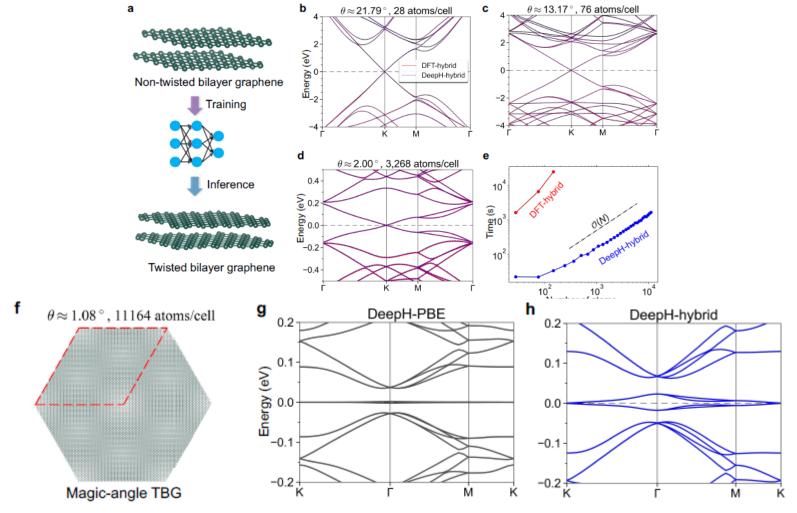
 $\{\partial \psi_i\}$ 

 $\partial V_{
m KS}$ Solving Sternheimer equations

H. Li, Z. Tang, et al., Phys. Rev. Lett. 132, 096401 (2024) Editors' suggestion

#### DeepH-hybrid: For hybrid-functional calculations

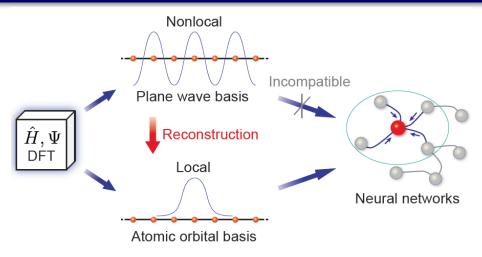
#### Generalize from Kohn-Sham DFT to generalized Kohn Sham schemes



Z. Tang, H Li, P, Lin, et al., **Nat. Commun.** 15, 8815 (2024)

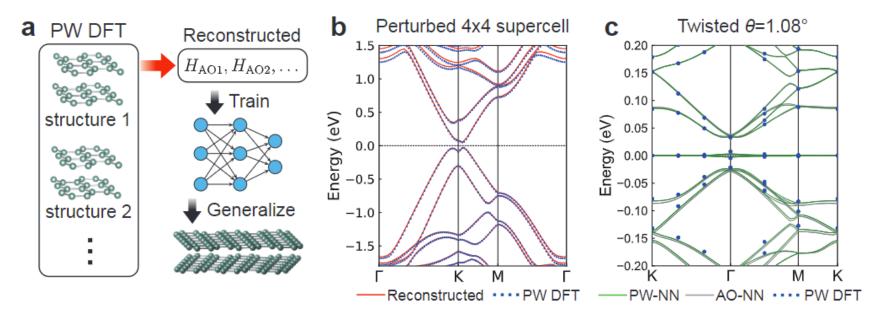
Collaborators: Dr. Peize Lin, Prof. Xinguo Ren, Prof. Hong Jiang, Prof. Lixin He

## DeepH-PW: Generalize to plane-wave DFT



#### **DeepH: Access to all DFT codes**

- Big materials data of DFT
- Large materials model



X. Gong, et al., Nat. Comput. Sci. 4, 752 (2024) Collaborate with Steven G. Louie

#### From large language model to large materials model

#### Language agent

admit selection weigh costly assisted to the first services and the selection weigh costly assisted to the first services and the services and

#### Language database



Large language model



#### Q: What is large language model?

A: Large language model is a type of AI designed to understand and generate human-like language.





**Materials database** 



Large materials model

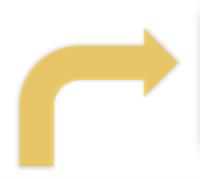


#### Q: High-Tc superconductors?

A: Here are some predicted materials:

## Large Materials Model

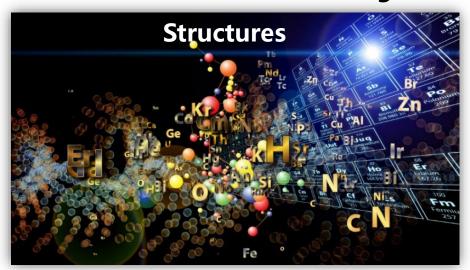
Describe the universal structure-property relationship

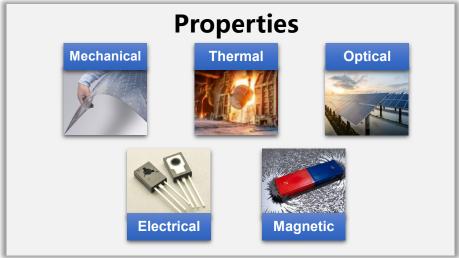






Large materials model





Varying atomic compositions/structures

Countless potential candidates

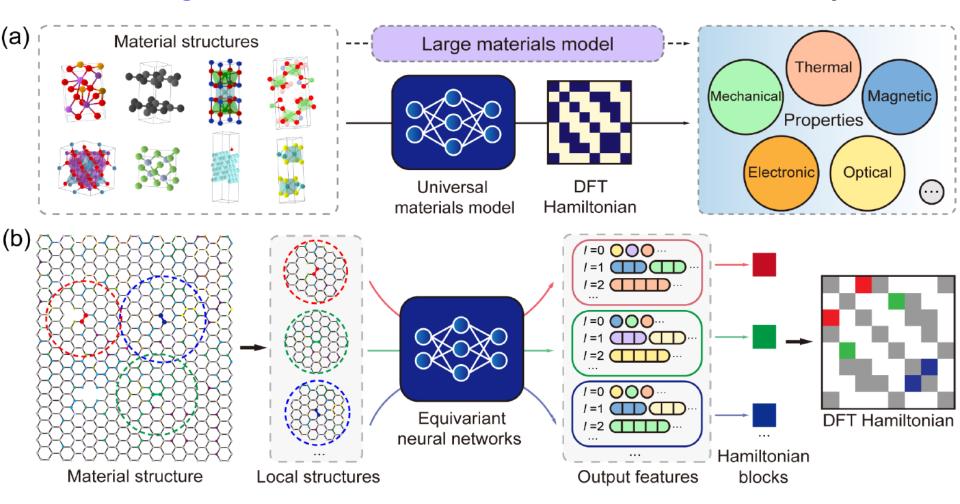
Rich physical properties

Complicated dependence on structure

**Al-driven material discovery** ← **Big materials data** 

## Universal materials model of DeepH

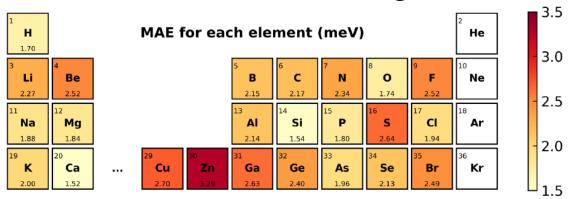
#### Large materials model: Al-driven materials discovery



Y. Wang, Y. Li, Z. Tang, et al. **Sci. Bull.** 69, 2514 (2024) **Cover story** 

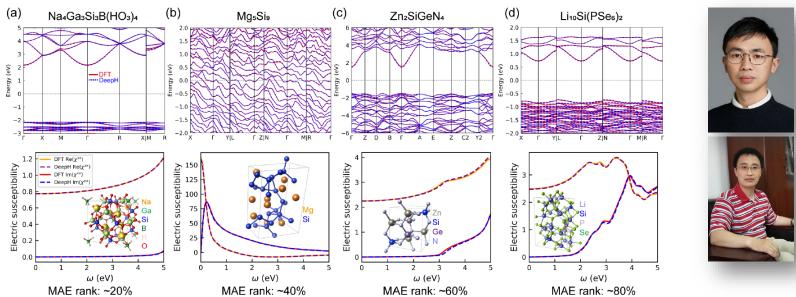
## Universal materials model of DeepH

~10<sup>4</sup> materials (20% for test), Averaged MAE 2.2 meV



# Science Bulletin Vance of Partner of August 2024 \*\* Total Cinia Press States August Journe of Charles

#### **Outstanding generalization ability**



Y. Wang, Y. Li, Z. Tang, et al. **Sci. Bull.** 69, 2514 (2024) **Cover story** 

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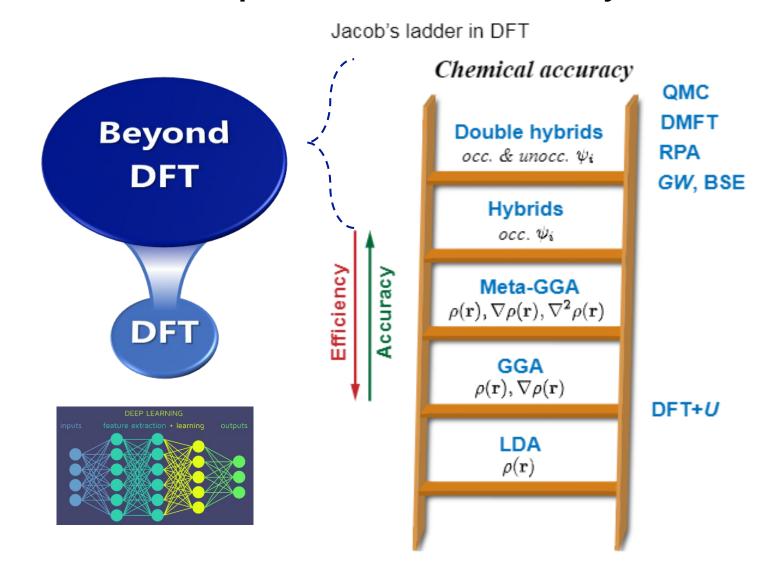
I. From quantum mechanics to materials discovery

II. Deep learning DFT and beyond

III. Outlook

## **Outlook (1): Method development**

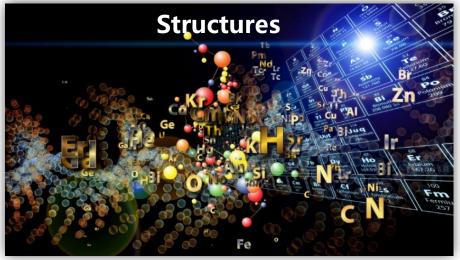
> Extend the DeepH method from DFT to Beyond DFT

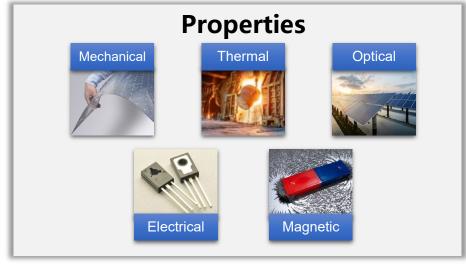


## **Outlook (2): Foundation models**

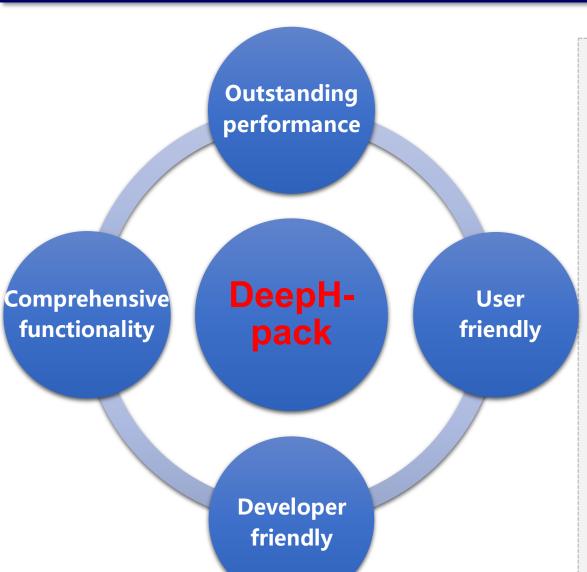
Develop foundation models of electronic structure







## **Next-generation computing platform**



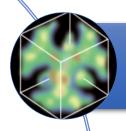


- Training and inference accelerated by 300%, GPU memory usage reduced by over 100%
- Multiple types of neural networks to learn various target physical quantities
- Well-structured software modules
- Comprehensive user manual, video tutorials

## Large database of electronic structures



Al-integrated computational database (2,000,000 crystalline materials)



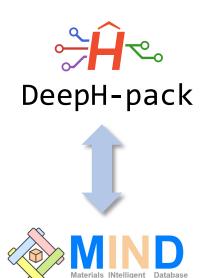
Extensive physical property data



Compatible with deep learning

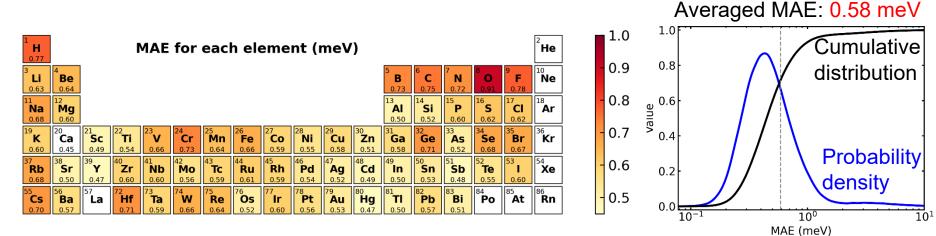


Various methods and software



#### Universal materials model of electronic structure

- > World's largest training dataset (2,000,000 crystalline materials)
- > First-ever model training on millions of material structures
- > First universal materials model achieving sub-meV accuracy



Massive training data

Millions of materials

10TiB data

Advanced architecture

**Transformer based** 

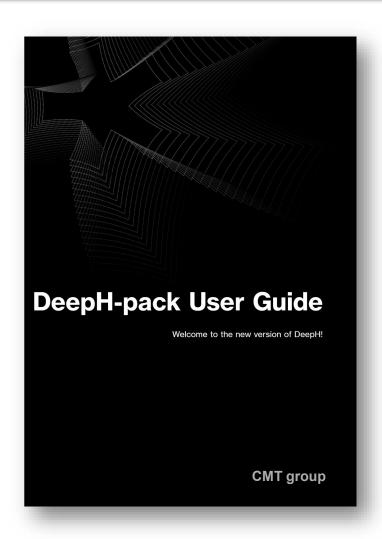
Explainable Al

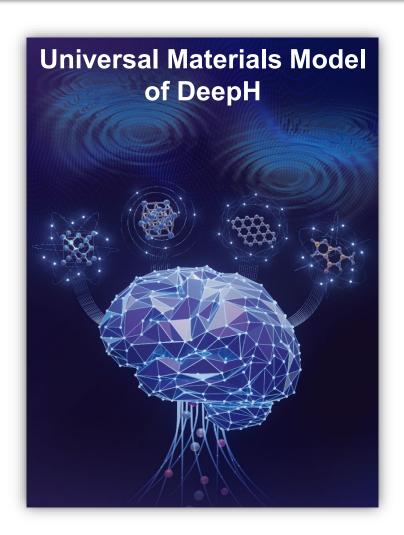
Superior performance

Sub-meV accuracy

O(M) scaling

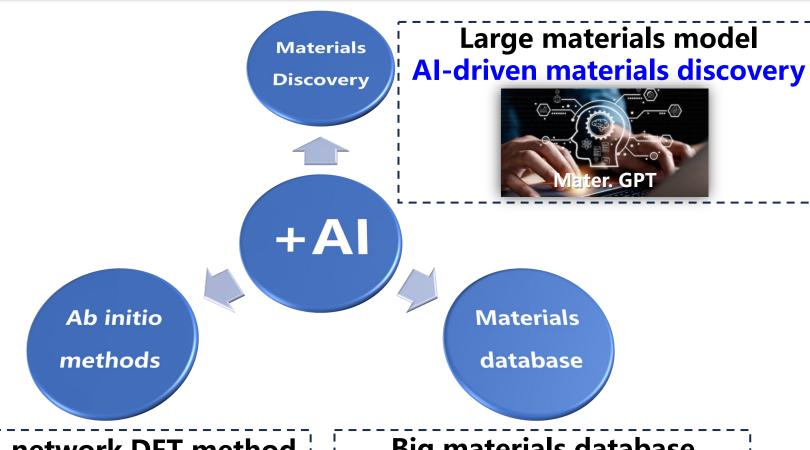
## DeepH-pack & Universal materials model





The new version of DeepH-pack, along with universal materials model, will be released soon.

## **Outlook (3): Al-driven materials discovery**



Neural-network DFT method Improving with growing data



Big materials database Al-accelerated data generation



## DeepH: References and open-source codes

#### **Develop deep-learning first-principles methods**

- [1] DeepH: H. Li, et al. Nat. Comput. Sci. 2, 367 (2022) arXiv: 2104.03786
- [2] DeepH-E3: X. Gong, et al. Nat. Commun. 14, 2848 (2023)
- [3] xDeepH: H. Li, et al. Nat. Comput. Sci. 3, 321 (2023) Cover story
- [4] DeepH-DFPT: H. Li, et al. PRL 132, 096401 (2024) Editors' suggestion
- [5] MagNet: Z. Yuan, et al. Quantum Front. 3, 8 (2024)
- [6] **DeepH-hybrid**: Z. Tang, et al. **Nat. Commun.** 15, 8815 (2024)
- [7] DeepH-2: Y. Wang, et al. arXiv:2401.17015
- [8] DeepH-PW: X. Gong, et al. Nat. Comput. Sci. 4, 752 (2024) DeepH-pack
- [9] DeepH-UMM: Y. Wang, et al. Sci. Bull. 69, 2514 (2024) Cover story
- [10] DeepH-Zero: Y. Li, et al. PRL 133, 076401 (2024) Editors' suggestion

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Deep-learning electronic structure calculations, Z. Tang, et al.

Nat. Comput. Sci. (in press)

Tutorial: <a href="https://www.bilibili.com/video/BV1Tv4y1H7TD">https://www.bilibili.com/video/BV1Tv4y1H7TD</a>

<u>DeepH:</u> <u>https://github.com/mzjb/DeepH-pack</u>

https://deeph-pack.readthedocs.io

DeepH-E3: https://github.com/Xiaoxun-Gong/DeepH-E3

xDeepH: https://github.com/mzjb/xDeepH



## Welcome to 2025 DeepH Workshop!

